Edgar Filing: FCB FINANCIAL HOLDINGS, INC. - Form 4

FCB FINANCIAL HOLDINGS, INC. Form 4 January 23, 2017 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Lieberman Les J Issuer Symbol FCB FINANCIAL HOLDINGS. (Check all applicable) INC. [FCB] (Last) (First) (Middle) 3. Date of Earliest Transaction _X_ Director 10% Owner X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O FCB FINANCIAL HOLDINGS, 01/19/2017 Executive Vice Chairman INC., 2500 WESTON ROAD, **SUITE 300** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting **WESTON, FL 33331** Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Transaction Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (\mathbf{I}) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common Stock, par 01/19/2017 Μ 7,600 А \$ 20 305,807 D value \$.001 per share Class A 01/19/2017 **S**⁽¹⁾ 7,600 D \$ 298,207 D 45.7404 Common (2) Stock, par value

| \$.001 per share | | | | | | | | |
|---|------------|--------------|-------|---|----------------------|---------|---|--|
| Class A Common Stock, par value \$.001 per share | 01/20/2017 | М | 6,500 | А | \$ 20 | 304,707 | D | |
| Class A Common Stock, par value \$.001 per share | 01/20/2017 | S <u>(1)</u> | 6,500 | D | \$ 45.8023 (3) | 298,207 | D | |
| Class A Common Stock, par value \$.001 per share | | | | | | 89,079 | I | By grantor retained annuity trust (4) |
| Class A Common Stock, par value \$.001 per share | | | | | | 1,995 | I | By Bond Street Management, LLC (5) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | Underlying | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---|---------|--|--------------------|------------|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |

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| Option to Purchase | \$ 20 | 01/19/2017 | М | 7,600 | 01/25/2013 | 12/09/2019 | Class A Common Stock | 7,600 |
|-----------------------|-------|------------|---|-------|------------|------------|----------------------------|-------|
| Option to Purchase | \$ 20 | 01/19/2017 | М | 6,500 | 01/25/2013 | 12/09/2019 | Class A Common Stock | 6,500 |

Reporting Owners

| Reporting Owner Name / Address | | Relationships | | | | | | |
|--|----------|---------------|-------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| Lieberman Les J C/O FCB FINANCIAL HOLDINGS, INC. 2500 WESTON ROAD, SUITE 300 WESTON, FL 33331 | Х | | Executive Vice Chairman | | | | | |
| Signatures | | | | | | | | |
| /s/ Douglas Bates, as Attorney-in-Fact for Le Lieberman | es | 01 | /23/2017 | | | | | |

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 15, 2016.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.55 to \$46.00, inclusive. The reporting person undertakes to provide to FCB Financial Holdings, Inc. (the "Issuer"), any security holder of the

Date

(2) Is \$40.00, inclusive. The reporting person undertakes to provide to FCB Financial Holdings, inc. (the Fisuer), any security holder of the Issuer or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$45.65 to \$46.10, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(3) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.

This includes shares contributed by the reporting person to a grantor retained annuity trust for the benefit of himself and his three(4) children. As the reporting person is not the trustee of the grantor retained annuity trust, the reporting person disclaims beneficial ownership of the securities held by such grantor retained annuity trust.

(5) This includes 1,995 shares of Class A Common Stock beneficially owned by the reporting person through Bond Street Management, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.