OCLARO, INC. Form 4 May 16, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549

**OMB APPROVAL** 

Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading COUDER ALAIN A Issuer Symbol OCLARO, INC. [OCLR] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify Officer (give title 2560 JUNCTION AVENUE 05/15/2013 below) Chairman, CEO (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

SAN JOSE, CA 95134

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Common 1,147 05/15/2013 F D 363,195 D (1) Stock 1.05

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: OCLARO, INC. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                               | 5.         | 6. Date Exerc    | cisable and | 7. Titl     | le and     | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|----------------------------------|------------|------------------|-------------|-------------|------------|-------------|-------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber                |            | Expiration D     | ate         | Amou        | ınt of     | Derivative  | Deriv |
| Security    | or Exercise |                     | any                | Code                             | of         | (Month/Day/      | Year)       | Under       | rlying     | Security    | Secui |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative Securities |            | e                |             | Secur       | rities     | (Instr. 5)  | Bene  |
|             | Derivative  |                     |                    |                                  |            |                  |             | (Instr.     | . 3 and 4) |             | Owne  |
|             | Security    |                     |                    |                                  | Acquired   |                  |             |             |            | Follo       |       |
|             | •           |                     |                    |                                  | (A) or     |                  |             |             |            |             | Repo  |
|             |             |                     |                    |                                  | Disposed   |                  |             |             |            |             | Trans |
|             |             | of (D)              |                    |                                  |            |                  |             | (Instr      |            |             |       |
|             |             |                     |                    |                                  | (Instr. 3, |                  |             |             |            |             | `     |
|             |             |                     |                    |                                  | 4, and 5)  |                  |             |             |            |             |       |
|             |             |                     |                    |                                  |            |                  |             |             |            |             |       |
|             |             |                     |                    |                                  |            |                  |             |             | Amount     |             |       |
|             |             |                     |                    |                                  |            | Exercisable Date | Expiration  | Title Nu of |            |             |       |
|             |             |                     |                    |                                  |            |                  | *           |             | Number     |             |       |
|             |             |                     |                    |                                  |            |                  |             |             |            |             |       |
|             |             |                     |                    | Code V                           | (A) (D)    |                  |             |             | Shares     |             |       |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COUDER ALAIN A 2560 JUNCTION AVENUE SAN JOSE, CA 95134

Chairman, CEO

## **Signatures**

Christopher Croddy, Attorney-in-Fact 05/16/2013

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withholding of 1,147 shares to satisfy tax obligations arising in connection with the non-reportable vesting of restricted stock awards.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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