Edgar Filing: SVB FINANCIAL GROUP - Form 4

| SVB FINAN | NCIAL GROUP | | | | | | | | | | | | |
|--|---|---|---|------------------------|----|-------------------|---------------------|--|---|---|--|--|--|
| Form 4 | 4 2007 | | | | | | | | | | | | |
| December 0 | | | | | | | | | | OME | APPROVAL | | |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | N OMB | 3235-0287 | | | | |
| Check th if no lon subject t Section Form 4 o Form 5 | ger o STATEN 16. or | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | Estimate burden h | Expires:January 31 2005Estimated average burden hours per response0.5 | | |
| obligation may con <i>See</i> Instr 1(b). | tinue. Section 17 | a) of the 1 | Public U | tility H | ol | | mpar | ny Act o | ge Act of 1934, f 1935 or Sectio 40 | on | | | |
| (Print or Type | Responses) | | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> BECKER GREGORY W | | | 2. Issuer Name and Ticker or Trading Symbol SVB FINANCIAL GROUP [SIVB] | | | | | - | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| (Last) | (First) (I | Middle) | 3. Date of Earliest Transaction | | | | 51,51 | (Check all applicable) | | | | | |
| 3003 TASMAN DRIVE | | | (Month/Day/Year) 12/03/2007 | | | | | Director 10% Owner Officer (give title Other (specify below) below) below) Chief Opperating Officer,CB | | | | | |
| SANTA CI | (Street) LARA, CA 95054 | | | endment, onth/Day/Y | | ate Origina r) | al | | 6. Individual or Applicable Line) _X_ Form filed by Form filed by | One Reporting | g Person | | |
| (City) | (State) | (Zip) | | | | | ~ | | Person | | | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | Table I - Non-Derivative Securities Acquired ed 3. 4. Securities Acquired Date, if Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) ay/Year) (Instr. 8) | | | | | cquired d of (D) | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | Code | V | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | (I) (Instr. 4) | | | |
| Common Stock | 12/03/2007 | | | J <u>(1)</u> | | 2,125 | А | \$0 | 9,224 | D | | | |
| Common Stock | 12/03/2007 | | | J <u>(2)</u> | | 972 | D | \$ 51.13 | 8,252 | D | | | |
| Common Stock | | | | | | | | | 6,544 | Ι | By self in 401k/ESOP | | |
| Common Stock | | | | | | | | | 1,699 | Ι | Revocable Trust | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Number opf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|--|--------------------|---|---------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amoun or Number of Shares |
| Restricted Stock Unit | \$ 0 | 12/03/2007 | | J <u>(1)</u> | 2,125 | 12/01/2007 <u>(3)</u> | 12/31/2007 | Common Stock | 2,125 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|-----------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| BECKER GREGORY W 3003 TASMAN DRIVE SANTA CLARA, CA 95054 | | | Chief Opperating Officer,CB | | | | | |
| Signatures | | | | | | | | |

By: Lisa Bertolet as attorney in fact For: Gregory W. 12/04/2007 Becker **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). **
- (1) The reporting person was granted restricted stock units (RSUs), as previously reported, which have now fully vested and been released.
- (2) The reporting person surrendered company stock to pay for taxes associated with the vesting of a previously reported restricted stock unit.

The reporting person previously reported a grant of restricted stock units (RSUs) which were subject to performance-based vesting. The RSUs were effective as of 5/2/06, and will fully vest on 12/1/07. Based on the level of return on average equity (ROE) achieved by the (3) Company at December 31, 2006 the Compensation Committee of the Board confirmed the final award will total 2,125.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.