

Edgar Filing: Clough Dividend & Income Fund - Form N-PX

Clough Dividend & Income Fund  
Form N-PX  
August 22, 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21583

CLOUGH GLOBAL DIVIDEND AND INCOME FUND  
(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203  
(Address of principal executive offices) (Zip code)

Abigail J. Murray, Esq.  
Clough Dividend and Income Fund  
1290 Broadway, Suite 1100  
Denver, Colorado 80203  
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2015 – June 30, 2016

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Item 1 – Proxy Voting Record.

Vote Summary

TIME WARNER CABLE INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 88732J207       | Meeting Type       | Annual                 |
| Ticker Symbol  | TWC             | Meeting Date       | 01-Jul-2015            |
| ISIN           | US88732J2078    | Agenda             | 934229750 - Management |
| Record Date    | 07-May-2015     | Holding Recon Date | 07-May-2015            |
| City / Country | / United States | Vote Deadline Date | 30-Jun-2015            |

SEDOL(s)

Quick Code

| Item | Proposal                                     | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: CAROLE BLACK           | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: THOMAS H. CASTRO       | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: DAVID C. CHANG         | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: JAMES E. COPELAND, JR. | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: PETER R. HAJE          | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: DONNA A. JAMES         | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: DON LOGAN              | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR: ROBERT D. MARCUS       | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR: N.J. NICHOLAS, JR.     | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR: WAYNE H. PACE          | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR: EDWARD D. SHIRLEY      | Management  | For  | For                    |
| 1L.  | ELECTION OF DIRECTOR: JOHN E. SUNUNU         | Management  | For  | For                    |

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- |    |  |             |         |     |
|----|--|-------------|---------|-----|
| 2. | RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.                       | Management  | For     | For |
| 3. | ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.                       | Management  | For     | For |
| 4. | STOCKHOLDER PROPOSAL ON DISCLOSURE OF LOBBYING ACTIVITIES.                           | Shareholder | Against | For |
| 5. | STOCKHOLDER PROPOSAL ON ACCELERATED VESTING OF EQUITY AWARDS IN A CHANGE IN CONTROL. | Shareholder | Against | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 3,100         | 0                  | 20-May-2015 | 02-Jul-2015    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 300           | 14,800             | 20-May-2015 | 02-Jul-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 18,150        | 0                  | 20-May-2015 | 02-Jul-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 13,900        | 57,400             | 20-May-2015 | 02-Jul-2015    |
| 997AL53        | GLO MS PLEDGE  | 997AL53<br>STATE STREET BANK & TRUST CO | 43,000        | 0                  | 20-May-2015 | 02-Jul-2015    |
| 997AL60        | GLV MS PLEDGE  | 997AL60<br>STATE STREET BANK & TRUST CO | 9,400         | 0                  | 20-May-2015 | 02-Jul-2015    |

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|         |                  |         |                                       |        |   |             |             |
|---------|------------------|---------|---------------------------------------|--------|---|-------------|-------------|
| 997AL61 | GLQ MS<br>PLEDGE | 997AL61 | STATE<br>STREET<br>BANK &<br>TRUST CO | 27,750 | 0 | 20-May-2015 | 02-Jul-2015 |
|---------|------------------|---------|---------------------------------------|--------|---|-------------|-------------|

MAN WAH HOLDINGS LTD

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | G5800U107                                      | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |  | Meeting Date       | 07-Jul-2015            |
| ISIN           | BMG5800U1071                                   | Agenda             | 706231521 - Management |
| Record Date    | 30-Jun-2015                                    | Holding Recon Date | 30-Jun-2015            |
| City / Country | HONG KONG / Bermuda                            | Vote Deadline Date | 29-Jun-2015            |
| SEDOL(s)       | B58VHF3 -<br>B58YWF7 -<br>B5WN7S8 -<br>BS7K5D6 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021686.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021686.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021678.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0602/LTN201506021678.pdf</a> | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING  | Non-Voting  |      |                        |
| 1    | TO RECEIVE, CONSIDER AND ADOPT THE REPORTS OF THE DIRECTORS AND THE AUDITORS AND THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY FOR   | Management  | For  | For                    |

THE YEAR ENDED 31  
MARCH 2015

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 2 | TO DECLARE A FINAL<br>DIVIDEND OF HK13 CENTS<br>PER SHARE FOR THE<br>YEAR ENDED 31 MARCH<br>2015  | Management | For | For |
| 3 | TO APPROVE THE<br>RE-ELECTION OF MR.<br>WANG GUI SHENG AS AN<br>EXECUTIVE DIRECTOR OF<br>THE COMPANY AND THE<br>TERMS OF HIS<br>APPOINTMENT<br>(INCLUDING<br>REMUNERATION)  | Management | For | For |
| 4 | TO APPROVE THE<br>RE-ELECTION OF MR. ONG<br>CHOR WEI AS AN<br>INDEPENDENT<br>NON-EXECUTIVE<br>DIRECTOR OF THE<br>COMPANY AND THE<br>TERMS OF HIS<br>APPOINTMENT<br>(INCLUDING<br>REMUNERATION)                          | Management | For | For |
| 5 | TO APPROVE THE<br>RE-ELECTION OF MR. LEE<br>TECK LENG, ROBSON AS<br>AN INDEPENDENT NON-<br>EXECUTIVE DIRECTOR OF<br>THE COMPANY OF THE<br>COMPANY AND THE<br>TERMS OF HIS<br>APPOINTMENT<br>(INCLUDING<br>REMUNERATION) | Management | For | For |
| 6 | TO APPROVE THE<br>RE-ELECTION OF MR. XIE<br>FANG AS A<br>NON-EXECUTIVE<br>DIRECTOR OF THE<br>COMPANY AND THE<br>TERMS OF HIS<br>APPOINTMENT<br>(INCLUDING   | Management | For | For |

REMUNERATION)

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 7  | TO APPROVE THE RE-ELECTION OF MS. WONG YING YING AS AN EXECUTIVE DIRECTOR OF THE COMPANY AND THE TERMS OF HIS APPOINTMENT (INCLUDING REMUNERATION)  | Management | For | For |
| 8  | TO RE-APPOINT DELOITTE TOUCHE TOHMATSU AS AUDITORS OF THE COMPANY AND TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO FIX THEIR REMUNERATION  | Management | For | For |
| 9  | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES OF THE COMPANY NOT EXCEEDING 20% OF ITS ISSUED SHARES                                     | Management | For | For |
| 10 | TO GRANT A GENERAL MANDATE TO THE DIRECTORS OF THE COMPANY TO REPURCHASE SHARES OF THE COMPANY NOT EXCEEDING 10% OF ITS ISSUED SHARES   | Management | For | For |
| 11 | TO EXTEND THE GENERAL MANDATE GRANTED TO THE DIRECTORS OF THE COMPANY TO ALLOT, ISSUE AND DEAL WITH NEW SHARES BY AN AMOUNT NOT EXCEEDING THE AMOUNT OF THE SHARES REPURCHASED BY THE COMPANY | Management | For | For |
| 12 | TO REFRESH THE SCHEME MANDATE LIMIT OF UP TO  | Management | For | For |

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5% OF THE SHARES OF  
THE COMPANY IN ISSUE  
AS AT THE DATE OF THE  
MEETING

05 JUN 2015: PLEASE NOTE  
THAT THIS IS A REVISION  
DUE TO CHANGE IN  
RECORD DATE.-IF YOU  
HAVE ALREADY SENT IN  
YOUR VOTES, PLEASE DO  
NOT VOTE AGAIN UNLESS  
YOU DECIDE TO AMEND  
YOUR ORIGINAL  
INSTRUCTIONS. THANK  
YOU.

CMMT Non-Voting

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 276,130       | 0                  | 03-Jun-2015 | 03-Jul-2015    |
| AL28           | AL28         | STATE STREET BANK          | 458,020       | 0                  | 03-Jun-2015 | 03-Jul-2015    |
| AL29           | AL29         | STATE STREET BANK          | 1,139,892     | 0                  | 03-Jun-2015 | 03-Jul-2015    |

ARMSTRONG WORLD INDUSTRIES, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 04247X102       | Meeting Type       | Annual                 |
| Ticker Symbol  | AWI             | Meeting Date       | 10-Jul-2015            |
| ISIN           | US04247X1028    | Agenda             | 934227225 - Management |
| Record Date    | 13-Apr-2015     | Holding Recon Date | 13-Apr-2015            |
| City / Country | / United States | Vote Deadline Date | 09-Jul-2015            |

SEDOL(s)

| Item | Proposal          | Proposed by | Vote | For/Against Management |
|------|-------------------|-------------|------|------------------------|
| 1.   | DIRECTOR          | Management  |      |                        |
|      | 1 STAN A. ASKREN  |             | For  | For                    |
|      | 2 MATTHEW J. ESPE |             | For  | For                    |

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|    |                        |     |     |
|----|------------------------|-----|-----|
| 3  | JAMES J. GAFFNEY       | For | For |
| 4  | TAO HUANG              | For | For |
| 5  | MICHAEL F.<br>JOHNSTON | For | For |
| 6  | JEFFREY LIAW           | For | For |
| 7  | LARRY S.<br>MCWILLIAMS | For | For |
| 8  | JAMES C. MELVILLE      | For | For |
| 9  | JAMES J. O'CONNOR      | For | For |
| 10 | JOHN J. ROBERTS        | For | For |
| 11 | GREGORY P. SPIVY       | For | For |
| 12 | RICHARD E. WENZ        | For | For |

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 2. | TO RATIFY THE<br>SELECTION OF KPMG LLP<br>AS OUR INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>2015. | Management | For | For |
|----|---|------------|-----|-----|

