

Cauthen Michael  
Form 4  
May 15, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Cauthen Michael

(Last) (First) (Middle)  
101 NORTH CHERRY STREET  
(Street)

WINSTON SALEM, NC 27101

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Primo Water Corp [PRMW]

3. Date of Earliest Transaction (Month/Day/Year)  
05/12/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Vice President Finance

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	05/12/2018		M	850 <sup>(1)</sup> A	\$ 0	6,266	D
Common Stock	05/12/2018		F	283 <sup>(2)</sup> D	\$ 14.22	5,983	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)
Restricted Stock Units	(3)	05/12/2018		M	850	(4) (4)	Common Stock 850	\$ 0

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Cauthen Michael 101 NORTH CHERRY STREET WINSTON SALEM, NC 27101			Vice President Finance	

## Signatures

/s/ Michael Cauthen by Michael H. Hutson,  
attorney-in-fact 05/15/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock received upon vesting of a restricted stock unit award.
- (2) Represents shares of common stock withheld to pay taxes upon the vesting of a restricted stock unit award.
- (3) Each restricted stock unit represents the contingent right to receive one share of common stock.
- (4) Represents a restricted stock unit award granted on May 12, 2014 that partially vested on May 12, 2018. The restricted stock units vest in four equal annual installments beginning on May 12, 2015 and ending on May 12, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 9353314 127317 1621738 AT&T INC COM 00206R102 2 60 SH DEFINED 47 60 0 0 AT&T INC COM 00206R102 4 135 SH DEFINED 47 135 0 0 AT&T INC COM 00206R102 831235 26616557 SH DEFINED 48 25839254 0 777303 AT&T INC COM 00206R102 204016 6532686 SH DEFINED 49 6281868 3785 247033 AT&T INC COM 00206R102 52655 1686037 SH DEFINED 49 1030245 576072 79720 AT&T INC COM 00206R102 8648 276900 SH DEFINED 51 276900 0 0 AT&T INC COM 00206R102 2724 87234 SH DEFINED 59

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INC COM 00207R101 612 26270 SH DEFINED/OTHER 0366 0 0 26270 ATMI INC COM 00207R101 1492 64042  
SH DEFINED 15 43429 0 20613 ATMI INC COM 00207R101 4758 204191 SH DEFINED 22 204191 0 0 ATMI  
INC COM 00207R101 3518 150997 SH DEFINED 48 150597 0 400 ATMI INC COM 00207R101 11 470 SH  
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CORPORATION COM 00208J108 525 71390 SH DEFINED 15 50254 0 21136 ATP OIL & GAS CORPORATION  
COM 00208J108 1089 148161 SH DEFINED 48 147561 0 600 ATP OIL & GAS CORPORATION COM 00208J108  
19 2630 SH DEFINED 49 2630 0 0 ATP OIL & GAS CORPORATION COM 00208J108 67 9052 SH DEFINED 49  
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CORP-SPON ADR SPON 002255107 264 57790 SH DEFINED/OTHER 0366 57790 0 0 AU OPTRONICS  
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INC COM 00234P102 134 11028 SH DEFINED 15 7059 0 3969 AV HOMES INC COM 00234P102 442 36293 SH  
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COM 002444107 1727 130234 SH DEFINED 48 129634 0 600 AVX CORP COM 002444107 2 130 SH DEFINED  
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LABORATORIES COM 002824100 3 43 SH DEFINED 51 0 0 43 ABBOTT LABORATORIES COM 002824100  
1439 23478 SH DEFINED 59 19538 0 3940 ABBOTT LABORATORIES COM 002824100 582 9500 SH DEFINED  
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CO-CL A CL A 002896207 11323 228240 SH DEFINED 03 108340 0 119900 ABERCROMBIE & FITCH CO-CL  
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413585 SH DEFINED 15 113662 0 299923 ABERCROMBIE & FITCH CO-CL A CL A 002896207 9392 189321  
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 125 5640 SH DEFINED 49 5130 510 0 ABIOMED INC COM 003654100 825 37165 SH DEFINED 49 35945 0 1220  
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INC COM 004498101 8028 199362 SH DEFINED 48 198882 0 480 ACI WORLDWIDE INC COM 004498101 166  
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NETWORK INC/THE COM 00506D100 189 11224 SH DEFINED 15 10930 0 294 ACTIVE NETWORK INC/THE  
COM 00506D100 1060 62967 SH DEFINED 48 62767 0 200 ACTIVE NETWORK INC/THE COM 00506D100 1  
70 SH DEFINED 65 70 0 0 ACTIVISION BLIZZARD INC COM 00507V109 21897 1708029 SH DEFINED 15  
1062728 0 645301 ACTIVISION BLIZZARD INC COM 00507V109 5040 393110 SH DEFINED 22 393110 0 0  
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4 61 SH DEFINED 65 61 0 0 ACURA PHARMACEUTICALS INC COM 00509L703 43 12434 SH DEFINED 15  
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163139 SH DEFINED 22 163139 0 0 ACXIOM CORP COM 005125109 6141 418349 SH DEFINED 48 417349 0  
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COMPANY COM 006212104 0 0 SH DEFINED 65 0 0 0 ADAMS RESOURCES & ENERGY INC COM  
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SOFTWARE INC COM 007974108 2501 97705 SH DEFINED 15 69204 0 28501 ADVENT SOFTWARE INC  
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TECHNOLOGIES INC COM 00971T101 2160 58846 SH DEFINED 49 58831 0 15 AKAMAI TECHNOLOGIES  
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BALDWIN INC COM 014482103 6761 139556 SH DEFINED 15 94405 0 45151 ALEXANDER & BALDWIN INC  
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CORP COM 017175100 34 102 SH DEFINED 04 102 0 0 ALLEGHANY CORP COM 017175100 19159 58215 SH  
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018490102 135512 1420012 SH DEFINED 48 1379731 0 40281 ALLERGAN INC COM 018490102 21192 222070  
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1156007 0 9750 ALLERGAN INC COM 018490102 220 2308 SH DEFINED 59 434 0 1874 ALLERGAN INC  
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4104 ALLIANCE DATA SYSTEMS CORP COM 018581108 10242 81314 SH DEFINED 49 57933 22382 999  
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COM 019205103 24 798 SH DEFINED 49 798 0 0 ALLIANCE FINANCIAL CORP COM 019205103 2 56 SH

