

WARZALA RICHARD S

Form 4

December 11, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
WARZALA RICHARD S

2. Issuer Name **and** Ticker or Trading
Symbol

**ALLIED MOTION
TECHNOLOGIES INC [AMOT]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

**ALLIED MOTION
TECHNOLOGIES INC., 495
COMMERCE DRIVE, SUITE 3**

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
10/18/2018

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chief Executive Officer

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/13/2018		F	543 ⁽¹⁾ D \$ 0	1,041,036	D	
Common Stock	03/31/2018		F	6,719 ⁽²⁾ D \$ 0	1,034,317	D	
Common Stock	10/18/2018		F	19,460 ⁽³⁾ D \$ 0	1,014,857	D	
Common Stock					15,731	I	By ESOP Trust

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Director	10% Owner	Officer	Other
WARZALA RICHARD S ALLIED MOTION TECHNOLOGIES INC. 495 COMMERCE DRIVE, SUITE 3 AMHERST, NY 14228	X	X	Chief Executive Officer	

Signatures

Susan M. Chiarmonte, Attorney-in-Fact for Richard S. Warzala
 12/11/2018
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On March 13, 2018, 1,255 restricted shares vested and the Reporting Person instructed the Company to withhold 543 shares of common stock to cover tax withholding obligations as permitted under the applicable shareholder-approved stock incentive plan.
- (2) On March 31, 2018, 15,548 restricted shares vested and the Reporting Person instructed the Company to withhold 6,719 shares of common stock to cover tax withholding obligations as permitted under the applicable shareholder-approved stock incentive plan.
- (3) On October 18, 2018, 45,046 restricted shares vested and the Reporting Person instructed the Company to withhold 19,460 shares of common stock to cover tax withholding obligations as permitted under the applicable shareholder-approved stock incentive plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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