HAN XIANFU Form 4/A July 09, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* HAN XIANFU

(First) (Middle)

C/O CADC, ROOM 1708, YINGU

PLAZA, NO.9 OF N. 4TH RING RD WEST

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Symbol

05/24/2018

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

2. Issuer Name and Ticker or Trading

China Advanced Construction Materials Group, Inc [CADC]

3. Date of Earliest Transaction

(Month/Day/Year)

05/04/2018

5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

\_X\_\_ Director X\_\_ 10% Owner Other (specify X\_ Officer (give title

Chief Executive Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAIDIAN DISTRICT, BEIJING, F4 100190

> (City) (Zip) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities and Disposed of (Instr. 3, 4 and	D)	ed (A) or	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)
G			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)	
Common Stock	05/04/2018		A	985,889	A	2,021,073.24 (1)	1,519,267	D
Common Stock	07/02/2018(3)		S	1,362,700	D	<u>(2)</u>	156,567	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form

7. Nature of Indirect

Beneficial Ownership (Instr. 4)

#### Edgar Filing: HAN XIANFU - Form 4/A

## displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc			le and	8. Price of	9. Nu
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	execution Date, if any (Month/Day/Year)	Code (Instr. 8)	ofNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>:</b>		Secur	rlying	Derivative Security (Instr. 5)	Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HAN XIANFU							
C/O CADC, ROOM 1708, YINGU PLAZA	X	X	Chief Evenutive Officer				
NO.9 OF N. 4TH RING RD WEST	Λ	Λ	Chief Executive Officer				
HAIDIAN DISTRICT, BEIJING, F4 100190							

## **Signatures**

/s/ Xianfu Han 07/09/2018

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were issued to offset the payables in the amount of approximately \$2,021,073.24 from the Company to the Reporting Person at \$2.05 per share, the closing bid price quoted by Nasdaq on April 3, 2018.
- (2) The share were sold to Hou Sing International Business Limited ("Hou Sing") pursuant to certain securities purchase agreement dated May 16, 2018 in consideration of Hou Sing's forgiveness of debt of \$3,241,907 owned by the Reporting Person.
- (3) This Amendment is filed to solely correct the date on which the sale of 1,362,700 shares of common stock was completed.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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