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 14,000        | 0                  | 16-May-2015 | 13-Jul-2015    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 66,000        | 0                  | 16-May-2015 | 13-Jul-2015    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 57,561        | 0                  | 16-May-2015 | 13-Jul-2015    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 3,000         | 38,000             | 16-May-2015 | 13-Jul-2015    |
| 997AL49        | GLO BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL49 | 3,839         | 146,000            | 16-May-2015 | 13-Jul-2015    |



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| Account Number | Account Name  | Internal Custodian                      | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|---------------|---|---------------|--------------------|-------------|----------------|
| 997AL53        | GLO MS PLEDGE | 997AL53<br>STATE STREET BANK & TRUST CO | 34,361        | 0                  | 16-May-2015 | 13-Jul-2015    |
| 997AL60        | GLV MS PLEDGE | 997AL60<br>STATE STREET BANK & TRUST CO | 3,400         | 0                  | 16-May-2015 | 13-Jul-2015    |
| 997AL61        | GLQ MS PLEDGE | 997AL61<br>STATE STREET BANK & TRUST CO | 30,600        | 0                  | 16-May-2015 | 13-Jul-2015    |

FORWARD PHARMA A S

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 34986J105       | Meeting Type       | Special                |
| Ticker Symbol  | FWP             | Meeting Date       | 20-Jul-2015            |
| ISIN           | US34986J1051    | Agenda             | 934258597 - Management |
| Record Date    | 30-Jun-2015     | Holding Recon Date | 30-Jun-2015            |
| City / Country | / United States | Vote Deadline Date | 14-Jul-2015            |

SEDOL(s)

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| A1)  | ELECTION OF DIRECTOR: JAKOB MOSEGAARD LARSEN         | Management  | For  | For                    |
| A2)  | ELECTION OF DIRECTOR: GRANT HELLIER LAWRENCE         | Management  | For  | For                    |
| B)   | AUTHORIZATION OF THE CHAIRMAN OF THE GENERAL MEETING | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian                      | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 2,000         | 0                  | 07-Jul-2015 | 16-Jul-2015    |
| 997AL28        | GLQ          | 997AL28                                 | 3,400         | 0                  | 07-Jul-2015 | 16-Jul-2015    |

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|         |                | STATE STREET BANK & TRUST CO |        |        |             |             |
|---------|----------------|------------------------------|--------|--------|-------------|-------------|
| 997AL45 | GLV BNP PLEDGE | 997AL45                      | 21,700 | 0      | 07-Jul-2015 | 16-Jul-2015 |
| 997AL47 | GLQ BNP PLEDGE | 997AL47                      | 36,000 | 0      | 07-Jul-2015 | 16-Jul-2015 |
| 997AL49 | GLO BNP PLEDGE | 997AL49                      | 8,400  | 89,700 | 07-Jul-2015 | 16-Jul-2015 |

CT ENVIRONMENTAL GROUP LTD, GRAND CAYMAN

|                |                            |                    |                               |
|----------------|----------------------------|--------------------|-------------------------------|
| Security       | G25885107                  | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |                            | Meeting Date       | 21-Jul-2015                   |
| ISIN           | KYG258851073               | Agenda             | 706300732 - Management        |
| Record Date    | 20-Jul-2015                | Holding Recon Date | 20-Jul-2015                   |
| City / Country | HONG KONG / Cayman Islands | Vote Deadline Date | 09-Jul-2015                   |
| SEDOL(s)       | B4KQ8P6 - BWBY0S2          | Quick Code         |                               |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ONLY RESOLUTION 1, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING   | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0</a> | Non-Voting  |      |                        |

629/LTN201506291069.pdf-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0629/LTN201506291050.pdf>

(A) TO APPROVE,  
 CONFIRM AND RATIFY  
 THE AGREEMENT (AS  
 DEFINED IN THE  
 CIRCULAR OF THE  
 COMPANY DATED 30 JUNE  
 2015) AND THE  
 TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER; AND (B) TO  
 AUTHORISE ANY ONE  
 DIRECTOR FOR AND ON  
 BEHALF OF THE  
 COMPANY TO DO ALL  
 SUCH FURTHER ACTS AND  
 THINGS AND TO SIGN AND  
 EXECUTE ALL SUCH  
 DOCUMENTS AND TO  
 TAKE ALL SUCH STEPS  
 WHICH IS OF AN  
 ADMINISTRATIVE  
 NATURE AND ANCILLARY  
 TO IMPLEMENTATION OF  
 THE AGREEMENT AND  
 THE TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER

|   |            |     |     |
|---|------------|-----|-----|
| 1 | Management | For | For |
|---|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 272,000       | 0                  | 30-Jun-2015 | 17-Jul-2015    |
| AL28           | AL28         | STATE STREET BANK          | 446,000       | 0                  | 30-Jun-2015 | 17-Jul-2015    |
| AL29           | AL29         | STATE STREET BANK          | 1,122,000     | 0                  | 30-Jun-2015 | 17-Jul-2015    |

CT ENVIRONMENTAL GROUP LTD, GRAND CAYMAN

|          |           |              |                               |
|----------|-----------|--------------|-------------------------------|
| Security | G25885107 | Meeting Type | ExtraOrdinary General Meeting |
|----------|-----------|--------------|-------------------------------|

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|                |                            |                    |                        |
|----------------|----------------------------|--------------------|------------------------|
| Ticker Symbol  |                            | Meeting Date       | 21-Jul-2015            |
| ISIN           | KYG258851073               | Agenda             | 706306176 - Management |
| Record Date    | 20-Jul-2015                | Holding Recon Date | 20-Jul-2015            |
| City / Country | HONG KONG / Cayman Islands | Vote Deadline Date | 14-Jul-2015            |
| SEDOL(s)       | B4KQ8P6 - BWBY0S2          | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING   | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING O-N THE URL LINKS:<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN-201507021739.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN-201507021739.pdf</a><br>AND<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702-/LTN201507021727.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702-/LTN201507021727.pdf</a> | Non-Voting  |      |                        |
| 1    | TO APPROVE THE SHARE SUBDIVISION OF EACH OF THE ISSUED AND UNISSUED SHARE OF THE COMPANY OF HKD 0.10 EACH IN THE SHARE CAPITAL OF THE COMPANY INTO FOUR (4) SUBDIVIDED SHARES OF HKD 0.025 EACH  | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 272,000       | 0                  | 03-Jul-2015 | 17-Jul-2015    |

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|      |      |                   |           |   |             |             |
|------|------|-------------------|-----------|---|-------------|-------------|
| AL28 | AL28 | STATE STREET BANK | 446,000   | 0 | 03-Jul-2015 | 17-Jul-2015 |
| AL29 | AL29 | STATE STREET BANK | 1,122,000 | 0 | 03-Jul-2015 | 17-Jul-2015 |

JAZZ PHARMACEUTICALS PLC

|                |              |                    |                        |
|----------------|--------------|--------------------|------------------------|
| Security       | G50871105    | Meeting Type       | Annual                 |
| Ticker Symbol  | JAZZ         | Meeting Date       | 30-Jul-2015            |
| ISIN           | IE00B4Q5ZN47 | Agenda             | 934246908 - Management |
| Record Date    | 01-Jun-2015  | Holding Recon Date | 01-Jun-2015            |
| City / Country | / Ireland    | Vote Deadline Date | 29-Jul-2015            |
| SEDOL(s)       |              | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: PETER GRAY  | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: KENNETH W. O'KEEFE  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: ELMAR SCHNEE  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: CATHERINE A. SOHN   | Management  | For  | For                    |
| 2.   | TO APPROVE THE APPOINTMENT OF KPMG AS THE INDEPENDENT AUDITORS OF JAZZ PHARMACEUTICALS PLC FOR THE FISCAL YEAR ENDING DECEMBER 31, 2015 AND TO AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO DETERMINE THE AUDITORS' REMUNERATION. | Management  | For  | For                    |
| 3.   | TO AUTHORIZE JAZZ PHARMACEUTICALS PLC   | Management  | For  | For                    |

AND/OR ANY SUBSIDIARY  
OF JAZZ  
PHARMACEUTICALS PLC  
TO MAKE MARKET  
PURCHASES OF JAZZ  
PHARMACEUTICALS PLC'S  
ORDINARY SHARES.

TO APPROVE, ON AN  
ADVISORY BASIS, THE  
COMPENSATION OF JAZZ  
PHARMACEUTICALS PLC'S  
NAMED EXECUTIVE  
OFFICERS AS DISCLOSED  
IN THE PROXY  
STATEMENT.

4. Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 4,777         | 5,500              | 11-Jun-2015 | 31-Jul-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 7,869         | 9,300              | 11-Jun-2015 | 31-Jul-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 19,143        | 23,600             | 11-Jun-2015 | 31-Jul-2015    |

MICHAEL KORS HOLDINGS LIMITED

|                |                  |                    |                        |
|----------------|------------------|--------------------|------------------------|
| Security       | G60754101        | Meeting Type       | Annual                 |
| Ticker Symbol  | KORS             | Meeting Date       | 30-Jul-2015            |
| ISIN           | VGG607541015     | Agenda             | 934248863 - Management |
| Record Date    | 01-Jun-2015      | Holding Recon Date | 01-Jun-2015            |
| City / Country | / United Kingdom | Vote Deadline Date | 29-Jul-2015            |
| SEDOL(s)       |                  | Quick Code         |                        |

| Item | Proposal              | Proposed by | Vote | For/Against Management |
|------|-----------------------|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: | Management  | For  | For                    |

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M. WILLIAM BENEDETTO

1B. ELECTION OF DIRECTOR: Management For For  
STEPHEN REITMAN

1C. ELECTION OF DIRECTOR: Management For For  
JEAN TOMLIN

2. TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING APRIL 2, 2016. Management For For

3. TO APPROVE, ON A NON-BINDING ADVISORY BASIS, EXECUTIVE COMPENSATION. Management For For

4. TO APPROVE THE MICHAEL KORS HOLDINGS LIMITED AMENDED AND RESTATED OMNIBUS INCENTIVE PLAN. Management For For

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 17,500        | 0                  | 17-Jun-2015 | 31-Jul-2015    |
| 997AL28        | GLQ          | 997AL28 STATE STREET BANK & TRUST CO | 29,100        | 0                  | 17-Jun-2015 | 31-Jul-2015    |
| 997AL29        | GLO          | 997AL29 STATE STREET BANK & TRUST CO | 72,500        | 0                  | 17-Jun-2015 | 31-Jul-2015    |

QORVO, INC.

|               |           |              |             |
|---------------|-----------|--------------|-------------|
| Security      | 74736K101 | Meeting Type | Annual      |
| Ticker Symbol | QRVO      | Meeting Date | 10-Aug-2015 |

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| ISIN           | US74736K1016    | Agenda             | 934254498 - Management |
| Record Date    | 19-Jun-2015     | Holding Recon Date | 19-Jun-2015            |
| City / Country | / United States | Vote Deadline Date | 07-Aug-2015            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

|    |          |            |  |  |
|----|----------|------------|--|--|
| 1. | DIRECTOR | Management |  |  |
|----|----------|------------|--|--|

|   |                  |
|---|------------------|
| 1 | RALPH G. QUINSEY |
|---|------------------|

|   |                       |
|---|-----------------------|
| 2 | ROBERT A. BRUGGEWORTH |
|---|-----------------------|

|   |                 |
|---|-----------------|
| 3 | DANIEL A. DILEO |
|---|-----------------|

|   |                    |
|---|--------------------|
| 4 | JEFFERY R. GARDNER |
|---|--------------------|

|   |                      |
|---|----------------------|
| 5 | CHARLES SCOTT GIBSON |
|---|----------------------|

|   |                 |
|---|-----------------|
| 6 | JOHN R. HARDING |
|---|-----------------|

|   |               |
|---|---------------|
| 7 | DAVID H.Y. HO |
|---|---------------|

|   |                    |
|---|--------------------|
| 8 | RODERICK D. NELSON |
|---|--------------------|

|   |                      |
|---|----------------------|
| 9 | DR. WALDEN C. RHINES |
|---|----------------------|

|    |                         |
|----|-------------------------|
| 10 | WALTER H. WILKINSON, JR |
|----|-------------------------|

|    |   |            |
|----|---|------------|
| 2. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS (AS DEFINED IN THE PROXY STATEMENT). | Management |
|----|---|------------|

|    |   |            |
|----|---|------------|
| 3. | TO VOTE, ON AN ADVISORY BASIS, ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. | Management |
|----|---|------------|

|    |  |            |
|----|--|------------|
| 4. | TO RATIFY THE APPOINTMENT OF KPMG LLP AS OUR | Management |
|----|--|------------|



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INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
APRIL 2, 2016.

| Account Number | Account Name  | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|---------------|---|---------------|--------------------|-----------|----------------|
| 997AL27        | GLV           | 997AL27<br>STATE STREET BANK & TRUST CO | 2,400         | 0                  |           |                |
| 997AL28        | GLQ           | 997AL28<br>STATE STREET BANK & TRUST CO | 4,000         | 0                  |           |                |
| 997AL53        | GLO MS PLEDGE | 997AL53<br>STATE STREET BANK & TRUST CO | 38,100        | 0                  |           |                |
| 997AL60        | GLV MS PLEDGE | 997AL60<br>STATE STREET BANK & TRUST CO | 6,800         | 0                  |           |                |
| 997AL61        | GLQ MS PLEDGE | 997AL61<br>STATE STREET BANK & TRUST CO | 11,400        | 0                  |           |                |

CHINA COMMUNICATIONS CONSTRUCTION COMPANY LTD, BEI

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | Y1R36J108                                      | Meeting Type       | Class Meeting          |
| Ticker Symbol  |  | Meeting Date       | 17-Aug-2015            |
| ISIN           | CNE1000002F5                                   | Agenda             | 706306582 - Management |
| Record Date    | 17-Jul-2015                                    | Holding Recon Date | 17-Jul-2015            |
| City / Country | BEIJING / China                                | Vote Deadline Date | 04-Aug-2015            |
| SEDOL(s)       | B1JKTQ6 -<br>B1L7ZX4 -<br>B1MT2B6 -<br>BP3RRW3 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

PLEASE NOTE THAT THE  
COMPANY NOTICE AND  
PROXY FORM ARE  
AVAILABLE BY  
CLICKING-ON THE URL  
LINKS:-

CMMT

Non-Voting

<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022218.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022266.pdf>

TO CONSIDER AND  
APPROVE THE  
"RESOLUTION IN  
RELATION TO THE  
PROVISION OF ASSURED  
ENTITLEMENT TO H  
SHAREHOLDERS ONLY  
FOR THE SPIN-OFF OF  
CCCC DREDGING (GROUP)  
CO., LTD. FROM THE  
COMPANY AND LISTING  
ON THE HONG KONG  
STOCK EXCHANGE"  
(DETAILS ARE SET OUT IN  
THE CIRCULAR OF THE  
COMPANY DATED 2 JULY  
2015)

1

Management

For

For

14 AUG 2015: PLEASE  
NOTE THAT THIS IS A  
REVISION DUE TO  
CHANGE IN THE  
MEETING-TIME. IF YOU  
HAVE ALREADY SENT IN  
YOUR VOTES, PLEASE DO  
NOT VOTE AGAIN  
UNLESS-YOU DECIDE TO  
AMEND YOUR ORIGINAL  
INSTRUCTIONS. THANK  
YOU.

CMMT

Non-Voting

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 764,000       | 0                  | 03-Jul-2015 | 12-Aug-2015    |
| AL28           | AL28         | STATE STREET               | 1,271,000     | 0                  | 03-Jul-2015 | 12-Aug-2015    |

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|      |      |                   |           |   |             |             |
|------|------|-------------------|-----------|---|-------------|-------------|
|      |      | BANK              |           |   |             |             |
| AL29 | AL29 | STATE STREET BANK | 3,147,000 | 0 | 03-Jul-2015 | 12-Aug-2015 |

CHINA COMMUNICATIONS CONSTRUCTION COMPANY LTD, BEI

|                |  |                    |                               |
|----------------|--|--------------------|-------------------------------|
| Security       | Y1R36J108                                      | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |  | Meeting Date       | 17-Aug-2015                   |
| ISIN           | CNE1000002F5                                   | Agenda             | 706306594 - Management        |
| Record Date    | 17-Jul-2015                                    | Holding Recon Date | 17-Jul-2015                   |
| City / Country | BEIJING / China                                | Vote Deadline Date | 04-Aug-2015                   |
| SEDOL(s)       | B1JKTQ6 -<br>B1L7ZX4 -<br>B1MT2B6 -<br>BP3RRW3 | Quick Code         |                               |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022245.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022245.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022182.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/0702/LTN201507022182.pdf</a> | Non-Voting  |      |                        |
| 1    | TO CONSIDER AND APPROVE THE "RESOLUTION IN RELATION TO THE PROVISION OF ASSURED ENTITLEMENT TO H SHAREHOLDERS ONLY FOR THE SPIN-OFF OF CCC DREDGING (GROUP) CO., LTD. FROM THE COMPANY AND LISTING ON THE HONG KONG STOCK EXCHANGE" (DETAILS ARE SET OUT IN THE CIRCULAR OF THE   | Management  | For  | For                    |

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COMPANY DATED 2 JULY  
2015)

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 764,000       | 0                  | 03-Jul-2015 | 12-Aug-2015    |
| AL28           | AL28         | STATE STREET BANK          | 1,271,000     | 0                  | 03-Jul-2015 | 12-Aug-2015    |
| AL29           | AL29         | STATE STREET BANK          | 3,147,000     | 0                  | 03-Jul-2015 | 12-Aug-2015    |

SINOPHARM GROUP CO LTD, SHANGHAI

|                |  |                    |                               |
|----------------|--|--------------------|-------------------------------|
| Security       | Y8008N107                                      | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |  | Meeting Date       | 20-Aug-2015                   |
| ISIN           | CNE100000FN7                                   | Agenda             | 706307584 - Management        |
| Record Date    | 20-Jul-2015                                    | Holding Recon Date | 20-Jul-2015                   |
| City / Country | SHANGHAI / China                               | Vote Deadline Date | 11-Aug-2015                   |
| SEDOL(s)       | B3ZVDV0 -<br>B4M8B73 -<br>B5NVZ21 -<br>BP3RXT2 | Quick Code         |                               |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-                  | Non-Voting  |      |                        |

<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0703/LTN20150703685.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/0703/LTN20150703932.pdf>

TO CONSIDER AND, IF  
 THOUGHT FIT, TO  
 APPROVE (I) THE ISSUE OF  
 THE CORPORATE BONDS  
 IN THE PRC OF NO MORE  
 THAN RMB10,000,000,000  
 IN SCALE (THE  
 "CORPORATE BONDS");  
 AND (II) THE BOARD OF  
 DIRECTORS OF THE  
 COMPANY OR THE  
 PERSON(S) AUTHORISED  
 BY IT TO DETERMINE AND  
 ADJUST THE SPECIFIC  
 PLAN OF THE PROPOSED  
 ISSUE OF THE CORPORATE  
 BONDS AND DO ALL SUCH  
 ACTS AND THINGS, TO  
 SIGN AND EXECUTE ALL  
 SUCH OTHER  
 DOCUMENTS TO GIVE  
 EFFECT TO OR IN  
 CONNECTION WITH THE  
 PROPOSED ISSUE OF THE  
 CORPORATE BONDS OR  
 ANY TRANSACTIONS  
 CONTEMPLATED  
 THEREUNDER

