

O'Brien Kenneth E  
Form 3  
May 25, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â O'Brien Kenneth E  
(Last) (First) (Middle)

C/O R.R. DONNELLEY &  
SONS COMPANY,Â 35 W.  
WACKER DRIVE

(Street)

CHICAGO,Â ILÂ 60601

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
05/17/2018

3. Issuer Name **and** Ticker or Trading Symbol  
RR Donnelley & Sons Co [RRD]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
EVP and CIO

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities Beneficially Owned  
(Instr. 4)

3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)

4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

119,602 <sup>(1)</sup>

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

# Edgar Filing: O'Brien Kenneth E - Form 3

Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)
---------------------	--------------------	-------	----------------------------------	----------	--

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director	10% Owner	Officer	Other
----------	-----------	---------	-------

O'Brien Kenneth E

C/O R.R. DONNELLEY & SONS COMPANY

35 W. WACKER DRIVE

CHICAGO, IL 60601

^

^

^ EVP and CIO ^

## Signatures

/s/ Deborah L. Steiner, as  
Attorney-in-Fact

05/24/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 43,789 shares of common stock held directly and 75,813 Company granted restricted stock units ("RSUs") issued pursuant to a Rule 16b-3 plan. 17,682 of the RSUs were granted on February 29, 2016 and vest in full on March 2, 2019; 14,068 of the RSUs were granted on October 1, 2016 and vest in three equal annual installments beginning on October 1, 2017; 9,476 of the RSUs were granted on March 2, 2017 and vest in three equal annual installments beginning on March 2, 2018; and 34,587 of the RSUs were granted on March 2, 2018 and vest in three equal annual installments beginning on March 2, 2019, in each case subject to Mr. O'Brien's continued employment with the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.