

GELFOND RICHARD L

Form 4

January 03, 2019

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
GELFOND RICHARD L

(Last) (First) (Middle)

902 BROADWAY, 20TH FLOOR

(Street)

NEW YORK 10010-6002

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IMAX CORP [IMAX]

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title ____ Other (specify
below) below)

Chief Executive Officer

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
common shares (opening balance)						235,154	D
common shares	12/31/2018		M		21,761 (1)	A \$ 0	256,915 D
common shares	12/31/2018		F		11,636 (2)	D \$ 18.18	245,279 (3) D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not**

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(9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number of Shares
restricted share units ⁽⁴⁾	⁽⁵⁾	12/31/2018		M	21,761 ⁽¹⁾	12/31/2018 01/31/2020	common shares 2
stock options (to buy)	\$ 18.75	01/02/2019		A	522,979 ⁽⁶⁾	⁽⁷⁾ 01/02/2029	common shares opening balance 52

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GELFOND RICHARD L 902 BROADWAY 20TH FLOOR NEW YORK 10010-6002	Chief Executive Officer

Signatures

Richard L.
Gelfond 01/03/2019

 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted share units into common shares.
- (2) Mr. Gelfond is reporting the withholding of common shares by IMAX Corporation to satisfy his tax withholding obligations in connection with the delivery of common shares upon conversion of the restricted share unit
- This represents the number of restricted share units or stock options for this transaction only. Mr. Gelfond's aggregate remaining
- (3) outstanding option, restricted share unit and common share balances following these transaction will be 3,396,343; 65,283 and 245,279 respectively.
- (4) Each restricted share unit represents a contingent right to receive one common share of IMAX Corporation.

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- (5) Each restricted share unit is the economic equivalent of one common share of IMAX Corporation.
- (6) The stock options were granted pursuant to Mr. Gelfond's November 2016 employment renewal with IMAX Corporation.
- (7) The stock options become exercisable in three installments: 174,326 on each of May 1, 2019; September 1, 2019 and 174,327 on December 31, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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