Ellert Kent S Form 4 August 14, 2017

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

Expires:

January 31, 2005

0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

Class A

Common Stock, par value

08/10/2017

(Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ellert Kent S Issuer Symbol FCB FINANCIAL HOLDINGS. (Check all applicable) INC. [FCB] \_X\_\_ Director (Last) (First) (Middle) 3. Date of Earliest Transaction 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) C/O FCB FINANCIAL HOLDINGS, 08/10/2017 President & CEO INC., 2500 WESTON ROAD, **SUITE 300** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WESTON, FL 33331 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Transactionr Disposed of (D) Indirect Security (Month/Day/Year) Execution Date, if Securities Ownership (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price Class A Common Stock, par 08/10/2017 D M 4,624 A \$ 21 144,490 value \$.001 per share

M

7,363

A

\$ 20.62

151,853

D

### Edgar Filing: Ellert Kent S - Form 4

\$.001 per share							
Class A Common Stock, par value \$.001 per share	08/10/2017	S <u>(1)</u>	11,987	D	\$ 44.7697 (2)	139,866	D
Class A Common Stock, par value \$.001 per share	08/11/2017	M	10,706	A	\$ 20.62	150,572	D
Class A Common Stock, par value \$.001 per share	08/11/2017	S <u>(1)</u>	10,706	D	\$ 43.6921 (3)	139,866	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase	\$ 21	08/10/2017		M	4,624	<u>(4)</u>	01/10/2021	Class A Common Stock	4,624
Option to Purchase	\$ 20.62	08/10/2017		M	7,363	<u>(5)</u>	03/08/2022	Class A Common Stock	7,363
	\$ 20.62	08/11/2017		M	10,706	<u>(5)</u>	03/08/2022		10,706

SEC 1474

(9-02)

Option to Purchase

Class A Common Stock

# **Reporting Owners**

Reporting Owner Name / Address		Keiauonsnips					
	Director	10% Owner	Officer	Other			

Ellert Kent S C/O FCB FINANCIAL HOLDINGS, INC. 2500 WESTON ROAD, SUITE 300 WESTON, FL 33331

X

President & CEO

# **Signatures**

/s/ Douglas Bates, as Attorney-in-Fact for Kent Ellert

08/14/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 13, 2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$44.25 to \$45.35, inclusive. The reporting person undertakes to provide to FCB Financial Holdings, Inc. (the "Issuer"), any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (2) to this Form 4.
  - The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$43.35 to \$44.35, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the
- Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote (3) to this Form 4.
- (4) These options were granted on January 10, 2011 and vested over 3 years in equal annual installments beginning on the first anniversary of the grant date.
- (5) These options were granted on March 8, 2012 and vest over 3 years in equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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