1 Management For For

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 200,800       | 0                  | 04-Jul-2015 | 14-Aug-2015    |
| AL28           | AL28         | STATE STREET BANK          | 332,400       | 0                  | 04-Jul-2015 | 14-Aug-2015    |
| AL29           | AL29         | STATE STREET BANK          | 829,200       | 0                  | 04-Jul-2015 | 14-Aug-2015    |

LIBERTY ALL-STAR EQUITY FUND

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 530158104       | Meeting Type       | Annual                 |
| Ticker Symbol  | USA             | Meeting Date       | 27-Aug-2015            |
| ISIN           | US5301581048    | Agenda             | 934254880 - Management |
| Record Date    | 29-May-2015     | Holding Recon Date | 29-May-2015            |
| City / Country | / United States | Vote Deadline Date | 26-Aug-2015            |

|          |  |             |         |                        |
|----------|--|-------------|---------|------------------------|
| SEDOL(s) | Quick Code   |             |         |                        |
| Item     | Proposal   | Proposed by | Vote    | For/Against Management |
| 1.       | DIRECTOR   | Management  |         |                        |
|          | 1 JOHN A. BENNING  |             | For     | For                    |
|          | 2 EDMUND J. BURKE  |             | For     | For                    |
| 3.       | IF PROPERLY PRESENTED AT THE MEETING, TO CONSIDER AND VOTE UPON THE SHAREHOLDER PROPOSAL PRESENTED UNDER THE HEADING "SHAREHOLDER PROPOSAL" IN THE ACCOMPANYING PROXY STATEMENT. | Shareholder | Against | For                    |

| Account Number | Account Name  | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|---------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL60        | GLV MS PLEDGE | 997AL60 STATE STREET BANK & TRUST CO | 191,000       | 0                  | 07-Jul-2015 | 28-Aug-2015    |

VIASAT, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 92552V100       | Meeting Type       | Annual                 |
| Ticker Symbol  | VSAT            | Meeting Date       | 16-Sep-2015            |
| ISIN           | US92552V1008    | Agenda             | 934264235 - Management |
| Record Date    | 20-Jul-2015     | Holding Recon Date | 20-Jul-2015            |
| City / Country | / United States | Vote Deadline Date | 15-Sep-2015            |

|          |            |             |      |
|----------|------------|-------------|------|
| SEDOL(s) | Quick Code |             |      |
| Item     | Proposal   | Proposed by | Vote |

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|    |   | For/Against<br>Management |         |
|----|---|---------------------------|---------|
| 1. | DIRECTOR  | Management                |         |
|    | 1 FRANK J. BIONDI, JR.  |                           | For For |
|    | 2 ROBERT JOHNSON  |                           | For For |
|    | 3 JOHN STENBIT  |                           | For For |
| 2. | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM | Management                | For For |
| 3. | ADVISORY VOTE ON EXECUTIVE COMPENSATION   | Management                | For For |
| 4. | AMENDMENT AND RESTATEMENT OF THE EMPLOYEE STOCK PURCHASE PLAN   | Management                | For For |
| 5. | AMENDMENT AND RESTATEMENT OF THE 1996 EQUITY PARTICIPATION PLAN   | Management                | For For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 149           | 37,500             | 28-Jul-2015 | 17-Sep-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 21,542        | 47,700             | 28-Jul-2015 | 17-Sep-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 16,135        | 142,300            | 28-Jul-2015 | 17-Sep-2015    |

BANK OF AMERICA CORPORATION

Security 060505104 Meeting Type Special

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Ticker Symbol  | BAC             | Meeting Date       | 22-Sep-2015            |
| ISIN           | US0605051046    | Agenda             | 934269172 - Management |
| Record Date    | 10-Aug-2015     | Holding Recon Date | 10-Aug-2015            |
| City / Country | / United States | Vote Deadline Date | 21-Sep-2015            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 01   | RESOLVED, THAT THE BANK OF AMERICA CORPORATION STOCKHOLDERS HEREBY RATIFY THE OCTOBER 1, 2014 AMENDMENTS TO THE COMPANY'S BYLAWS THAT PERMIT THE COMPANY'S BOARD OF DIRECTORS THE DISCRETION TO DETERMINE THE BOARD'S LEADERSHIP STRUCTURE, INCLUDING APPOINTING AN INDEPENDENT CHAIRMAN, OR APPOINTING A LEAD INDEPENDENT DIRECTOR WHEN THE CHAIRMAN IS NOT AN INDEPENDENT DIRECTOR. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 285,191       | 75,300             | 14-Aug-2015 | 23-Sep-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 388,605       | 85,000             | 14-Aug-2015 | 23-Sep-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 1,601,710     | 40,000             | 14-Aug-2015 | 23-Sep-2015    |



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|         |                  |         |                                       |         |   |             |             |
|---------|------------------|---------|---------------------------------------|---------|---|-------------|-------------|
| 997AL60 | GLV MS<br>PLEDGE | 997AL60 | STATE<br>STREET<br>BANK &<br>TRUST CO | 33,500  | 0 | 14-Aug-2015 | 23-Sep-2015 |
| 997AL61 | GLQ MS<br>PLEDGE | 997AL61 | STATE<br>STREET<br>BANK &<br>TRUST CO | 189,300 | 0 | 14-Aug-2015 | 23-Sep-2015 |

LIBERTY BROADBAND CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 530307107       | Meeting Type       | Special                |
| Ticker Symbol  | LBRDA           | Meeting Date       | 23-Sep-2015            |
| ISIN           | US5303071071    | Agenda             | 934269425 - Management |
| Record Date    | 06-Aug-2015     | Holding Recon Date | 06-Aug-2015            |
| City / Country | / United States | Vote Deadline Date | 22-Sep-2015            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | A PROPOSAL (THE "SHARE ISSUANCE PROPOSAL") TO APPROVE THE ISSUANCE OF SHARES OF LIBERTY BROADBAND CORPORATION'S SERIES C COMMON STOCK PURSUANT TO THE TERMS OF CERTAIN AMENDED AND RESTATED INVESTMENT AGREEMENTS ENTERED INTO BY LIBERTY BROADBAND CORPORATION WITH VARIOUS INVESTORS AND AN AMENDED AND RESTATED ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL). | Management  | For  | For                    |
| 2.   | A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY BROADBAND   | Management  | For  | For                    |

CORPORATION TO PERMIT  
 FURTHER SOLICITATION  
 OF PROXIES, IF  
 NECESSARY OR  
 APPROPRIATE, IF  
 SUFFICIENT VOTES ARE  
 NOT REPRESENTED AT  
 THE SPECIAL MEETING TO  
 APPROVE THE SHARE  
 ISSUANCE PROPOSAL.

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 7,303         | 5,225              | 15-Aug-2015 | 24-Sep-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 11,767        | 10,325             | 15-Aug-2015 | 24-Sep-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 29,739        | 22,275             | 15-Aug-2015 | 24-Sep-2015    |

DON QUIJOTE HOLDINGS CO.,LTD.

|                |                             |                    |                        |
|----------------|-----------------------------|--------------------|------------------------|
| Security       | J1235L108                   | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |                             | Meeting Date       | 25-Sep-2015            |
| ISIN           | JP3639650005                | Agenda             | 706411749 - Management |
| Record Date    | 30-Jun-2015                 | Holding Recon Date | 30-Jun-2015            |
| City / Country | TOKYO / Japan               | Vote Deadline Date | 09-Sep-2015            |
| SEDOL(s)       | 5767753 - 6269861 - B021NZ6 | Quick Code         | 75320                  |

| Item | Proposal                            | Proposed by | Vote | For/Against Management |
|------|-------------------------------------|-------------|------|------------------------|
|      | Please reference meeting materials. | Non-Voting  |      |                        |
| 1    | Approve Appropriation of Surplus    | Management  | For  | For                    |

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|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 2   | Appoint a Director Yoshimura, Yasunori       | Management | For | For |
| 3.1 | Appoint a Corporate Auditor Wada, Shoji      | Management | For | For |
| 3.2 | Appoint a Corporate Auditor Hongo, Yoshihiro | Management | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 7,000         | 0                  | 09-Sep-2015 | 24-Sep-2015    |
| AL28           | AL28         | STATE STREET BANK          | 11,500        | 0                  | 09-Sep-2015 | 24-Sep-2015    |
| AL29           | AL29         | STATE STREET BANK          | 29,000        | 0                  | 09-Sep-2015 | 24-Sep-2015    |

ALIBABA GROUP HOLDING LIMITED

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 01609W102       | Meeting Type       | Annual                 |
| Ticker Symbol  | BABA            | Meeting Date       | 08-Oct-2015            |
| ISIN           | US01609W1027    | Agenda             | 934278359 - Management |
| Record Date    | 13-Aug-2015     | Holding Recon Date | 13-Aug-2015            |
| City / Country | / United States | Vote Deadline Date | 02-Oct-2015            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: JOSEPH C. TSAI (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED) | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: JONATHAN ZHAOXI LU (TO SERVE FOR A THREE YEAR TERM OR UNTIL   | Management  | For  | For                    |

- SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED)
- ELECTION OF DIRECTOR:  
 1C. J. MICHAEL EVANS (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED) Management For For
- ELECTION OF DIRECTOR:  
 1D. BORJE E. EKHOLM (TO SERVE FOR A THREE YEAR TERM OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED) Management For For
- ELECTION OF DIRECTOR:  
 1E. WAN LING MARTELLO (TO SERVE UNTIL THE COMPANY'S NEXT ANNUAL GENERAL MEETING OF SHAREHOLDERS OR UNTIL SUCH DIRECTOR'S SUCCESSOR IS ELECTED OR APPOINTED AND DULY QUALIFIED) Management For For
2. RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY. Management For For

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 11,300        | 0                  | 10-Sep-2015 | 06-Oct-2015    |

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|         |                |         |                              |        |   |             |             |
|---------|----------------|---------|------------------------------|--------|---|-------------|-------------|
| 997AL28 | GLQ            | 997AL28 | STATE STREET BANK & TRUST CO | 18,800 | 0 | 10-Sep-2015 | 06-Oct-2015 |
| 997AL29 | GLO            | 997AL29 | STATE STREET BANK & TRUST CO | 6,983  | 0 | 10-Sep-2015 | 06-Oct-2015 |
| 997AL49 | GLO BNP PLEDGE | 997AL49 | STATE STREET BANK & TRUST CO | 39,617 | 0 | 10-Sep-2015 | 06-Oct-2015 |

CHINA RAILWAY CONSTRUCTION CORPORATION LTD

|                |   |                    |                               |
|----------------|---|--------------------|-------------------------------|
| Security       | Y1508P110                                   | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |   | Meeting Date       | 29-Oct-2015                   |
| ISIN           | CNE100000981                                | Agenda             | 706482596 - Management        |
| Record Date    | 25-Sep-2015                                 | Holding Recon Date | 25-Sep-2015                   |
| City / Country | BEIJING / China                             | Vote Deadline Date | 16-Oct-2015                   |
| SEDOL(s)       | B2PFVH7 - B2Q4J02<br>- B2RJ1K0 -<br>BP3RSN1 | Quick Code         |                               |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID: 524391 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU. | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING O-N THE URL LINKS: HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW   | Non-Voting  |      |                        |

S/SEHK/2015/1013/LTN-20151013201.PDF

AND

[HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONEW](http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1013/LTN20151013181.PDF)

S/SEHK/2015/1013/LTN20151013181.PDF

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 1 | THE PROPOSAL IN<br>RELATION TO REWARD<br>SCHEME FOR<br>OUTSTANDING<br>CONTRIBUTIONS IN<br>ECONOMIC OUTPUT IN<br>THE YEAR 2014 BY<br>MANAGEMENT TEAM<br>MEMBERS OF THE<br>COMPANY   | Management | For | For |
| 2 | THE PROPOSAL IN<br>RELATION TO THE<br>INCREASE OF THE<br>ISSUANCE SIZE OF<br>DOMESTIC AND<br>OVERSEAS BONDS BY THE<br>COMPANY "THAT: (1) AN<br>AUTHORIZATION<br>GRANTED TO THE<br>COMPANY FOR THE<br>ADDITIONAL ISSUANCE<br>OF DOMESTIC AND<br>OVERSEAS BONDS IN<br>ACCORDANCE WITH THE<br>FOLLOWING MAJOR<br>TERMS: (A) THE<br>ADDITIONAL ISSUANCE<br>OF DOMESTIC AND<br>OVERSEAS BONDS OF<br>PRINCIPAL AMOUNT NOT<br>MORE THAN RMB30<br>BILLION (OR EQUIVALENT<br>AMOUNT IN RMB) IN<br>DOMESTIC AND<br>OVERSEAS BOND<br>MARKETS, INCLUDING<br>BUT NOT LIMITED TO<br>CORPORATE BONDS,<br>OFFSHORE USD BONDS, A<br>SHARE OR H SHARE<br>CONVERTIBLE BONDS,<br>CAN BE ISSUED EITHER<br>ONE-OFF OR IN TRANCHES<br>WITHIN THE DEFINITE<br>VALIDITY PERIOD AS | Management | For | For |

STATED IN ITEM (J) OF THIS RESOLUTION BELOW; (B) IF CONVERTIBLE BONDS ARE TO BE ISSUED, THE SIZE OF EACH SINGLE ISSUANCE SHALL NOT EXCEED USD 1 BILLION (OR EQUIVALENT AMOUNT IN RMB) IN PRINCIPAL AMOUNT, AND UPON THE REQUEST OF SHARE CONVERSION APPLIED BY HOLDERS OF CONVERTIBLE BONDS, THE CONVERTED NEW A OR H SHARES MAY BE ISSUED UNDER THE RELEVANT GENERAL MANDATE CONSIDERED AND APPROVED

AT THE COMPANY'S SHAREHOLDERS' MEETING; (C) THE CURRENCY OF ISSUANCE SHALL BE DETERMINED BASED ON THE REVIEW AND APPROVAL RESULTS OF BOND ISSUANCE AND THE DOMESTIC AND OVERSEAS BOND MARKET CONDITIONS AT THE TIME OF THE BOND ISSUANCE, WHICH MAY BE RMB BONDS OR FOREIGN CURRENCY BONDS; (D) THE METHOD OF ISSUANCE SHALL BE DETERMINED BASED ON THE REVIEW AND RESULTS OF BOND ISSUANCE APPROVAL AND THE DOMESTIC AND OVERSEAS BOND MARKET CONDITIONS AT THE TIME OF THE BOND ISSUANCE; (E) THE TERM AND INTEREST RATE OF ISSUANCE SHALL BE DETERMINED BASED ON

THE DOMESTIC AND OVERSEAS BOND MARKET CONDITIONS AT THE TIME OF THE BOND ISSUANCE; (F) THE USE OF PROCEEDS FROM THE DOMESTIC AND OVERSEAS BOND ISSUANCE WILL BE PRINCIPALLY USED FOR DOMESTIC AND OVERSEAS PROJECTS INVESTMENT, MERGER AND ACQUISITION, CAPITAL CONTRIBUTION AND REPLENISHMENT OF WORKING CAPITAL FOR DOMESTIC AND OVERSEAS CONSTRUCTION PROJECTS, AS WELL AS REPLENISHMENT OF CASH FLOW OF THE COMPANY AND REPAYMENT OF BANK LOANS; (G) THE ISSUER IS THE COMPANY OR A DOMESTIC OR OVERSEAS WHOLLY-OWNED SUBSIDIARY OF THE COMPANY; (H) IF THE ISSUER IS A DOMESTIC OR OVERSEAS WHOLLY OWNED SUBSIDIARY OF THE COMPANY, THE COMPANY MAY PROVIDE CORRESPONDING GUARANTEE WHERE NECESSARY; (I) THE DOMESTIC AND OVERSEAS BONDS TO BE ISSUED ARE PROPOSED TO BE LISTED ON THE SHANGHAI STOCK EXCHANGE, THE HONG KONG STOCK EXCHANGE OR OTHER DOMESTIC OR FOREIGN EXCHANGES; (J) THE RESOLUTION IN



RELATION TO THE  
DOMESTIC AND  
OVERSEAS BOND  
ISSUANCE SHALL BE  
VALID WITHIN 48  
MONTHS AFTER THE  
DATE OF THE PASSING OF  
THE RESOLUTION AT THE  
COMPANY'S  
SHAREHOLDERS'  
MEETING (2) ACCORDING  
TO THE REQUIREMENTS  
OF THE ARTICLES OF  
ASSOCIATION AND  
RELEVANT REGULATORY  
DOCUMENTS, THE  
COMPANY'S  
SHAREHOLDERS'  
MEETING IS PROPOSED TO  
AUTHORIZE THE BOARD  
AND THE BOARD TO  
DELEGATE TO THE  
CHAIRMAN OF THE  
BOARD AND THE  
PERSONS AUTHORIZED  
BY THE CHAIRMAN OF  
THE BOARD, IN  
ACCORDANCE WITH THE  
RELEVANT LAWS AND  
REGULATIONS AND THE  
OPINIONS AND  
SUGGESTIONS OF THE  
REGULATORY  
AUTHORITIES AS WELL AS  
IN THE BEST INTEREST OF  
THE COMPANY, TO  
DETERMINE IN THEIR  
ABSOLUTE DISCRETION  
AND DEAL WITH ALL  
MATTERS IN RESPECT OF  
THE DOMESTIC AND  
OVERSEAS BOND  
(INCLUDING BUT NOT  
LIMITED TO  
CONVERTIBLE BONDS)  
ISSUANCE WITHIN THE  
  
VALIDITY OF THE  
RESOLUTION, INCLUDING  
BUT NOT LIMITED TO: (A)  
DETERMINING AND

IMPLEMENTING THE SPECIFIC PROPOSAL OF THE DOMESTIC AND OVERSEAS BOND ISSUANCE BASED ON THE SPECIFIC SITUATION, INCLUDING BUT NOT LIMITED TO THE ESTABLISHMENT AND DETERMINATION OF THE APPROPRIATE ISSUER, THE TIMING OF THE ISSUANCE, THE TYPE OF THE BONDS TO BE ISSUED, THE METHOD OF THE ISSUANCE, CURRENCY, THE NOMINAL VALUE OF THE BONDS, THE ISSUE PRICE, THE CONVERSION PRICE, THE SIZE OF THE ISSUANCE, THE MARKETS FOR ISSUANCE, THE TERM OF THE ISSUANCE, THE NUMBER OF TRANCHES, INTEREST RATE OF THE ISSUANCE, USE OF PROCEEDS, GUARANTEES, LISTING OF THE BONDS AND ALL MATTERS IN RESPECT OF THE DOMESTIC AND OVERSEAS BOND ISSUANCE PROPOSAL; (B) OTHER MATTERS IN RELATION TO THE DOMESTIC AND OVERSEAS BOND ISSUANCE, INCLUDING BUT NOT LIMITED TO ENGAGING RATING AGENCIES, RATING ADVISORS, BOND TRUSTEE(S) AND AGENT(S), UNDERWRITER(S) AND OTHER INTERMEDIARIES, DEALING WITH THE MATTERS WITH APPROVING AUTHORITIES FOR THE APPLICATION OF

