TUFANO PAUL J Form 4

January 02, 2019

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

ROAD

1. Name and Address of Reporting Person \* TUFANO PAUL J

(First)

C/O ENERSYS, 2366 BERNVILLE

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

EnerSys [ENS]

3. Date of Earliest Transaction

4. If Amendment, Date Original

Filed(Month/Day/Year)

12/28/2018

(Month/Day/Year)

(Check all applicable) \_X\_\_ Director 10% Owner

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

\_ Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

READING, PA 19605

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	ecuriti	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock	12/28/2018		A	27.0424 (1)	A	\$0	16,144.1205	D	
Common Stock	12/28/2018		A	9.6424 (2)	A	\$0	16,153.763	D	
Common Stock	12/28/2018		A	0.0394 (3)	A	\$ 0	16,153.8024	D	
Common Stock	12/28/2018		A	0.0902 (4)	A	\$0	16,153.8925	D	
Common Stock	12/28/2018		A	0.1199 (5)	A	\$ 0	16,154.0124	D	

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Common Stock 12/28/2018 A 0.1588 A \$ 0 15,154.1713 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	ionNumber Expiration of (Month/Da			7. Titl Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address

Director

Dire

### **Signatures**

Karen J. Yodis, by Power of Attorney 01/02/2019

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These shares were granted in the form of Deferred Stock Units ("DSUs"), in connection with the cash dividend paid on December 28, 2018 to stockholders of record as of December 14, 2018 (the "Dividend"), with respect to 11,543 vested DSUs granted to the reporting person on various dates, and adjusted for previously declared and paid cash dividends. These DSUs are vested and payable concurrent with the underlying DSUs.

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- These shares were granted in the form of Restricted Stock Units ("RSUs"), in connection with the Dividend, with respect to 4,189.2313 vested RSUs granted to the reporting person on various dates under the EnerSys Deferred Compensation Plan for Non-Employee Directors (the "Plan"), and adjusted for previously declared and paid cash dividends. These RSUs are vested and payable concurrent with the underlying RSUs.
- (3) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 17.1172 unvested RSUs granted to the reporting person on January 16, 2018, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
- (4) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 39.1699 unvested RSUs granted to the reporting person on April 10, 2018, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
- (5) These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 52.1044 unvested RSUs granted to the reporting person on July 18, 2018, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.
- These shares were granted in the form of RSUs, in connection with the Dividend, with respect to 69 unvested RSUs granted to the reporting person on October 23, 2018, under the Plan. These RSUs are vested and payable concurrent with the underlying RSUs.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.