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 GOLD CORP COM 019344100 214 6570 SH DEFINED 49 6570 0 0 ALLIED NEVADA GOLD CORP COM  
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 INC COM 020409108 606 23281 SH DEFINED 22 23281 0 0 ALMOST FAMILY INC COM 020409108 1267  
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 INC COM 020520102 465 51432 SH DEFINED 15 49073 0 2359 ALON USA ENERGY INC COM 020520102 391  
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 02076X102 7 458 SH DEFINED 59 448 0 10 ALPHA NATURAL RESOURCES INC COM 02076X102 0 0 SH  
 DEFINED 65 0 0 0 ALPHATEC HOLDINGS INC COM 02081G102 147 62046 SH DEFINED 15 40453 0 21593  
 ALPHATEC HOLDINGS INC COM 02081G102 338 142708 SH DEFINED 48 142708 0 0 ALPHATEC  
 HOLDINGS INC COM 02081G102 3 1287 SH DEFINED 65 1287 0 0 ALTAIR NANOTECHNOLOGIES INC  
 COM 021373204 15 24422 SH DEFINED 48 24422 0 0 ALTERA CORP COM 021441100 3 80 SH DEFINED 04 0  
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COM 021441100 119 3000 SH DEFINED 64 3000 0 0 ALTERA CORP COM 021441100 0 0 SH DEFINED 65 0 0 0  
ALTRA HOLDINGS INC COM 02208R106 809 42138 SH DEFINED 15 28754 0 13384 ALTRA HOLDINGS INC  
COM 02208R106 1871 97438 SH DEFINED 48 97138 0 300 ALTRA HOLDINGS INC COM 02208R106 9 490 SH  
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INC COM 02209S103 110 3565 SH DEFINED 04 0 3565 0 ALTRIA GROUP INC COM 02209S103 365 11824 SH  
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INC COM 02209S103 32574 1055191 SH DEFINED 38 300393 681884 72914 ALTRIA GROUP INC COM  
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626927 2000 35280 ALTRIA GROUP INC COM 02209S103 9533 308800 SH DEFINED 51 308800 0 0 ALTRIA  
GROUP INC COM 02209S103 1201 38899 SH DEFINED 59 31448 0 7451 ALTRIA GROUP INC COM  
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ALUMINUM CORP OF CHINA-ADR SPON 022276109 126 10620 SH DEFINED 64 10620 0 0 ALUMINUM  
CORP OF CHINA-ADR SPON 022276109 1 93 SH DEFINED 65 93 0 0 AMARIN CORPORATION PLC -ADR  
SPON 023111206 25 2250 SH DEFINED 04 0 2250 0 AMARIN CORPORATION PLC -ADR SPON 023111206  
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162210 SH DEFINED 49 156550 0 5660 AMARIN CORPORATION PLC -ADR SPON 023111206 330 29110 SH  
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AMAZON.COM INC COM 023135106 15 75 SH DEFINED 65 75 0 0 AMBASSADORS GROUP INC COM  
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67247 SH DEFINED 48 67247 0 0 AMBASSADORS GROUP INC COM 023177108 0 86 SH DEFINED 65 86 0 0  
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INTERNATIONAL CORP COM 02341W103 5388 182698 SH DEFINED/OTHER 0366 0 0 182698 AMCOL  
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DEFINED 22 82264 0 0 AMEREN CORPORATION COM 023608102 33591 1031037 SH DEFINED 48 999099 0  
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CL A 02361E108 390 28777 SH DEFINED 15 25992 0 2785 AMERESCO INC-CL A CL A 02361E108 503 37124  
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AMERICA MOVIL-ADR SERIES L SPON 02364W105 35630 1434960 SH DEFINED 03 661980 0 772980  
AMERICA MOVIL-ADR SERIES L SPON 02364W105 407 16390 SH DEFINED/OTHER 0366 16390 0 0  
AMERICA MOVIL-ADR SERIES L SPON 02364W105 30340 1221910 SH DEFINED 22 1221910 0 0 AMERICA  
MOVIL-ADR SERIES L SPON 02364W105 32969 1327780 SH DEFINED 48 1327780 0 0 AMERICA  
MOVIL-ADR SERIES L SPON 02364W105 3044 122594 SH DEFINED 49 25254 94940 2400 AMERICA  
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SERIES L SPON 02364W105 1160 46711 SH DEFINED 59 42166 0 4545 AMERICA MOVIL-ADR SERIES L  
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407 SH DEFINED 65 407 0 0 AMERICAN ASSETS TRUST INC COM 024013104 1935 84881 SH DEFINED 15  
71492 0 13389 AMERICAN ASSETS TRUST INC COM 024013104 2213 97077 SH DEFINED 48 96677 0 400  
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MFG HOLDINGS COM 024061103 1978 168894 SH DEFINED 15 137891 0 31003 AMERICAN AXLE & MFG  
HOLDINGS COM 024061103 6648 567752 SH DEFINED 22 567752 0 0 AMERICAN AXLE & MFG HOLDINGS  
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65 1 0 0 AMERICAN CAMPUS COMMUNITIES COM 024835100 6 130 SH DEFINED 04 130 0 0 AMERICAN  
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POWER COM 025537101 87578 2270027 SH DEFINED 48 2206453 0 63574 AMERICAN ELECTRIC POWER

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COM 025537101 559 14493 SH DEFINED 49 9959 3889 645 AMERICAN ELECTRIC POWER COM 025537101 3184 82543 SH DEFINED 49 82248 0 295 AMERICAN ELECTRIC POWER COM 025537101 12 304 SH DEFINED 59 235 0 69 AMERICAN ELECTRIC POWER COM 025537101 131 3400 SH DEFINED 64 3400 0 0 AMERICAN ELECTRIC POWER COM 025537101 0 0 SH DEFINED 65 0 0 0 AMERICAN EAGLE OUTFITTERS COM 02553E106 10617 617630 SH DEFINED 03 377500 0 240130 AMERICAN EAGLE OUTFITTERS COM 02553E106 12 710 SH DEFINED 04 0 710 0 AMERICAN EAGLE OUTFITTERS COM 02553E106 11773 684863 SH DEFINED 15 359444 0 325419 AMERICAN EAGLE OUTFITTERS COM 02553E106 13708 797432 SH DEFINED 22 797432 0 0 AMERICAN EAGLE OUTFITTERS COM 02553E106 21359 1242545 SH DEFINED 48 1240045 0 2500 AMERICAN EAGLE OUTFITTERS COM 02553E106 1265 73606 SH DEFINED 49 71836 0 1770 AMERICAN EAGLE OUTFITTERS COM 02553E106 140 8140 SH DEFINED 49 7410 730 0 AMERICAN EAGLE OUTFITTERS COM 02553E106 1 86 SH DEFINED 59 86 0 0 AMERICAN EAGLE OUTFITTERS COM 02553E106 0 1 SH DEFINED 65 1 0 0 AMERICAN EQUITY INVT LIFE HL COM 025676206 1590 124495 SH DEFINED 15 94700 0 29795 AMERICAN EQUITY INVT LIFE HL COM 025676206 3938 308407 SH DEFINED 48 307707 0 700 AMERICAN EQUITY INVT LIFE HL COM 025676206 206 16147 SH DEFINED 49 16147 0 0 AMERICAN EQUITY INVT LIFE HL COM 025676206 298 23300 SH DEFINED 49 23300 0 0 AMERICAN EQUITY INVT LIFE HL COM 025676206 1 84 SH DEFINED 65 84 0 0 AMERICAN EXPRESS CO COM 025816109 25820 446249 SH DEFINED 03 386869 0 59380 AMERICAN EXPRESS CO COM 025816109 2600 44933 SH DEFINED 04 44933 0 0 AMERICAN EXPRESS CO COM 025816109 1438 24845 SH DEFINED 04 18944 5301 600 AMERICAN EXPRESS CO COM 025816109 290054 5013034 SH DEFINED 15 1461361 0 3551673 AMERICAN EXPRESS CO COM 025816109 74349 1284983 SH DEFINED 22 1284983 0 0 AMERICAN EXPRESS CO COM 025816109 87 1500 SH DEFINED 40 1500 0 0 AMERICAN EXPRESS CO COM 025816109 3 50 SH DEFINED 47 50 0 0 AMERICAN EXPRESS CO COM 025816109 276929 4786188 SH DEFINED 48 4579614 0 206574 AMERICAN EXPRESS CO COM 025816109 121376 2097748 SH DEFINED 49 2048214 1085 48449 AMERICAN EXPRESS CO COM 025816109 22950 396641 SH DEFINED 49 291972 94028 10641 AMERICAN EXPRESS CO COM 025816109 480 8292 SH DEFINED 59 6019 0 2273 AMERICAN EXPRESS CO COM 025816109 376 6500 SH DEFINED 64 6500 0 0 AMERICAN EXPRESS CO COM 025816109 0 0 SH DEFINED 65 0 0 0 AMERICAN FINANCIAL GROUP INC COM 025932104 30520 791071 SH DEFINED 15 511439 0 279632 AMERICAN FINANCIAL GROUP INC COM 025932104 15528 402497 SH DEFINED 22 402497 0 0 AMERICAN FINANCIAL GROUP INC COM 025932104 14783 383178 SH DEFINED 48 382178 0 1000 AMERICAN FINANCIAL GROUP INC COM 025932104 2433 63055 SH DEFINED 49 55 0 63000 AMERICAN FINANCIAL GROUP INC COM 025932104 86 2240 SH DEFINED 49 2240 0 0 AMERICAN FINANCIAL GROUP INC COM 025932104 0 9 SH DEFINED 65 9 0 0 AMERICAN GREETINGS CORP-CL A CL A 026375105 2791 181911 SH DEFINED 15 134991 0 46920 AMERICAN GREETINGS CORP-CL A CL A 026375105 1836 119719 SH DEFINED 22 119719 0 0 AMERICAN GREETINGS CORP-CL A CL A 026375105 3321 216486 SH DEFINED 48 215986 0 500 AMERICAN GREETINGS CORP-CL A CL A 026375105 244 15921 SH DEFINED 49 15921 0 0 AMERICAN GREETINGS CORP-CL A CL A 026375105 0 15 SH DEFINED 65 15 0 0 AMERICAN INTERNATIONAL GROUP COM 026874784 11 360 SH DEFINED 04 360 0 0 AMERICAN INTERNATIONAL GROUP COM 026874784 71682 2325066 SH DEFINED 15 537682 0 1787384 AMERICAN INTERNATIONAL GROUP COM 026874784 4791 155398 SH DEFINED 22 155398 0 0 AMERICAN INTERNATIONAL GROUP COM 026874784 260 8418 SH DEFINED 40 8418 0 0 AMERICAN INTERNATIONAL GROUP COM 026874784 66392 2153485 SH DEFINED 48 2086379 0 67106 AMERICAN INTERNATIONAL GROUP COM 026874784 936 30354 SH DEFINED 49 28478 0 1876 AMERICAN INTERNATIONAL GROUP COM 026874784 141 4587 SH DEFINED 49 4271 316 0 AMERICAN INTERNATIONAL GROUP COM 026874784 20 642 SH DEFINED 59 114 0 528 AMERICAN INTERNATIONAL GROUP COM 026874784 99 3200 SH DEFINED 64 3200 0 0 AMERICAN INTERNATIONAL GROUP COM 026874784 0 1 SH DEFINED 65 1 0 0 AMER NATL BNKSHS/DANVILLE VA COM 027745108 189 8874 SH DEFINED 15 8874 0 0 AMER NATL BNKSHS/DANVILLE VA COM 027745108 22 1029 SH DEFINED 48 1029 0 0 AMER NATL BNKSHS/DANVILLE VA COM 027745108 0 1 SH DEFINED 65 1 0 0 AMERICAN NATIONAL INSURANCE COM 028591105 2328 32095 SH DEFINED 15 26956 0 5139 AMERICAN NATIONAL INSURANCE COM 028591105 2604 35912 SH DEFINED 48 35812 0 100 AMERICAN NATIONAL INSURANCE COM 028591105 43 588 SH DEFINED 49 193 395 0 AMERICAN NATIONAL