THE DOMESTIC AND OVERSEAS BOND ISSUANCE, INCLUDING BUT NOT LIMITED TO DEALING WITH THE BOND ISSUANCE, REPORTING, TRADING AND LISTING ISSUES, EXECUTING NECESSARY AGREEMENTS AND LEGAL DOCUMENTS (INCLUDING UNDERWRITING AGREEMENTS, SECURITY AGREEMENTS, BOND INDENTURES, AGENCY AGREEMENTS, OFFERING MEMORANDA OF THE BONDS, REPORTING AND LISTING DOCUMENTS FOR THE BOND ISSUANCE, AND OTHER RELEVANT AGREEMENTS AND DOCUMENTS); (C) ACCORDING TO RELEVANT LAWS AND REGULATIONS, OPINIONS AND SUGGESTIONS OF REGULATORY AUTHORITIES, AND ACTUAL SITUATION, TO PREPARE, REVISE AND SUBMIT RELEVANT APPLICATIONS AND FILING MATERIALS, AND TO HANDLE THE MATTERS OF INFORMATION DISCLOSURE IN RELATION TO THE DOMESTIC AND OVERSEAS BOND ISSUANCE ACCORDING TO THE REQUIREMENTS OF THE REGULATORY AUTHORITIES; (D) TO REVISE THE DETAILS OF THE PROPOSAL FOR THE ISSUANCE OF THE DOMESTIC AND OVERSEAS BONDS IN THE

EVENT THAT THERE ARE CHANGES IN THE APPLICABLE LAWS AND REGULATIONS, OTHER REGULATORY DOCUMENTS AND POLICIES RELATING TO THE ISSUANCE OF DOMESTIC AND OVERSEAS BONDS BY THE REGULATORY AUTHORITIES OR CHANGES IN PREVAILING MARKET CONDITIONS, EXCEPT FOR

THOSE REVISIONS THAT REQUIRE RE-APPROVAL AT THE SHAREHOLDERS' MEETING PURSUANT TO THE RELEVANT LAWS, REGULATIONS AND THE ARTICLES OF ASSOCIATION; (E) TO DEAL WITH OTHER MATTERS IN RELATION TO THE DOMESTIC AND OVERSEAS BOND ISSUANCE"

THE PROPOSAL IN RELATION TO REWARD SCHEME FOR OUTSTANDING CONTRIBUTIONS IN ECONOMIC OUTPUT IN THE YEAR 2014 BY THE CHAIRMAN OF THE SUPERVISORY COMMITTEE

|   |            |     |     |
|---|------------|-----|-----|
| 3 | Management | For | For |
|---|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 541,000       | 0                  | 14-Oct-2015 | 23-Oct-2015    |
| AL28           | AL28         | STATE STREET BANK          | 903,000       | 0                  | 14-Oct-2015 | 23-Oct-2015    |

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|      |      |                         |           |   |             |             |
|------|------|-------------------------|-----------|---|-------------|-------------|
| AL29 | AL29 | STATE<br>STREET<br>BANK | 2,236,000 | 0 | 14-Oct-2015 | 23-Oct-2015 |
|------|------|-------------------------|-----------|---|-------------|-------------|

PERRIGO COMPANY PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | G97822103       | Meeting Type       | Annual                 |
| Ticker Symbol  | PRGO            | Meeting Date       | 04-Nov-2015            |
| ISIN           | IE00BGH1M568    | Agenda             | 934280924 - Management |
| Record Date    | 08-Sep-2015     | Holding Recon Date | 08-Sep-2015            |
| City / Country | / United States | Vote Deadline Date | 02-Nov-2015            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>LAURIE BRLAS                    | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>GARY M. COHEN                   | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>MARC COUCKE                     | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>JACQUALYN A. FOUSE              | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>ELLEN R. HOFFING                | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>MICHAEL J. JANDERNOA            | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>GERARD K. KUNKLE, JR.           | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>HERMAN MORRIS, JR.              | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>DONAL O'CONNOR                  | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR:<br>JOSEPH C. PAPA                  | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR:<br>SHLOMO YANAI                    | Management  | For  | For                    |
| 2.   | RATIFY THE<br>APPOINTMENT OF ERNST<br>& YOUNG LLP AS OUR | Management  | For  | For                    |

INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE PERIOD ENDING DECEMBER 31, 2015, AND AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO FIX THE REMUNERATION OF THE AUDITORS.

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 3. | AN ADVISORY VOTE TO APPROVE THE COMPANY'S EXECUTIVE COMPENSATION.   | Management | For | For |
| 4. | AUTHORIZE PERRIGO COMPANY PLC AND/OR ANY SUBSIDIARY OF PERRIGO COMPANY PLC TO MAKE MARKET PURCHASES OF PERRIGO COMPANY PLC'S ORDINARY SHARES. | Management | For | For |
| 5. | DETERMINE THE REISSUE PRICE RANGE FOR PERRIGO COMPANY PLC TREASURY SHARES.  | Management | For | For |
| 6. | APPROVE AMENDMENTS TO THE MEMORANDUM OF ASSOCIATION OF THE COMPANY.   | Management | For | For |
| 7. | ADOPT REVISED ARTICLES OF ASSOCIATION OF THE COMPANY.   | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 1,850         | 0                  | 26-Sep-2015 | 04-Nov-2015    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK &          | 4,610         | 0                  | 26-Sep-2015 | 04-Nov-2015    |

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| Item    | Proposal       | Security                                | Quantity | Value  | Meeting Date | Deadline    |
|---------|----------------|---|----------|--------|--------------|-------------|
| 997AL47 | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 150      | 10,500 | 26-Sep-2015  | 04-Nov-2015 |
| 997AL49 | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 114      | 26,600 | 26-Sep-2015  | 04-Nov-2015 |

LAM RESEARCH CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 512807108       | Meeting Type       | Annual                 |
| Ticker Symbol  | LRCX            | Meeting Date       | 04-Nov-2015            |
| ISIN           | US5128071082    | Agenda             | 934281914 - Management |
| Record Date    | 08-Sep-2015     | Holding Recon Date | 08-Sep-2015            |
| City / Country | / United States | Vote Deadline Date | 03-Nov-2015            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 MARTIN B. ANSTICE                              |             | For  | For                    |
|      | 2 ERIC K. BRANDT                                 |             | For  | For                    |
|      | 3 MICHAEL R. CANNON                              |             | For  | For                    |
|      | 4 YOUSSEF A. EL-MANSY                            |             | For  | For                    |
|      | 5 CHRISTINE A. HECKART                           |             | For  | For                    |
|      | 6 CATHERINE P. LEGO                              |             | For  | For                    |
|      | 7 STEPHEN G. NEWBERRY                            |             | For  | For                    |
|      | 8 KRISHNA C. SARASWAT                            |             | For  | For                    |
|      | 9 ABHIJIT Y. TALWALKAR                           |             | For  | For                    |
| 2.   | ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE | Management  | For  | For                    |

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NAMED EXECUTIVE OFFICERS OF LAM RESEARCH, OR "SAY ON PAY."

- APPROVAL OF THE LAM 2004 EXECUTIVE INCENTIVE PLAN, AS AMENDED AND RESTATED.
3. Management For For
- APPROVAL OF THE ADOPTION OF THE LAM 2015 STOCK INCENTIVE PLAN.
4. Management For For
- RATIFICATION OF THE APPOINTMENT OF THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2016.
5. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 7,000         | 0                  | 22-Sep-2015 | 05-Nov-2015    |
| 997AL28        | GLQ            | 997AL28 STATE STREET BANK & TRUST CO | 11,600        | 0                  | 22-Sep-2015 | 05-Nov-2015    |
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 29,000        | 0                  | 22-Sep-2015 | 05-Nov-2015    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 1,300         | 20,000             | 22-Sep-2015 | 05-Nov-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 13,900        | 28,000             | 22-Sep-2015 | 05-Nov-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 2,100         | 86,000             | 22-Sep-2015 | 05-Nov-2015    |



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BANK &  
TRUST CO

LIBERTY ALL-STAR EQUITY FUND

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 530158104       | Meeting Type       | Special                |
| Ticker Symbol  | USA             | Meeting Date       | 19-Nov-2015            |
| ISIN           | US5301581048    | Agenda             | 934287916 - Management |
| Record Date    | 16-Sep-2015     | Holding Recon Date | 16-Sep-2015            |
| City / Country | / United States | Vote Deadline Date | 18-Nov-2015            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | TO APPROVE A NEW PORTFOLIO MANAGEMENT AGREEMENT AMONG THE FUND, ALPS ADVISORS, INC. ("AAI" OR THE "FUND MANAGER") AND DELAWARE INVESTMENTS FUND ADVISERS, A SERIES OF DELAWARE BUSINESS MANAGEMENT TRUST ("DELAWARE INVESTMENTS" OR THE "PORTFOLIO MANAGER"). | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 11,000        | 0                  | 09-Oct-2015 | 20-Nov-2015    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 180,000       | 0                  | 09-Oct-2015 | 20-Nov-2015    |

MICROSOFT CORPORATION

|               |           |              |             |
|---------------|-----------|--------------|-------------|
| Security      | 594918104 | Meeting Type | Annual      |
| Ticker Symbol | MSFT      | Meeting Date | 02-Dec-2015 |

