## Edgar Filing: Montgomery Alan Bruce - Form 4

Montgomer Form 4	ry Alan Bruce									
May 25, 20	18									
	ЛЛ								APPROV	/AL
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							N OMB Number:	323	3235-0287	
Check t if no los	ngar							Expires:	Janu	ary 31 2005
subject Section Form 4 Form 5		F CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES					Estimate burden h response	Estimated average burden hours per response 0		
obligati may co <i>See</i> Inst 1(b).	ons ntinue. Section 17	(a) of the Pu	ublic U	Itility Hol	ding Co		nge Act of 1934 of 1935 or Sect 1940			
(Print or Type	Responses)									
1. Name and Montgome	S	2. Issuer Name and Ticker or Trading Symbol ALDER BIOPHARMACEUTICAL INC [ALDR]			<ul><li>5. Relationship of Reporting Person(s) to Issuer</li><li>S (Check all applicable)</li></ul>					
		( ( S,		of Earliest T Day/Year) 2018	ransaction	I	X Director Officer (gi below)		10% Owner Other (specif	fy
PARKWA	Y SOUTH									
BOTHELI		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Tab	ole I - Non-l	Derivativo	e Securities A	Acquired, Disposed	of, or Benefic	cially Own	ed
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Date, if		Disposed (Instr. 3,	l (A) or l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirec (I) (Instr. 4)	Indirect	t cial ship
Reminder: Re	eport on a separate lin	e for each clas	ss of sec	urities bene	ficially ow	ned directly	or indirectly.			
					Perse infor requi	ons who res nation con red to resp	spond to the colle tained in this form ond unless the for ntly valid OMB co	m are not orm	SEC 147- (9-02	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

number.

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactiv Code (Instr. 8)	TransactiorDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option (Right to Buy)	\$ 15.5	05/23/2018		А	15,000	<u>(1)</u>	05/22/2028	Common Stock	15,000	

## **Reporting Owners**

Reporting Owner	Relationships					
Toporting o which	Director	10% Owner	Officer	Other		
Montgomery Alan Bruce C/O ALDER BIOPHARM 11804 NORTH CREEK BOTHELL, WA 98011	Х					
Signatures						
/s/ Alan Bruce Montgomery	05/25/2018					
<u>**</u> Signature of Reporting Person	Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares subject to this option shall fully vest and become exercisable on the date of the 2019 Annual Meeting of the Stockholders of the Issuer, so long as the Reporting Person provides continuous service to the Issuer through such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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