INSURANCE COM 028591105 162 2240 SH DEFINED 49 2240 0 0 AMERICAN NATIONAL INSURANCE  
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 AMERIGON INC COM 03070L300 671 41461 SH DEFINED 15 29705 0 11756 AMERIGON INC COM



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03070L300 1755 108480 SH DEFINED 48 108180 0 300 AMERIGON INC COM 03070L300 5 281 SH DEFINED 65 281 0 0 AMERISTAR CASINOS INC COM 03070Q101 1187 63728 SH DEFINED 15 51758 0 11970 AMERISTAR CASINOS INC COM 03070Q101 1746 93700 SH DEFINED 22 93700 0 0 AMERISTAR CASINOS INC COM 03070Q101 2032 109046 SH DEFINED 48 108646 0 400 AMERISTAR CASINOS INC COM 03070Q101 35 1900 SH DEFINED 49 1700 0 200 AMERISTAR CASINOS INC COM 03070Q101 3 165 SH DEFINED 49 165 0 0 AMERISTAR CASINOS INC COM 03070Q101 2 98 SH DEFINED 65 98 0 0 AMERISAFE INC COM 03071H100 945 38205 SH DEFINED 15 25380 0 12825 AMERISAFE INC COM 03071H100 1174 47465 SH DEFINED 22 47465 0 0 AMERISAFE INC COM 03071H100 2115 85503 SH DEFINED 48 85303 0 200 AMERISAFE INC COM 03071H100 1 57 SH DEFINED 65 57 0 0 AMERISOURCEBERGEN CORP COM 03073E105 3285 82839 SH DEFINED 03 57610 0 25229 AMERISOURCEBERGEN CORP COM 03073E105 13 330 SH DEFINED 04 330 0 0 AMERISOURCEBERGEN CORP COM 03073E105 67465 1701094 SH DEFINED 15 486887 0 1214207 AMERISOURCEBERGEN CORP COM 03073E105 11366 286592 SH DEFINED 22 286592 0 0 AMERISOURCEBERGEN CORP COM 03073E105 65186 1643610 SH DEFINED 48 1609332 0 34278 AMERISOURCEBERGEN CORP COM 03073E105 5425 136781 SH DEFINED 49 136781 0 0 AMERISOURCEBERGEN CORP COM 03073E105 205 5174 SH DEFINED 49 4654 520 0 AMERISOURCEBERGEN CORP COM 03073E105 688 17347 SH DEFINED 59 12467 0 4880 AMERISOURCEBERGEN CORP COM 03073E105 103 2600 SH DEFINED 64 2600 0 0 AMERISOURCEBERGEN CORP COM 03073E105 111 2790 SH DEFINED 65 2790 0 0 AMERIGROUP CORP COM 03073T102 8851 131555 SH DEFINED 15 78283 0 53272 AMERIGROUP CORP COM 03073T102 6769 100603 SH DEFINED 22 100603 0 0 AMERIGROUP CORP COM 03073T102 13828 205527 SH DEFINED 48 204927 0 600 AMERIGROUP CORP COM 03073T102 235 3490 SH DEFINED 49 3490 0 0 AMERIGROUP CORP COM 03073T102 16 236 SH DEFINED 59 236 0 0 AMERISERV FINANCIAL INC COM 03074A102 105 38289 SH DEFINED 48 38289 0 0 AMERISERV FINANCIAL INC COM 03074A102 1 228 SH DEFINED 49 228 0 0 AMERISERV FINANCIAL INC COM 03074A102 0 1 SH DEFINED 65 1 0 0 AMERIPRISE FINANCIAL INC COM 03076C106 40879 715552 SH DEFINED 03 607372 0 108180 AMERIPRISE FINANCIAL INC COM 03076C106 422 7380 SH DEFINED 04 3770 3490 120 AMERIPRISE FINANCIAL INC COM 03076C106 558 9764 SH DEFINED 04 9764 0 0 AMERIPRISE FINANCIAL INC COM 03076C106 61252 1072147 SH DEFINED 15 291119 0 781028 AMERIPRISE FINANCIAL INC COM 03076C106 44711 782622 SH DEFINED 22 782622 0 0 AMERIPRISE FINANCIAL INC COM 03076C106 62340 1091190 SH DEFINED 48 1061735 0 29455 AMERIPRISE FINANCIAL INC COM 03076C106 8345 146078 SH DEFINED 49 103361 40827 1890 AMERIPRISE FINANCIAL INC COM 03076C106 60026 1050699 SH DEFINED 49 1042551 130 8018 AMERIPRISE FINANCIAL INC COM 03076C106 22 381 SH DEFINED 59 361 0 20 AMERIPRISE FINANCIAL INC COM 03076C106 114 2000 SH DEFINED 64 2000 0 0 AMERIPRISE FINANCIAL INC COM 03076C106 1 16 SH DEFINED 65 16 0 0 AMERIS BANCORP COM 03076K108 359 27293 SH DEFINED 15 21364 0 5929 AMERIS BANCORP COM 03076K108 1158 88156 SH DEFINED 48 87856 0 300 AMERIS BANCORP COM 03076K108 0 1 SH DEFINED 65 1 0 0 AMERIGAS PARTNERS-LP UNIT 030975106 2836 69996 SH DEFINED 48 69996 0 0 AMERIGAS PARTNERS-LP UNIT 030975106 437 10781 SH DEFINED 49 8381 0 2400 AMERIGAS PARTNERS-LP UNIT 030975106 8 200 SH DEFINED 49 200 0 0 AMERIGAS PARTNERS-LP UNIT 030975106 0 1 SH DEFINED 65 1 0 0 AMES NATIONAL CORP COM 031001100 371 15585 SH DEFINED 15 12976 0 2609 AMES NATIONAL CORP COM 031001100 406 17066 SH DEFINED 48 16866 0 200 AMES NATIONAL CORP COM 031001100 0 1 SH DEFINED 65 1 0 0 AMETEK INC COM 031100100 5916 121955 SH DEFINED 03 87618 0 34337 AMETEK INC COM 031100100 18 380 SH DEFINED 04 140 240 0 AMETEK INC COM 031100100 23608 486660 SH DEFINED 15 216312 0 270348 AMETEK INC COM 031100100 48799 1005965 SH DEFINED 22 1005965 0 0 AMETEK INC COM 031100100 42978 885961 SH DEFINED 48 883509 0 2452 AMETEK INC COM 031100100 3704 76347 SH DEFINED 49 75747 0 600 AMETEK INC COM 031100100 1854 38228 SH DEFINED 49 29578 8650 0 AMETEK INC COM 031100100 132 2715 SH DEFINED 59 2431 0 284 AMETEK INC COM 031100100 92 1900 SH DEFINED 64 1900 0 0 AMETEK INC COM 031100100 5 96 SH DEFINED 65 96 0 0 AMGEN INC COM 031162100 349 5130 SH DEFINED 03 5130 0 0 AMGEN INC COM 031162100 86 1270 SH DEFINED 04 100 1170 0 AMGEN INC COM 031162100 120 1765 SH DEFINED 04 1765 0 0 AMGEN INC COM 031162100 302136 4445135 SH DEFINED 15 1545358 0 2899777 AMGEN INC COM 031162100 21553 317097 SH DEFINED 22 317097 0 0 AMGEN INC COM 031162100 156 2290 SH DEFINED 40 2290 0 0 AMGEN INC