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| ISIN           | US5949181045    | Agenda             | 934290329 - Management |
| Record Date    | 02-Oct-2015     | Holding Recon Date | 02-Oct-2015            |
| City / Country | / United States | Vote Deadline Date | 01-Dec-2015            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: WILLIAM H. GATES III  | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: TERI L. LIST-STOLL  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: G. MASON MORFIT   | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: SATYA NADELLA   | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: CHARLES H. NOSKI  | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: HELMUT PANKE  | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: SANDRA E. PETERSON  | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR: CHARLES W. SCHARF   | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR: JOHN W. STANTON   | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR: JOHN W. THOMPSON  | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR: PADMASREE WARRIOR   | Management  | For  | For                    |
| 2.   | ADVISORY VOTE ON EXECUTIVE COMPENSATION   | Management  | For  | For                    |
| 3.   | RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2016 | Management  | For  | For                    |

Account Name

Custodian

Vote Date

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| Account Number |                | Internal Account                        | Ballot Shares | Unavailable Shares |             | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 21,400        | 0                  | 20-Oct-2015 | 03-Dec-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 39,900        | 0                  | 20-Oct-2015 | 03-Dec-2015    |
| 997AL53        | GLO MS PLEDGE  | 997AL53<br>STATE STREET BANK & TRUST CO | 48,400        | 0                  | 20-Oct-2015 | 03-Dec-2015    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61<br>STATE STREET BANK & TRUST CO | 35,700        | 0                  | 20-Oct-2015 | 03-Dec-2015    |

CIGNA CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 125509109       | Meeting Type       | Special                |
| Ticker Symbol  | CI              | Meeting Date       | 03-Dec-2015            |
| ISIN           | US1255091092    | Agenda             | 934297044 - Management |
| Record Date    | 22-Oct-2015     | Holding Recon Date | 22-Oct-2015            |
| City / Country | / United States | Vote Deadline Date | 02-Dec-2015            |

SEDOL(s)

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | ADOPTION OF THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JULY 23, 2015 (AS IT MAY BE AMENDED FROM TIME TO TIME, THE "MERGER AGREEMENT"), AMONG ANTHEM, INC., AN INDIANA CORPORATION ("ANTHEM"), ANTHEM MERGER SUB CORP., A DELAWARE CORPORATION ("MERGER SUB"), AND CIGNA CORPORATION, A | Management  | For  | For                    |

DELAWARE CORPORATION ("CIGNA").

2. APPROVAL ON AN ADVISORY (NON-BINDING) BASIS OF THE COMPENSATION THAT MAY BE PAID OR BECOME PAYABLE TO CIGNA'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE COMPLETION OF THE MERGER. Management For For

3. ADJOURNMENT OF THE CIGNA SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO ADOPT THE MERGER AGREEMENT. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 8,800         | 0                  | 04-Nov-2015 | 04-Dec-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 6,600         | 0                  | 04-Nov-2015 | 04-Dec-2015    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 42,600        | 0                  | 04-Nov-2015 | 04-Dec-2015    |
| 997AL53        | GLO MS PLEDGE  | 997AL53 STATE STREET BANK & TRUST CO | 2,000         | 0                  | 04-Nov-2015 | 04-Dec-2015    |
| 997AL60        | GLV MS PLEDGE  | 997AL60 STATE STREET BANK & TRUST CO | 2,000         | 0                  | 04-Nov-2015 | 04-Dec-2015    |

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|         |                  |  |        |   |             |             |
|---------|------------------|--|--------|---|-------------|-------------|
| 997AL61 | GLQ MS<br>PLEDGE | 997AL61<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 11,400 | 0 | 04-Nov-2015 | 04-Dec-2015 |
|---------|------------------|--|--------|---|-------------|-------------|

COMCAST CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 20030N101       | Meeting Type       | Special                |
| Ticker Symbol  | CMCSA           | Meeting Date       | 10-Dec-2015            |
| ISIN           | US20030N1019    | Agenda             | 934300132 - Management |
| Record Date    | 20-Oct-2015     | Holding Recon Date | 20-Oct-2015            |
| City / Country | / United States | Vote Deadline Date | 09-Dec-2015            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 1. | VOTE ON A PROPOSAL TO AMEND AND RESTATE OUR AMENDED AND RESTATED ARTICLES OF INCORPORATION AS DESCRIBED IN THE ACCOMPANYING PROXY STATEMENT, AND IN CONNECTION THEREWITH, TO RECLASSIFY EACH ISSUED SHARE OF OUR CLASS A SPECIAL COMMON STOCK INTO ONE SHARE OF CLASS A COMMON STOCK | Management | For | For |
|----|--|------------|-----|-----|

| Account Number | Account Name   | Internal Custodian Account                       | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100           | 60,500             | 11-Nov-2015 | 11-Dec-2015    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 1,500         | 65,000             | 11-Nov-2015 | 11-Dec-2015    |
| 997AL49        |                | 997AL49  | 17,800        | 175,000            | 11-Nov-2015 | 11-Dec-2015    |

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|         |                   |         |                                       |        |   |             |             |
|---------|-------------------|---------|---------------------------------------|--------|---|-------------|-------------|
|         | GLO BNP<br>PLEDGE |         | STATE<br>STREET<br>BANK &<br>TRUST CO |        |   |             |             |
| 997AL53 | GLO MS<br>PLEDGE  | 997AL53 | STATE<br>STREET<br>BANK &<br>TRUST CO | 93,400 | 0 | 11-Nov-2015 | 11-Dec-2015 |
| 997AL60 | GLV MS<br>PLEDGE  | 997AL60 | STATE<br>STREET<br>BANK &<br>TRUST CO | 8,200  | 0 | 11-Nov-2015 | 11-Dec-2015 |
| 997AL61 | GLQ MS<br>PLEDGE  | 997AL61 | STATE<br>STREET<br>BANK &<br>TRUST CO | 48,100 | 0 | 11-Nov-2015 | 11-Dec-2015 |

PING AN INSURANCE (GROUP) COMPANY OF CHINA LTD, BE

|                |   |                    |                               |
|----------------|---|--------------------|-------------------------------|
| Security       | Y69790106                                   | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |   | Meeting Date       | 17-Dec-2015                   |
| ISIN           | CNE1000003X6                                | Agenda             | 706580138 - Management        |
| Record Date    | 16-Nov-2015                                 | Holding Recon Date | 16-Nov-2015                   |
| City / Country | GUANGDONG / China                           | Vote Deadline Date | 03-Dec-2015                   |
| SEDOL(s)       | B01FLR7 -<br>B01NLS2 - B03NP99<br>- BP3RWZ1 | Quick Code         |                               |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 552105 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU. | Non-Voting  |      |                        |

|      |   |            |     |     |
|------|---|------------|-----|-----|
|      | 01 DEC 2015: PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE-BY CLICKING ON THE URL LINKS:-  |            |     |     |
| CMMT | <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/lt20151127923.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/lt20151127923.pdf</a> ,-  | Non-Voting |     |     |
|      | <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/lt20151127915.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1127/lt20151127915.pdf</a> -AND-                                     |            |     |     |
|      | <a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1030/lt20151030756.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1030/lt20151030756.pdf</a>   |            |     |     |
| 1    | TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LIU CHONG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 10TH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY    | Management | For | For |
| 2    | TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY   | Management | For | For |
| 3    | TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. XIONG PEIJIN AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY TO HOLD OFFICE UNTIL THE EXPIRY OF THE TERM OF THE 10TH SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY | Management | For | For |
| CMMT | 01 DEC 2015: PLEASE NOTE THAT THIS IS A REVISION DUE TO MODIFICATION OF THE-TEXT OF COMMENT. IF YOU HAVE ALREADY SENT IN YOUR   | Non-Voting |     |     |

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VOTES FOR MID:  
566957,-PLEASE DO NOT  
VOTE AGAIN UNLESS YOU  
DECIDE TO AMEND YOUR  
ORIGINAL-INSTRUCTIONS.  
THANK YOU.

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 126,000       | 0                  | 28-Nov-2015 | 14-Dec-2015    |
| AL28           | AL28         | STATE STREET BANK          | 211,000       | 0                  | 28-Nov-2015 | 14-Dec-2015    |
| AL29           | AL29         | STATE STREET BANK          | 521,000       | 0                  | 28-Nov-2015 | 14-Dec-2015    |

AIR CHINA LTD

|                |  |                    |                               |
|----------------|--|--------------------|-------------------------------|
| Security       | Y002A6104  | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |  | Meeting Date       | 22-Dec-2015                   |
| ISIN           | CNE1000001S0   | Agenda             | 706590519 - Management        |
| Record Date    | 20-Nov-2015  | Holding Recon Date | 20-Nov-2015                   |
| City / Country | BEIJING / China  | Vote Deadline Date | 11-Dec-2015                   |
| SEDOL(s)       | B04KNF1 -<br>B04V2F7 -<br>B04YG10 - B0584Q2<br>- BP3RR78 | Quick Code         |                               |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 554575 DUE TO RECEIPT OF- ADDITIONAL RESOLUTION 6. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE- DISREGARDED AND | Non-Voting  |      |                        |



YOU WILL NEED TO  
REINSTRUCT ON THIS  
MEETING NOTICE.  
THANK- YOU.

