Sabatini Gino M. Form 3 January 22, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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response...

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Sabatini Gino M.

(Last)

(First) (Middle)

C/O W. P. CAREY INC., 50 **ROCKEFELLER PLAZA** 

(Street)

(State)

NEW YORK, NYÂ 10020

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

01/18/2018

4. Relationship of Reporting

W. P. Carey Inc. [WPC]

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) Managing Director

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person

Form filed by More than One

Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

Common Stock

Common Stock

Common Stock

(City)

2. Amount of Securities Beneficially Owned (Instr. 4)

(Zip)

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

618,602.67 (1) (2)

1,148.6883

Â D

702.6624

by son

by daughter

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

I

I

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and 3. Title and Amount of **Expiration Date** 

(Month/Day/Year)

Securities Underlying

4. Conversion

5. Ownership 6. Nature of Indirect Beneficial Ownership

## Edgar Filing: Sabatini Gino M. - Form 3

		Derivative S (Instr. 4)	Security	or Exercise Price of	Form of Derivative	(Instr. 5)
Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
Sabatini Gino M. C/O W. P. CAREY INC. 50 ROCKEFELLER PLAZA NEW YORK, NY 10020	Â	Â	Managing Director	Â	

# **Signatures**

/s/ James A. Fitzgerald, Attorney-in-fact

01/22/2018

Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes grants of restricted stock units ("RSUs") granted under the Issuer's Long-Term Incentive Plan ("LTIP"), each scheduled to vest in three equal annual installments, with the remaining RSUs vesting as follows: 3,503.66 on 2/15/2018, 3,503.67 on 2/15/2019, and 2,028.34 on 2/15/2020. These RSUs are convertible on a one-for-one basis into shares of the Issuer's Common Stock.
- (2) Includes shares underlying awards of RSUs and performance share units ("PSUs"), granted under the LTIP, that have vested, with the shares to be paid at the end of the deferral period(s) selected by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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