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COM 031162100 245050 3605273 SH DEFINED 48 3500198 0 105075 AMGEN INC COM 031162100 7989  
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 ANGIODYNAMICS INC COM 03475V101 1 47 SH DEFINED 65 47 0 0 ANGLOGOLD ASHANTI-SPON ADR  
 SPON 035128206 260 7049 SH DEFINED 03 0 0 7049 ANGLOGOLD ASHANTI-SPON ADR SPON 035128206 7  
 200 SH DEFINED 49 200 0 0 ANGLOGOLD ASHANTI-SPON ADR SPON 035128206 77 2092 SH DEFINED 49  
 2092 0 0 ANGLOGOLD ASHANTI-SPON ADR SPON 035128206 805 21797 SH DEFINED 59 19606 0 2191  
 ANGLOGOLD ASHANTI-SPON ADR SPON 035128206 0 1 SH DEFINED 65 1 0 0 ANGLOGOLD ASHANTI  
 HLDGS MAND 03512Q206 654 15182 SH DEFINED 38 0 0 15182 ANGLOGOLD ASHANTI HLDGS MAND  
 03512Q206 53910 1251387 SH DEFINED 40 1251387 0 0 ANHEUSER-BUSCH INBEV SPN ADR SPON  
 03524A108 188 2580 SH DEFINED 04 2580 0 0 ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 19  
 260 SH DEFINED 04 260 0 0 ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 145 2000 SH DEFINED  
 15 2000 0 0 ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 10859 149329 SH DEFINED 38 0 0  
 149329 ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 1508 20740 SH DEFINED 48 20740 0 0  
 ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 1327 18243 SH DEFINED 49 18173 0 70

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ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 190 2611 SH DEFINED 49 2611 0 0  
ANHEUSER-BUSCH INBEV SPN ADR SPON 03524A108 326 4480 SH DEFINED 59 4002 0 478 ANIKA  
THERAPEUTICS INC COM 035255108 548 43711 SH DEFINED 48 43711 0 0 ANIKA THERAPEUTICS INC  
COM 035255108 7 548 SH DEFINED 65 548 0 0 ANIXTER INTERNATIONAL INC COM 035290105 4397 60627  
SH DEFINED 15 41542 0 19085 ANIXTER INTERNATIONAL INC COM 035290105 8288 114264 SH DEFINED  
22 114264 0 0 ANIXTER INTERNATIONAL INC COM 035290105 9562 131831 SH DEFINED 48 131431 0 400  
ANIXTER INTERNATIONAL INC COM 035290105 68 943 SH DEFINED 49 943 0 0 ANIXTER  
INTERNATIONAL INC COM 035290105 219 3025 SH DEFINED 49 3025 0 0 ANIXTER INTERNATIONAL INC  
COM 035290105 50 693 SH DEFINED 59 567 0 126 ANIXTER INTERNATIONAL INC COM 035290105 1 9 SH  
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178198 SH DEFINED 15 121061 0 57137 ANN INC COM 035623107 13181 460227 SH DEFINED 22 460227 0 0  
ANN INC COM 035623107 7965 278116 SH DEFINED 48 277416 0 700 ANN INC COM 035623107 73 2541 SH  
DEFINED 49 2351 190 0 ANN INC COM 035623107 618 21580 SH DEFINED 49 21110 0 470 ANNALY  
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MANAGEMENT IN COM 035710409 982 62070 SH DEFINED 04 62070 0 0 ANNALY CAPITAL  
MANAGEMENT IN COM 035710409 23943 1513437 SH DEFINED 15 585212 0 928225 ANNALY CAPITAL  
MANAGEMENT IN COM 035710409 1079 68210 SH DEFINED 22 68210 0 0 ANNALY CAPITAL  
MANAGEMENT IN COM 035710409 1378 87136 SH DEFINED 38 0 0 87136 ANNALY CAPITAL  
MANAGEMENT IN COM 035710409 197933 12511560 SH DEFINED 40 11680579 56736 774245 ANNALY  
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CAPITAL MANAGEMENT IN COM 035710409 13271 838896 SH DEFINED 49 807126 0 31770 ANNALY  
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CAPITAL MANAGEMENT IN COM 035710409 24 1528 SH DEFINED 59 528 0 1000 ANNALY CAPITAL  
MANAGEMENT IN COM 035710409 100 6300 SH DEFINED 64 6300 0 0 ANNALY CAPITAL MANAGEMENT  
IN COM 035710409 0 0 SH DEFINED 65 0 0 0 ANSYS INC COM 03662Q105 20758 319250 SH DEFINED 15  
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49 0 1563 0 ANSYS INC COM 03662Q105 2098 32266 SH DEFINED 49 32266 0 0 ANSYS INC COM 03662Q105  
612 9413 SH DEFINED 59 8536 0 877 ANSYS INC COM 03662Q105 16 250 SH DEFINED 65 250 0 0 ANTARES  
PHARMA INC COM 036642106 256 79334 SH DEFINED 15 79334 0 0 ANTARES PHARMA INC COM  
036642106 1005 310996 SH DEFINED 48 309796 0 1200 ANTHERA PHARMACEUTICALS INC COM  
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41305 SH DEFINED 48 40905 0 400 ANWORTH MORTGAGE ASSET CORP COM 037347101 282 42835 SH  
DEFINED 04 42835 0 0 ANWORTH MORTGAGE ASSET CORP COM 037347101 1503 228418 SH DEFINED 15  
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DEFINED 22 390636 0 0 AON CORP COM 037389103 70459 1436173 SH DEFINED 48 1394521 0 41652 AON  
CORP COM 037389103 32714 666821 SH DEFINED 49 634306 320 32195 AON CORP COM 037389103 2084  
42469 SH DEFINED 49 26372 12800 3297 AON CORP COM 037389103 4 84 SH DEFINED 51 0 0 84 AON CORP  
COM 037389103 18 362 SH DEFINED 59 362 0 0 AON CORP COM 037389103 0 0 SH DEFINED 65 0 0 0 A123  
SYSTEMS INC COM 03739T108 160 142909 SH DEFINED 15 115742 0 27167 A123 SYSTEMS INC COM  
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CORP COM 037411105 705 7022 SH DEFINED 04 4232 2790 0 APACHE CORP COM 037411105 859 8555 SH  
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230 2286 SH DEFINED 40 2286 0 0 APACHE CORP COM 037411105 177748 1769693 SH DEFINED 48 1719231

