Swartz Brian L Form 4 May 07, 2018

## FORM 4

### OMB APPROVAL

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: January 31, 2005

0.5

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

**SECURITIES** 

burden hours per response...

Estimated average

See Instruction 1(b).

Common

Stock

05/03/2018

(Print or Type Responses)

| 1. Name and Address of Reporting Person * Swartz Brian L |                     |             | 2. Issue<br>Symbol | r Name <b>and</b> | d Ticker or Trading                    | 5. Relationship of Reporting Person(s) to Issuer                                |                        |            |  |  |
|--|---------------------|-------------|--------------------|-------------------|--|---|------------------------|------------|--|--|
|  |                     |             | Corners            | stone OnI         | Demand Inc [CSOD]                      | (Check all applicable)  |                        |            |  |  |
| (Last)   | (First)             | Middle)     | 3. Date o          | f Earliest T      | ransaction                             |   |                        |            |  |  |
|  |                     |             | (Month/I           | Day/Year)         |  | Director  |                        | Owner      |  |  |
| C/O CORI   |                     | 05/03/2     | .018               |                   | _X_ Officer (give title Other (specify |   |                        |            |  |  |
| <b>ONDEMA</b>  | ND, INC., 1601      |             |                    |                   |  | below)  | below) Financial Offic | er         |  |  |
| CLOVERI  | FIELD BLVD., SI     | JITE        |                    |                   |  | Cilici  | Tillaliciai Offic      | CI         |  |  |
| 620 SOUT   |                     |             |                    |                   |  |   |                        |            |  |  |
| (Street)   |                     |             | 4. If Ame          | endment, Da       | ate Original                           | 6. Individual or Joint/Group Filing(Check                                       |                        |            |  |  |
|  |                     |             | Filed(Mo           | nth/Day/Yea       | r)                                     | Applicable Line)  |                        |            |  |  |
|  |                     |             |                    |                   |  | _X_ Form filed by One Reporting Person<br>Form filed by More than One Reporting |                        |            |  |  |
| SANTA M  | IONICA, CA 904      | 04          |                    |                   |  | Person  | wore than One Re       | eporung    |  |  |
| (City)   | (State)             | (Zip)       | Tab                | le I - Non-I      | Derivative Securities Acq              | uired, Disposed o   | of, or Beneficial      | lly Owned  |  |  |
| 1.Title of   | 2. Transaction Date | e 2A. Deeme | ed                 | 3.                | 4. Securities Acquired (A              | ) 5. Amount of  | 6.                     | 7. Nature  |  |  |
| Security   | (Month/Day/Year)    | Execution   | Date, if           | Transactio        | omr Disposed of (D)                    | Securities  | Ownership              | Indirect   |  |  |
| (Instr. 3)   |                     | any         |                    | Code              | (Instr. 3, 4 and 5)                    | Beneficially  | Form:                  | Beneficia  |  |  |
|  |                     | (Month/Da   | ny/Year)           | (Instr. 8)        |  | Owned   | Direct (D)             | Ownershi   |  |  |
|  |                     |             |                    |                   |  | Following   | or Indirect            | (Instr. 4) |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code V

 $S^{(1)}$ 

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Reported

179,079

Transaction(s)

(Instr. 3 and 4)

(A)

(D)

D

Amount

15,862

Price

44.936

\$

(2)

(I)

D

(Instr. 4)

of

#### Edgar Filing: Swartz Brian L - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | f 2.         | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Titl | le and      | 8. Price of | 9. Nu  |
|-------------|--------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|-------------|-------------|--------|
| Derivative  | e Conversion | (Month/Day/Year)    | Execution Date, if | Transacti  | orNumber   | Expiration D | ate         | Amou    | ınt of      | Derivative  | Deriv  |
| Security    | or Exercise  |                     | any                | Code       | of         | (Month/Day/  | /Year)      | Under   | rlying      | Security    | Secui  |
| (Instr. 3)  | Price of     |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e            |             | Secur   | ities       | (Instr. 5)  | Bene   |
|             | Derivative   |                     |                    |            | Securities | S            |             | (Instr. | . 3 and 4)  |             | Own    |
|             | Security     |                     |                    |            | Acquired   |              |             |         |             |             | Follo  |
|             |              |                     |                    |            | (A) or     |              |             |         |             |             | Repo   |
|             |              |                     |                    |            | Disposed   |              |             |         |             |             | Trans  |
|             |              |                     |                    |            | of (D)     |              |             |         |             |             | (Instr |
|             |              |                     |                    |            | (Instr. 3, |              |             |         |             |             |        |
|             |              |                     |                    |            | 4, and 5)  |              |             |         |             |             |        |
|             |              |                     |                    |            |            |              |             |         | A           |             |        |
|             |              |                     |                    |            |            |              |             |         | Amount      |             |        |
|             |              |                     |                    |            |            | Date         | Expiration  | T:41-   | or<br>Namel |             |        |
|             |              |                     |                    |            |            | Exercisable  | Date        |         | Number      |             |        |
|             |              |                     |                    | C-1- V     | (A) (D)    |              |             |         | of          |             |        |
|             |              |                     |                    | Code v     | (A) (D)    |              |             |         | Shares      |             |        |

## **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Swartz Brian L C/O CORNERSTONE ONDEMAND, INC. 1601 CLOVERFIELD BLVD., SUITE 620 SOUTH SANTA MONICA, CA 90404

Chief Financial Officer

## **Signatures**

/s/ Adam J. Weiss, by Power of Attorney

05/07/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a Rule 10b5-1 trading plan to cover tax obligations in connection with the vesting of restricted stock units.
- This sale price represents the weighted average sale price of the shares sold ranging from \$44.77 to \$45.16 per share. Upon request by the Commission staff, the Issuer, or a security holder of the Issuer, the Reporting Person will provide full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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