0 50462 APACHE CORP COM 037411105 106696 1062281 SH DEFINED 49 1040474 629 21178 APACHE CORP  
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 9393 3952 150 APACHE CORP PFD 037411808 11714 210768 SH DEFINED 49 208808 0 1960 APACHE CORP  
 PFD 037411808 1 20 SH DEFINED 59 20 0 0 APARTMENT INVT & MGMT CO -A CL A 03748R101 14956  
 566312 SH DEFINED 15 181541 0 384771 APARTMENT INVT & MGMT CO -A CL A 03748R101 5444 206118  
 SH DEFINED 22 206118 0 0 APARTMENT INVT & MGMT CO -A CL A 03748R101 15999 605790 SH  
 DEFINED 48 589584 0 16206 APARTMENT INVT & MGMT CO -A CL A 03748R101 375 14186 SH DEFINED  
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 INC COM 037598109 3927 303254 SH DEFINED 03 227540 0 75714 APOGEE ENTERPRISES INC COM  
 037598109 3357 259197 SH DEFINED/OTHER 0366 0 0 259197 APOGEE ENTERPRISES INC COM 037598109  
 998 77081 SH DEFINED 15 52090 0 24991 APOGEE ENTERPRISES INC COM 037598109 3113 240360 SH  
 DEFINED 22 240360 0 0 APOGEE ENTERPRISES INC COM 037598109 1714 132380 SH DEFINED 48 132080 0  
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 28 730 SH DEFINED 03 730 0 0 APOLLO GROUP INC-CL A CL A 037604105 53853 1393710 SH DEFINED 15  
 993145 0 400565 APOLLO GROUP INC-CL A CL A 037604105 1296 33532 SH DEFINED 22 33532 0 0 APOLLO  
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 A CL A 037604105 300 7773 SH DEFINED 49 7773 0 0 APOLLO GROUP INC-CL A CL A 037604105 0 12 SH  
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 INVESTMENT CORP COM 03761U106 2958 412609 SH DEFINED 22 412609 0 0 APOLLO INVESTMENT  
 CORP COM 03761U106 5096 710684 SH DEFINED 48 708084 0 2600 APOLLO INVESTMENT CORP COM  
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 SH DEFINED 65 13921 0 0 APOLLO COMMERCIAL REAL ESTAT COM 03762U105 399 25480 SH DEFINED  
 15 22619 0 2861 APOLLO COMMERCIAL REAL ESTAT COM 03762U105 1869 119420 SH DEFINED 48  
 119120 0 300 APOLLO COMMERCIAL REAL ESTAT COM 03762U105 2 130 SH DEFINED 49 130 0 0  
 APOLLO COMMERCIAL REAL ESTAT COM 03762U105 0 1 SH DEFINED 65 1 0 0 APOLLO RESIDENTIAL  
 MORTGAGE COM 03763V102 287 15588 SH DEFINED 15 15231 0 357 APOLLO RESIDENTIAL MORTGAGE  
 COM 03763V102 1154 62790 SH DEFINED 48 62590 0 200 APPLE INC COM 037833100 209731 349814 SH  
 DEFINED 03 339171 0 10643 APPLE INC COM 037833100 8463 14116 SH DEFINED 04 10192 3924 0 APPLE  
 INC COM 037833100 12451 20767 SH DEFINED 04 20767 0 0 APPLE INC COM 037833100 2818684 4701332  
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 037833100 6 10 SH DEFINED 47 10 0 0 APPLE INC COM 037833100 2558414 4267223 SH DEFINED 48  
 4145064 0 122159 APPLE INC COM 037833100 759416 1266643 SH DEFINED 49 1224456 779 41408 APPLE  
 INC COM 037833100 153910 256710 SH DEFINED 49 170321 80829 5560 APPLE INC COM 037833100 3695  
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 INC COM 037833100 0 1 SH DEFINED 65 1 0 0 APPLIED INDUSTRIAL TECH INC COM 03820C105 9674  
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 DEFINED 15 170425 0 26488 APPLIED INDUSTRIAL TECH INC COM 03820C105 8647 210247 SH DEFINED  
 22 210247 0 0 APPLIED INDUSTRIAL TECH INC COM 03820C105 7262 176551 SH DEFINED 48 176051 0 500  
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 038222105 34 2720 SH DEFINED 03 2720 0 0 APPLIED MATERIALS INC COM 038222105 8 640 SH DEFINED  
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MATERIALS INC COM 038222105 92902 7464997 SH DEFINED 15 2935205 0 4529792 APPLIED MATERIALS  
 INC COM 038222105 9577 769539 SH DEFINED 22 769539 0 0 APPLIED MATERIALS INC COM 038222105  
 15253 1225650 SH DEFINED 38 416812 706570 102268 APPLIED MATERIALS INC COM 038222105 198040  
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 DEFINED 49 151539 400 800 APPLIED MATERIALS INC COM 038222105 1247 100161 SH DEFINED 49 68565  
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 MATERIALS INC COM 038222105 142 11400 SH DEFINED 64 11400 0 0 APPLIED MATERIALS INC COM  
 038222105 0 0 SH DEFINED 65 0 0 0 APPLIED MICRO CIRCUITS CORP COM 03822W406 14233 2050882 SH  
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 15 67447 0 31125 APPLIED MICRO CIRCUITS CORP COM 03822W406 17241 2484260 SH DEFINED 22  
 2484260 0 0 APPLIED MICRO CIRCUITS CORP COM 03822W406 4177 601920 SH DEFINED 48 601120 0 800  
 APPLIED MICRO CIRCUITS CORP COM 03822W406 266 38350 SH DEFINED 49 38350 0 0 APPLIED MICRO  
 CIRCUITS CORP COM 03822W406 0 38 SH DEFINED 65 38 0 0 APTARGROUP INC COM 038336103 10044  
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 140369 0 0 APTARGROUP INC COM 038336103 15514 283265 SH DEFINED 48 282465 0 800 APTARGROUP  
 INC COM 038336103 380 6945 SH DEFINED 49 6945 0 0 APTARGROUP INC COM 038336103 7 125 SH  
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 RESOURCES INC COM 03834A103 9635 260760 SH DEFINED 03 246070 0 14690 APPROACH RESOURCES  
 INC COM 03834A103 10 260 SH DEFINED 04 0 260 0 APPROACH RESOURCES INC COM 03834A103 11802  
 319392 SH DEFINED 15 303654 0 15738 APPROACH RESOURCES INC COM 03834A103 22080 597568 SH  
 DEFINED 22 597568 0 0 APPROACH RESOURCES INC COM 03834A103 6029 163161 SH DEFINED 48 162861  
 0 300 APPROACH RESOURCES INC COM 03834A103 113 3060 SH DEFINED 49 2780 280 0 APPROACH  
 RESOURCES INC COM 03834A103 694 18780 SH DEFINED 49 18130 0 650 APPROACH RESOURCES INC  
 COM 03834A103 3 76 SH DEFINED 65 76 0 0 AQUA AMERICA INC COM 03836W103 112 5010 SH DEFINED  
 04 5010 0 0 AQUA AMERICA INC COM 03836W103 14836 665596 SH DEFINED 15 253867 0 411729 AQUA  
 AMERICA INC COM 03836W103 7601 340983 SH DEFINED 22 340983 0 0 AQUA AMERICA INC COM  
 03836W103 13310 597113 SH DEFINED 48 595413 0 1700 AQUA AMERICA INC COM 03836W103 1176 52764  
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 1899 AQUA AMERICA INC COM 03836W103 9 401 SH DEFINED 65 401 0 0 ARBITRON INC COM  
 03875Q108 2193 59308 SH DEFINED 15 42888 0 16420 ARBITRON INC COM 03875Q108 3045 82354 SH  
 DEFINED 22 82354 0 0 ARBITRON INC COM 03875Q108 5094 137758 SH DEFINED 48 137458 0 300  
 ARBITRON INC COM 03875Q108 301 8130 SH DEFINED 49 8130 0 0 ARBITRON INC COM 03875Q108 30 800  
 SH DEFINED 49 0 0 800 ARBITRON INC COM 03875Q108 22 598 SH DEFINED 59 370 0 228 ARBITRON INC  
 COM 03875Q108 8 221 SH DEFINED 65 221 0 0 ARBOR REALTY TRUST INC COM 038923108 37 6648 SH  
 DEFINED 15 6648 0 0 ARBOR REALTY TRUST INC COM 038923108 650 116239 SH DEFINED 48 116239 0 0  
 ARBOR REALTY TRUST INC COM 038923108 1 248 SH DEFINED 65 248 0 0 ARCH COAL INC COM  
 039380100 17 1550 SH DEFINED 03 1550 0 0 ARCH COAL INC COM 039380100 7203 672515 SH DEFINED 15  
 388577 0 283938 ARCH COAL INC COM 039380100 4738 442408 SH DEFINED 22 442408 0 0 ARCH COAL  
 INC COM 039380100 1587 148156 SH DEFINED 40 148156 0 0 ARCH COAL INC COM 039380100 9834 918188  
 SH DEFINED 48 915029 0 3159 ARCH COAL INC COM 039380100 159 14848 SH DEFINED 49 14848 0 0  
 ARCH COAL INC COM 039380100 6 516 SH DEFINED 49 296 220 0 ARCH COAL INC COM 039380100 0 0 SH  
 DEFINED 59 0 0 0 ARCH COAL INC COM 039380100 5 434 SH DEFINED 65 434 0 0 ARCELORMITTAL-NY  
 REGISTERED NY R 03938L104 304 15867 SH DEFINED 15 15867 0 0 ARCELORMITTAL-NY REGISTERED  
 NY R 03938L104 85 4455 SH DEFINED 22 4455 0 0 ARCELORMITTAL-NY REGISTERED NY R 03938L104  
 232 12121 SH DEFINED 48 12121 0 0 ARCELORMITTAL-NY REGISTERED NY R 03938L104 48 2500 SH  
 DEFINED 49 2500 0 0 ARCELORMITTAL-NY REGISTERED NY R 03938L104 0 1 SH DEFINED 65 1 0 0  
 ARCHER-DANIELS-MIDLAND CO COM 039483102 41 1310 SH DEFINED 03 1310 0 0  
 ARCHER-DANIELS-MIDLAND CO COM 039483102 31 994 SH DEFINED 04 994 0 0  
 ARCHER-DANIELS-MIDLAND CO COM 039483102 44 1400 SH DEFINED 04 0 1400 0  
 ARCHER-DANIELS-MIDLAND CO COM 039483102 101240 3197735 SH DEFINED 15 869766 0 2327969

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ARCHER-DANIELS-MIDLAND CO COM 039483102 6138 193876 SH DEFINED 22 193876 0 0  
ARCHER-DANIELS-MIDLAND CO COM 039483102 19 600 SH DEFINED 40 600 0 0  
ARCHER-DANIELS-MIDLAND CO COM 039483102 95182 3006389 SH DEFINED 48 2920486 0 85903  
ARCHER-DANIELS-MIDLAND CO COM 039483102 2729 86193 SH DEFINED 49 45556 40637 0  
ARCHER-DANIELS-MIDLAND CO COM 039483102 5790 182866 SH DEFINED 49 180460 0 2406  
ARCHER-DANIELS-MIDLAND CO COM 039483102 428 13510 SH DEFINED 59 11264 0 2246  
ARCHER-DANIELS-MIDLAND CO COM 039483102 956 30200 SH DEFINED 64 30200 0 0  
ARCHER-DANIELS-MIDLAND CO COM 039483102 136 4290 SH DEFINED 65 4290 0 0 ARCHER DANIELS  
NOTE 039483AW2 2815 2750000 SH DEFINED 15 2750000 0 0 ARCHER DANIELS NOTE 039483AW2 2 2000  
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0 0 ARCHIPELAGO LEARNING INC COM 03956P102 393 35340 SH DEFINED 48 35040 0 300 ARCTIC CAT  
INC COM 039670104 2073 48378 SH DEFINED 03 48378 0 0 ARCTIC CAT INC COM 039670104 927 21645 SH  
DEFINED 15 16887 0 4758 ARCTIC CAT INC COM 039670104 1310 30587 SH DEFINED 22 30587 0 0 ARCTIC  
CAT INC COM 039670104 2993 69876 SH DEFINED 48 69776 0 100 ARCTIC CAT INC COM 039670104 69  
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BIOSCIENCES INC COM 03969P107 534 24523 SH DEFINED 15 18538 0 5985 ARDEA BIOSCIENCES INC  
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15 140314 0 63158 ARENA PHARMACEUTICALS INC COM 040047102 1833 596257 SH DEFINED 48 594157 0  
2100 ARENA PHARMACEUTICALS INC COM 040047102 15 5024 SH DEFINED 65 5024 0 0 ARGAN INC  
COM 04010E109 110 6836 SH DEFINED 15 6836 0 0 ARGAN INC COM 04010E109 268 16730 SH DEFINED 48  
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9039 552844 SH DEFINED 15 380761 0 172083 ARES CAPITAL CORP COM 04010L103 754 46100 SH  
DEFINED 22 46100 0 0 ARES CAPITAL CORP COM 04010L103 4182 255751 SH DEFINED 48 253151 0 2600  
ARES CAPITAL CORP COM 04010L103 768 46978 SH DEFINED 49 46124 0 854 ARES CAPITAL CORP COM  
04010L103 45 2745 SH DEFINED 49 2245 500 0 ARES CAPITAL CORP COM 04010L103 2 126 SH DEFINED 59  
126 0 0 ARES CAPITAL CORP COM 04010L103 258 15800 SH DEFINED 64 15800 0 0 ARES CAPITAL CORP  
COM 04010L103 0 1 SH DEFINED 65 1 0 0 ARIAD PHARMACEUTICALS INC COM 04033A100 13266 830133  
SH DEFINED 03 671290 0 158843 ARIAD PHARMACEUTICALS INC COM 04033A100 4214 263706 SH  
DEFINED 15 206039 0 57667 ARIAD PHARMACEUTICALS INC COM 04033A100 6602 413150 SH DEFINED  
22 413150 0 0 ARIAD PHARMACEUTICALS INC COM 04033A100 13266 830140 SH DEFINED 48 828540 0  
1600 ARIAD PHARMACEUTICALS INC COM 04033A100 75 4700 SH DEFINED 49 4700 0 0 ARIAD  
PHARMACEUTICALS INC COM 04033A100 329 20615 SH DEFINED 49 20615 0 0 ARIBA INC COM  
04033V203 4136 126459 SH DEFINED 15 53605 0 72854 ARIBA INC COM 04033V203 9178 280584 SH  
DEFINED 38 94113 160699 25772 ARIBA INC COM 04033V203 126702 3873508 SH DEFINED 40 3320490  
57996 495022 ARIBA INC COM 04033V203 10604 324189 SH DEFINED 48 322989 0 1200 ARIBA INC COM  
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10933 10 0 ARIBA INC COM 04033V203 2 65 SH DEFINED 65 65 0 0 ARKANSAS BEST CORP COM  
040790107 852 45311 SH DEFINED 15 29194 0 16117 ARKANSAS BEST CORP COM 040790107 1428 75926  
SH DEFINED 22 75926 0 0 ARKANSAS BEST CORP COM 040790107 2207 117324 SH DEFINED 48 117024 0  
300 ARKANSAS BEST CORP COM 040790107 138 7325 SH DEFINED 49 7325 0 0 ARKANSAS BEST CORP  
COM 040790107 0 1 SH DEFINED 65 1 0 0 ARLINGTON ASSET INVESTMENT-A CL A 041356205 913 41123  
SH DEFINED 15 40871 0 252 ARLINGTON ASSET INVESTMENT-A CL A 041356205 1188 53512 SH  
DEFINED 48 53412 0 100 ARLINGTON ASSET INVESTMENT-A CL A 041356205 35 1590 SH DEFINED 49  
1590 0 0 ARLINGTON ASSET INVESTMENT-A CL A 041356205 1 28 SH DEFINED 65 28 0 0 ARM  
HOLDINGS PLC-SPONS ADR SPON 042068106 1692 59803 SH DEFINED 49 57908 0 1895 ARM HOLDINGS  
PLC-SPONS ADR SPON 042068106 68 2420 SH DEFINED 49 2400 20 0 ARM HOLDINGS PLC-SPONS ADR  
SPON 042068106 102 3594 SH DEFINED 59 750 0 2844 ARM HOLDINGS PLC-SPONS ADR SPON 042068106  
39 1373 SH DEFINED 65 1373 0 0 ARMOUR RESIDENTIAL REIT INC COM 042315101 1640 243025 SH

DEFINED 15 183767 0 59258 ARMOUR RESIDENTIAL REIT INC COM 042315101 3574 529442 SH DEFINED  
 48 528442 0 1000 ARMOUR RESIDENTIAL REIT INC COM 042315101 1012 150000 SH DEFINED 49 150000 0  
 0 ARMOUR RESIDENTIAL REIT INC COM 042315101 4 560 SH DEFINED 49 560 0 0 ARMOUR  
 RESIDENTIAL REIT INC COM 042315101 0 1 SH DEFINED 65 1 0 0 ARMSTRONG WORLD INDUSTRIES  
 COM 04247X102 11669 239276 SH DEFINED 03 159470 0 79806 ARMSTRONG WORLD INDUSTRIES COM  
 04247X102 5307 108810 SH DEFINED/OTHER 0366 0 0 108810 ARMSTRONG WORLD INDUSTRIES COM  
 04247X102 1540 31577 SH DEFINED 15 16981 0 14596 ARMSTRONG WORLD INDUSTRIES COM 04247X102  
 3556 72910 SH DEFINED 22 72910 0 0 ARMSTRONG WORLD INDUSTRIES COM 04247X102 4185 85807 SH  
 DEFINED 48 85507 0 300 ARMSTRONG WORLD INDUSTRIES COM 04247X102 2 34 SH DEFINED 49 34 0 0  
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315170 0 68218 ASBURY AUTOMOTIVE GROUP COM 043436104 1657 61385 SH DEFINED 15 47374 0 14011  
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TECHNOLOGIES COM 043635101 21 33112 SH DEFINED 48 33112 0 0 ASCENT SOLAR TECHNOLOGIES  
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04544X300 773 46543 SH DEFINED 15 35781 0 10762 ASSISTED LIVING CONCEPTS I-A CL A 04544X300  
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 TECHNOLOGY INC COM 05367P100 560 50869 SH DEFINED 15 29178 0 21691 AVID TECHNOLOGY INC  
 COM 05367P100 780 70887 SH DEFINED 22 70887 0 0 AVID TECHNOLOGY INC COM 05367P100 1475  
 134096 SH DEFINED 48 133696 0 400 AVID TECHNOLOGY INC COM 05367P100 30 2770 SH DEFINED 49  
 2770 0 0 AVID TECHNOLOGY INC COM 05367P100 1 95 SH DEFINED 65 95 0 0 AVIS BUDGET GROUP INC  
 COM 053774105 2947 208242 SH DEFINED 15 163168 0 45074 AVIS BUDGET GROUP INC COM 053774105  
 5413 382532 SH DEFINED 48 381232 0 1300 AVIS BUDGET GROUP INC COM 053774105 118 8360 SH  
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 CORP COM 05379B107 10227 399795 SH DEFINED 15 361289 0 38506 AVISTA CORP COM 05379B107 3870  
 151308 SH DEFINED 22 151308 0 0 AVISTA CORP COM 05379B107 7744 302717 SH DEFINED 48 302017 0  
 700 AVISTA CORP COM 05379B107 342 13377 SH DEFINED 49 13377 0 0 AVISTA CORP COM 05379B107  
 172 6725 SH DEFINED 49 6725 0 0 AVISTA CORP COM 05379B107 32 1262 SH DEFINED 59 944 0 318  
 AVISTA CORP COM 05379B107 0 0 SH DEFINED 65 0 0 0 AVNET INC COM 053807103 65806 1808345 SH  
 DEFINED 03 800210 0 1008135 AVNET INC COM 053807103 4 120 SH DEFINED 04 120 0 0 AVNET INC COM  
 053807103 15306 420599 SH DEFINED 15 224220 0 196379 AVNET INC COM 053807103 59411 1632624 SH  
 DEFINED 22 1632624 0 0 AVNET INC COM 053807103 31242 858534 SH DEFINED 48 855172 0 3362 AVNET  
 INC COM 053807103 2960 81336 SH DEFINED 49 70196 0 11140 AVNET INC COM 053807103 369 10144 SH  
 DEFINED 49 5829 4315 0 AVNET INC COM 053807103 9 235 SH DEFINED 65 235 0 0 AVON PRODUCTS INC  
 COM 054303102 52206 2696580 SH DEFINED 03 1907440 0 789140 AVON PRODUCTS INC COM 054303102

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2309 119256 SH DEFINED 04 119256 0 0 AVON PRODUCTS INC COM 054303102 100031 5166900 SH  
DEFINED 15 3690297 0 1476603 AVON PRODUCTS INC COM 054303102 34100 1761375 SH DEFINED 22  
1761375 0 0 AVON PRODUCTS INC COM 054303102 40283 2080723 SH DEFINED 48 2024524 0 56199 AVON  
PRODUCTS INC COM 054303102 6868 354737 SH DEFINED 49 348480 400 5857 AVON PRODUCTS INC  
COM 054303102 1558 80474 SH DEFINED 49 56811 20163 3500 AVON PRODUCTS INC COM 054303102 0 1  
SH DEFINED 65 1 0 0 AWARE INC/MASS COM 05453N100 88 22554 SH DEFINED 48 22554 0 0 AXCELIS  
TECHNOLOGIES INC COM 054540109 1575 915620 SH DEFINED 03 915620 0 0 AXCELIS TECHNOLOGIES  
INC COM 054540109 278 161778 SH DEFINED 15 152818 0 8960 AXCELIS TECHNOLOGIES INC COM  
054540109 676 392856 SH DEFINED 48 390556 0 2300 AXCELIS TECHNOLOGIES INC COM 054540109 3  
1477 SH DEFINED 49 1477 0 0 BB&T CORP COM 054937107 32 1020 SH DEFINED 03 1020 0 0 BB&T CORP  
COM 054937107 2035 64822 SH DEFINED 04 64822 0 0 BB&T CORP COM 054937107 251 8000 SH DEFINED  
04 900 7100 0 BB&T CORP COM 054937107 121182 3860539 SH DEFINED 15 1445901 0 2414638 BB&T CORP  
COM 054937107 27377 872166 SH DEFINED 22 872166 0 0 BB&T CORP COM 054937107 1 40 SH DEFINED 47  
40 0 0 BB&T CORP COM 054937107 92681 2952564 SH DEFINED 48 2861609 0 90955 BB&T CORP COM  
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CORP COM 054937107 154 4900 SH DEFINED 64 4900 0 0 BB&T CORP COM 054937107 7 226 SH DEFINED  
65 226 0 0 B&G FOODS INC COM 05508R106 3332 148011 SH DEFINED 15 118227 0 29784 B&G FOODS INC  
COM 05508R106 2615 116161 SH DEFINED 22 116161 0 0 B&G FOODS INC COM 05508R106 4517 200681 SH  
DEFINED 48 200081 0 600 B&G FOODS INC COM 05508R106 145 6450 SH DEFINED 49 4450 0 2000 B&G  
FOODS INC COM 05508R106 0 1 SH DEFINED 59 1 0 0 B&G FOODS INC COM 05508R106 0 1 SH DEFINED  
65 1 0 0 BCB BANCORP INC COM 055298103 111 11058 SH DEFINED 48 11058 0 0 BCE INC COM 05534B760  
77478 1934059 SH DEFINED 15 1867752 0 66307 BCE INC COM 05534B760 2962 73940 SH DEFINED 48 70311  
0 3629 BCE INC COM 05534B760 396 9876 SH DEFINED 49 9327 0 549 BCE INC COM 05534B760 110 2745  
SH DEFINED 49 2745 0 0 BCE INC COM 05534B760 224 5602 SH DEFINED 59 4440 0 1162 BCE INC COM  
05534B760 96 2400 SH DEFINED 64 2400 0 0 BCE INC COM 05534B760 0 0 SH DEFINED 65 0 0 0 BGC  
PARTNERS INC-CL A CL A 05541T101 3364 454350 SH DEFINED 15 412180 0 42170 BGC PARTNERS  
INC-CL A CL A 05541T101 1844 249014 SH DEFINED 48 248014 0 1000 BGC PARTNERS INC-CL A CL A  
05541T101 74 10000 SH DEFINED 49 10000 0 0 BGC PARTNERS INC-CL A CL A 05541T101 12 1600 SH  
DEFINED 49 1600 0 0 BGC PARTNERS INC-CL A CL A 05541T101 24 3288 SH DEFINED 59 2214 0 1074 BGC  
PARTNERS INC-CL A CL A 05541T101 0 1 SH DEFINED 65 1 0 0 BHP BILLITON PLC-ADR SPON 05545E209  
1627 26510 SH DEFINED 15 26510 0 0 BHP BILLITON PLC-ADR SPON 05545E209 1451 23634 SH DEFINED  
22 23634 0 0 BHP BILLITON PLC-ADR SPON 05545E209 2081 33910 SH DEFINED 48 33910 0 0 BHP  
BILLITON PLC-ADR SPON 05545E209 83 1350 SH DEFINED 49 1350 0 0 BHP BILLITON PLC-ADR SPON  
05545E209 588 9578 SH DEFINED 49 9378 200 0 BHP BILLITON PLC-ADR SPON 05545E209 3710 60440 SH  
DEFINED 51 0 0 60440 BHP BILLITON PLC-ADR SPON 05545E209 741 12075 SH DEFINED 59 10920 0 1155  
BHP BILLITON PLC-ADR SPON 05545E209 0 1 SH DEFINED 65 1 0 0 BOK FINANCIAL CORPORATION  
COM 05561Q201 3104 55149 SH DEFINED 15 38640 0 16509 BOK FINANCIAL CORPORATION COM  
05561Q201 4370 77639 SH DEFINED 48 77339 0 300 BOK FINANCIAL CORPORATION COM 05561Q201 276  
4907 SH DEFINED 49 4907 0 0 BOK FINANCIAL CORPORATION COM 05561Q201 0 0 SH DEFINED 65 0 0 0  
BP PLC-SPONS ADR SPON 055622104 618 13730 SH DEFINED 03 13730 0 0 BP PLC-SPONS ADR SPON  
055622104 333 7400 SH DEFINED 04 7400 0 0 BP PLC-SPONS ADR SPON 055622104 3116 69243 SH DEFINED  
15 69243 0 0 BP PLC-SPONS ADR SPON 055622104 9302 206715 SH DEFINED 22 206715 0 0 BP PLC-SPONS  
ADR SPON 055622104 306 6800 SH DEFINED 40 6800 0 0 BP PLC-SPONS ADR SPON 055622104 1638 36391  
SH DEFINED 48 36391 0 0 BP PLC-SPONS ADR SPON 055622104 4114 91420 SH DEFINED 49 72655 0 18765  
BP PLC-SPONS ADR SPON 055622104 24868 552626 SH DEFINED 49 520286 23711 8629 BP PLC-SPONS  
ADR SPON 055622104 31 691 SH DEFINED 59 690 0 1 BP PLC-SPONS ADR SPON 055622104 5 100 SH  
DEFINED 65 100 0 0 BP PRUDHOE BAY ROYALTY TRUST UNIT 055630107 53 420 SH DEFINED 04 420 0 0  
BP PRUDHOE BAY ROYALTY TRUST UNIT 055630107 401 3206 SH DEFINED 15 3206 0 0 BP PRUDHOE  
BAY ROYALTY TRUST UNIT 055630107 3401 27186 SH DEFINED 49 21136 0 6050 BP PRUDHOE BAY  
ROYALTY TRUST UNIT 055630107 1886 15075 SH DEFINED 49 15075 0 0 BP PRUDHOE BAY ROYALTY

TRUST UNIT 055630107 8 60 SH DEFINED 65 60 0 0 BPZ RESOURCES INC COM 055639108 550 136483 SH  
 DEFINED 15 98992 0 37491 BPZ RESOURCES INC COM 055639108 1623 402623 SH DEFINED 48 401323 0  
 1300 BRT REALTY TRUST SH B 055645303 71 10133 SH DEFINED 15 10133 0 0 BRT REALTY TRUST SH B  
 055645303 120 17189 SH DEFINED 48 17189 0 0 BRT REALTY TRUST SH B 055645303 11 1530 SH DEFINED  
 49 765 0 765 BRT REALTY TRUST SH B 055645303 0 0 SH DEFINED 65 0 0 0 BRE PROPERTIES INC CL A  
 05564E106 11140 220373 SH DEFINED 15 134691 0 85682 BRE PROPERTIES INC CL A 05564E106 8874  
 175545 SH DEFINED 22 175545 0 0 BRE PROPERTIES INC CL A 05564E106 17166 339587 SH DEFINED 48  
 338687 0 900 BRE PROPERTIES INC CL A 05564E106 418 8262 SH DEFINED 49 8262 0 0 BRE PROPERTIES  
 INC CL A 05564E106 91 1810 SH DEFINED 49 1510 300 0 BRE PROPERTIES INC CL A 05564E106 3 66 SH  
 DEFINED 59 66 0 0 BRE PROPERTIES INC CL A 05564E106 1 14 SH DEFINED 65 14 0 0 BSD MEDICAL  
 CORP COM 055662100 98 47345 SH DEFINED 48 47345 0 0 BSD MEDICAL CORP COM 055662100 6 2715 SH  
 DEFINED 65 2715 0 0 BOFI HOLDING INC COM 05566U108 225 13162 SH DEFINED 15 12800 0 362 BOFI  
 HOLDING INC COM 05566U108 564 33022 SH DEFINED 48 32822 0 200 BOFI HOLDING INC COM  
 05566U108 88 5180 SH DEFINED 49 5180 0 0 BOFI HOLDING INC COM 05566U108 0 13 SH DEFINED 65 13 0  
 0 BT GROUP PLC-SPON ADR ADR 05577E101 1004 27778 SH DEFINED 15 27778 0 0 BT GROUP PLC-SPON  
 ADR ADR 05577E101 756 20903 SH DEFINED 22 20903 0 0 BT GROUP PLC-SPON ADR ADR 05577E101 1477  
 40854 SH DEFINED 48 40854 0 0 BT GROUP PLC-SPON ADR ADR 05577E101 45 1240 SH DEFINED 49 740 0  
 500 BT GROUP PLC-SPON ADR ADR 05577E101 9 240 SH DEFINED 49 240 0 0 BT GROUP PLC-SPON ADR  
 ADR 05577E101 1188 32866 SH DEFINED 59 29542 0 3324 BT GROUP PLC-SPON ADR ADR 05577E101 0 0  
 SH DEFINED 65 0 0 0 BMC SOFTWARE INC COM 055921100 14 354 SH DEFINED 04 354 0 0 BMC  
 SOFTWARE INC COM 055921100 50356 1253892 SH DEFINED 15 581002 0 672890 BMC SOFTWARE INC  
 COM 055921100 17530 436507 SH DEFINED 22 436507 0 0 BMC SOFTWARE INC COM 055921100 40234  
 1001840 SH DEFINED 48 977299 0 24541 BMC SOFTWARE INC COM 055921100 301 7489 SH DEFINED 49  
 6739 690 60 BMC SOFTWARE INC COM 055921100 1865 46450 SH DEFINED 49 46450 0 0 BMC SOFTWARE  
 INC COM 055921100 124 3076 SH DEFINED 59 1834 0 1242 BTU INTERNATIONAL INC COM 056032105 40  
 12933 SH DEFINED 48 12933 0 0 BTU INTERNATIONAL INC COM 056032105 3 895 SH DEFINED 49 895 0 0  
 BABCOCK & WILCOX CO/THE COM 05615F102 4116 159850 SH DEFINED 15 70580 0 89270 BABCOCK &  
 WILCOX CO/THE COM 05615F102 8681 337107 SH DEFINED 48 335607 0 1500 BABCOCK & WILCOX  
 CO/THE COM 05615F102 283 11002 SH DEFINED 49 11002 0 0 BABCOCK & WILCOX CO/THE COM  
 05615F102 744 28880 SH DEFINED 49 21830 7000 50 BABCOCK & WILCOX CO/THE COM 05615F102 460  
 17871 SH DEFINED 59 10791 0 7080 BABCOCK & WILCOX CO/THE COM 05615F102 12 480 SH DEFINED 65  
 480 0 0 BABSON CAPITAL PARTICIPATION SH B 05616B100 372 24892 SH DEFINED 49 24892 0 0 BABSON  
 CAPITAL PARTICIPATION SH B 05616B100 0 0 SH DEFINED 65 0 0 0 BABSON CAPITAL CORPORATE INV  
 COM 05617K109 429 25800 SH DEFINED 49 25800 0 0 BABSON CAPITAL CORPORATE INV COM  
 05617K109 0 1 SH DEFINED 65 1 0 0 BACTERIN INTERNATIONAL HOLDI COM 05644R101 36 14883 SH  
 DEFINED 15 14883 0 0 BACTERIN INTERNATIONAL HOLDI COM 05644R101 91 37429 SH DEFINED 48  
 37429 0 0 BADGER METER INC COM 056525108 1398 41122 SH DEFINED 15 29843 0 11279 BADGER  
 METER INC COM 056525108 1308 38481 SH DEFINED 22 38481 0 0 BADGER METER INC COM 056525108  
 2130 62663 SH DEFINED 48 62463 0 200 BADGER METER INC COM 056525108 51 1500 SH DEFINED 49  
 1500 0 0 BADGER METER INC COM 056525108 19 550 SH DEFINED 49 550 0 0 BADGER METER INC COM  
 056525108 2 71 SH DEFINED 65 71 0 0 BAIDU INC - SPON ADR SPON 056752108 9252 63470 SH DEFINED  
 03 22160 0 41310 BAIDU INC - SPON ADR SPON 056752108 148 1017 SH DEFINED 04 151 866 0 BAIDU INC -  
 SPON ADR SPON 056752108 1473 10105 SH DEFINED 04 10105 0 0 BAIDU INC - SPON ADR SPON  
 056752108 1436 9849 SH DEFINED 15 9849 0 0 BAIDU INC - SPON ADR SPON 056752108 35090 240724 SH  
 DEFINED 22 240724 0 0 BAIDU INC - SPON ADR SPON 056752108 20175 138400 SH DEFINED 40 108400 0  
 30000 BAIDU INC - SPON ADR SPON 056752108 1093 7500 SH DEFINED 48 7500 0 0 BAIDU INC - SPON  
 ADR SPON 056752108 52123 357571 SH DEFINED 49 340122 402 17047 BAIDU INC - SPON ADR SPON  
 056752108 4236 29057 SH DEFINED 49 19931 8016 1110 BAIDU INC - SPON ADR SPON 056752108 395 2710  
 SH DEFINED 59 2071 0 639 BAIDU INC - SPON ADR SPON 056752108 65 444 SH DEFINED 65 444 0 0  
 MICHAEL BAKER CORP COM 057149106 557 23365 SH DEFINED 15 8986 0 14379 MICHAEL BAKER CORP  
 COM 057149106 744 31177 SH DEFINED 48 31077 0 100 MICHAEL BAKER CORP COM 057149106 12 520 SH

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DEFINED 49 520 0 0 BAKER HUGHES INC COM 057224107 12 296 SH DEFINED 04 296 0 0 BAKER HUGHES  
INC COM 057224107 93835 2237373 SH DEFINED 15 727488 0 1509885 BAKER HUGHES INC COM  
057224107 5625 134123 SH DEFINED 22 134123 0 0 BAKER HUGHES INC COM 057224107 10351 246805 SH  
DEFINED 38 98988 147817 0 BAKER HUGHES INC COM 057224107 165150 3937770 SH DEFINED 40 3249444  
64564 623762 BAKER HUGHES INC COM 057224107 82376 1964139 SH DEFINED 48 1907609 0 56530  
BAKER HUGHES INC COM 057224107 7337 174937 SH DEFINED 49 174207 0 730 BAKER HUGHES INC  
COM 057224107 189 4507 SH DEFINED 49 3957 250 300 BAKER HUGHES INC COM 057224107 91 2163 SH  
DEFINED 59 1521 0 642 BAKER HUGHES INC COM 057224107 130 3100 SH DEFINED 64 3100 0 0 BAKER  
HUGHES INC COM 057224107 0 0 SH DEFINED 65 0 0 0 BALCHEM CORP COM 057665200 6895 227941 SH  
DEFINED 15 208341 0 19600 BALCHEM CORP COM 057665200 2126 70288 SH DEFINED 22 70288 0 0  
BALCHEM CORP COM 057665200 3621 119719 SH DEFINED 48 119319 0 400 BALCHEM CORP COM  
057665200 26 845 SH DEFINED 49 195 0 650 BALCHEM CORP COM 057665200 0 5 SH DEFINED 59 0 0 5  
BALCHEM CORP COM 057665200 21 700 SH DEFINED 64 700 0 0 BALCHEM CORP COM 057665200 25 832  
SH DEFINED 65 832 0 0 BALDWIN & LYONS INC -CL B CL B 057755209 636 28407 SH DEFINED 15 25090 0  
3317 BALDWIN & LYONS INC -CL B CL B 057755209 664 29689 SH DEFINED 48 29589 0 100 BALDWIN &  
LYONS INC -CL B CL B 057755209 27 1190 SH DEFINED 49 1190 0 0 BALDWIN & LYONS INC -CL B CL B  
057755209 0 1 SH DEFINED 65 1 0 0 BALL CORP COM 058498106 48 1110 SH DEFINED 03 0 0 1110 BALL  
CORP COM 058498106 31671 738605 SH DEFINED 15 182049 0 556556 BALL CORP COM 058498106 7623  
177784 SH DEFINED 22 177784 0 0 BALL CORP