PLEASE NOTE THAT THE  
COMPANY NOTICE AND  
PROXY FORM ARE  
AVAILABLE BY  
CLICKING-ON THE URL

CMMT LINKS:-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/1106/LTN20151106600.pdf>,-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1207/LTN20151207801.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2015/1207/LTN20151207795.pdf>

PLEASE NOTE IN THE  
HONG KONG MARKET

CMMT THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE

Non-Voting

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 1 | TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE ARTICLES OF ASSOCIATION OF THE COMPANY AS SET OUT IN APPENDIX I OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015 AND THE CHAIRMAN AND/OR ANY PERSON AUTHORISED BY THE CHAIRMAN BE AUTHORISED TO ADJUST, AT HIS OR HER DISCRETION, THE SAID AMENDMENTS IN ACCORDANCE WITH THE OPINION OF THE RELEVANT PRC AUTHORITIES (THE PROPOSED AMENDMENT TO THE ARTICLES OF ASSOCIATION WILL BE SUBMITTED TO THE RELEVANT PRC | Management | For | For |
|---|--|------------|-----|-----|

AUTHORITIES FOR APPROVAL AND FILING AFTER BEING APPROVED AT THE MEETING)

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 2 | <p>TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE RULES AND PROCEDURE OF SHAREHOLDERS' MEETINGS OF THE COMPANY AS SET OUT IN APPENDIX II OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015</p>              | Management | For | For |
| 3 | <p>TO CONSIDER AND APPROVE THE PROPOSED AMENDMENTS TO THE RULES AND PROCEDURE OF MEETINGS OF THE BOARD OF DIRECTORS OF THE COMPANY AS SET OUT IN APPENDIX III OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015</p> | Management | For | For |
| 4 | <p>TO CONSIDER AND APPROVE THE PROPOSED ADOPTION OF THE SHAREHOLDERS' RETURN PLAN FOR THE THREE YEARS FROM 2015 TO 2017 AS SET OUT IN APPENDIX IV OF THE CIRCULAR DESPATCHED BY THE COMPANY ON 7 NOVEMBER 2015</p>             | Management | For | For |
| 5 | <p>TO CONSIDER AND APPROVE THE RESOLUTIONS CONCERNING THE ENTRY INTO CONTINUING CONNECTED TRANSACTION AGREEMENTS FOR THE THREE YEARS FROM 1 JANUARY 2016 TO 31</p>   | Management | For | For |

DECEMBER 2018 AND  
THEIR RESPECTIVE  
ANNUAL CAPS

TO CONSIDER AND  
APPROVE THE  
APPOINTMENT OF MR. LI  
DAJIN AS AN  
INDEPENDENT NON-  
EXECUTIVE DIRECTOR OF  
THE COMPANY

6 Management For For

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 558,000       | 0                  | 08-Dec-2015 | 17-Dec-2015    |
| AL28           | AL28         | STATE STREET BANK          | 934,000       | 0                  | 08-Dec-2015 | 17-Dec-2015    |
| AL29           | AL29         | STATE STREET BANK          | 2,304,000     | 0                  | 08-Dec-2015 | 17-Dec-2015    |

MYLAN N.V.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | N59465109       | Meeting Type       | Special                |
| Ticker Symbol  | MYL             | Meeting Date       | 07-Jan-2016            |
| ISIN           | NL0011031208    | Agenda             | 934313393 - Management |
| Record Date    | 10-Dec-2015     | Holding Recon Date | 10-Dec-2015            |
| City / Country | / United States | Vote Deadline Date | 06-Jan-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | PROPOSED RESOLUTION TO REDEEM ALL ISSUED PREFERRED SHARES, PAR VALUE 0.01 EURO PER SHARE, IN THE CAPITAL OF MYLAN N.V. | Management  | For  | For                    |

Account Name Custodian Vote Date

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| Account Number |     | Internal Account                        | Ballot Shares | Unavailable Shares |             | Date Confirmed |
|----------------|-----|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV | 997AL27<br>STATE STREET BANK & TRUST CO | 6,800         | 0                  | 16-Dec-2015 | 08-Jan-2016    |
| 997AL28        | GLQ | 997AL28<br>STATE STREET BANK & TRUST CO | 11,500        | 0                  | 16-Dec-2015 | 08-Jan-2016    |
| 997AL29        | GLO | 997AL29<br>STATE STREET BANK & TRUST CO | 28,200        | 0                  | 16-Dec-2015 | 08-Jan-2016    |

D.R. HORTON, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 23331A109       | Meeting Type       | Annual                 |
| Ticker Symbol  | DHI             | Meeting Date       | 21-Jan-2016            |
| ISIN           | US23331A1097    | Agenda             | 934312707 - Management |
| Record Date    | 30-Nov-2015     | Holding Recon Date | 30-Nov-2015            |
| City / Country | / United States | Vote Deadline Date | 20-Jan-2016            |

SEDOL(s)

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: DONALD R. HORTON           | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: BARBARA K. ALLEN           | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: BRAD S. ANDERSON           | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN        | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: MICHAEL W. HEWATT          | Management  | For  | For                    |
| 2.   | ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION. | Management  | For  | For                    |
| 3.   | RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS | Management  | For  | For                    |

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LLP AS OUR  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 146,926       | 0                  | 15-Dec-2015 | 22-Jan-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 58,621        | 187,600            | 15-Dec-2015 | 22-Jan-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 155,562       | 457,900            | 15-Dec-2015 | 22-Jan-2016    |

AIR CHINA LTD

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | Y002A6104  | Meeting Type       | Class Meeting          |
| Ticker Symbol  |  | Meeting Date       | 26-Jan-2016            |
| ISIN           | CNE1000001S0   | Agenda             | 706596725 - Management |
| Record Date    | 24-Dec-2015  | Holding Recon Date | 24-Dec-2015            |
| City / Country | BEIJING / China  | Vote Deadline Date | 13-Jan-2016            |
| SEDOL(s)       | B04KNF1 -<br>B04V2F7 -<br>B04YG10 - B0584Q2<br>- BP3RR78 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111110.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111110.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2015/1">http://www.hkexnews.hk/listedco/listconews/sehk/2015/1</a> | Non-Voting  |      |                        |

211/LTN201512111102.pdf

|      |   |            |     |     |
|------|---|------------|-----|-----|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE  | Non-Voting |     |     |
| 1.1  | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: CLASS OF SHARES AND NOMINAL VALUE         | Management | For | For |
| 1.2  | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: METHOD AND TIMING OF ISSUE                | Management | For | For |
| 1.3  | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: OFFERING SIZE                             | Management | For | For |
| 1.4  | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: TARGET SUBSCRIBER AND SUBSCRIPTION METHOD | Management | For | For |
| 1.5  | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: PRICING BASIS AND ISSUE PRICE             | Management | For | For |
| 1.6  | TO CONSIDER AND APPROVE EACH OF THE   | Management | For | For |

FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: LOCK- UP  
PERIOD

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1.7 | TO CONSIDER AND<br>APPROVE EACH OF THE<br>FOLLOWING PROPOSALS<br>CONCERNING THE NON-<br>PUBLIC A SHARE ISSUE OF<br>THE COMPANY:<br>ACCUMULATED PROFIT<br>ARRANGEMENT | Management | For | For |
|-----|--|------------|-----|-----|

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1.8 | TO CONSIDER AND<br>APPROVE EACH OF THE<br>FOLLOWING PROPOSALS<br>CONCERNING THE NON-<br>PUBLIC A SHARE ISSUE OF<br>THE COMPANY: PLACE OF<br>LISTING | Management | For | For |
|-----|---|------------|-----|-----|

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1.9 | TO CONSIDER AND<br>APPROVE EACH OF THE<br>FOLLOWING PROPOSALS<br>CONCERNING THE NON-<br>PUBLIC A SHARE ISSUE OF<br>THE COMPANY:<br>EFFECTIVENESS OF THE<br>RESOLUTION APPROVING<br>THE NON-PUBLIC A<br>SHARE ISSUE | Management | For | For |
|-----|--|------------|-----|-----|

|      |   |            |     |     |
|------|---|------------|-----|-----|
| 1.10 | TO CONSIDER AND<br>APPROVE EACH OF THE<br>FOLLOWING PROPOSALS<br>CONCERNING THE NON-<br>PUBLIC A SHARE ISSUE OF<br>THE COMPANY: AMOUNT<br>AND USE OF PROCEEDS | Management | For | For |
|------|---|------------|-----|-----|

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 2 | TO CONSIDER AND<br>APPROVE THE SHARE<br>SUBSCRIPTION<br>AGREEMENT ENTERED<br>INTO BY AND BETWEEN<br>THE COMPANY AND<br>CNAHC AND THE<br>TRANSACTIONS<br>CONTEMPLATED<br>THEREUNDER | Management | For | For |
|---|--|------------|-----|-----|

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| Account Number | Account Name | Internal Account Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 558,000       | 0                  | 12-Dec-2015 | 21-Jan-2016    |
| AL28           | AL28         | STATE STREET BANK          | 934,000       | 0                  | 12-Dec-2015 | 21-Jan-2016    |
| AL29           | AL29         | STATE STREET BANK          | 2,304,000     | 0                  | 12-Dec-2015 | 21-Jan-2016    |

AIR CHINA LTD

|                |  |                    |                               |
|----------------|--|--------------------|-------------------------------|
| Security       | Y002A6104  | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |  | Meeting Date       | 26-Jan-2016                   |
| ISIN           | CNE1000001S0   | Agenda             | 706596737 - Management        |
| Record Date    | 24-Dec-2015  | Holding Recon Date | 24-Dec-2015                   |
| City / Country | BEIJING / China  | Vote Deadline Date | 13-Jan-2016                   |
| SEDOL(s)       | B04KNF1 -<br>B04V2F7 -<br>B04YG10 - B0584Q2<br>- BP3RR78 | Quick Code         |                               |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111108.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111108.pdf</a> -<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111096.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2015/1211/LTN201512111096.pdf</a> | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A  | Non-Voting  |      |                        |



"TAKE NO ACTION" VOTE

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1   | TO CONSIDER AND APPROVE THE SATISFACTION BY THE COMPANY OF THE REQUIREMENTS FOR THE NON-PUBLIC A SHARE ISSUE                                      | Management | For | For |
| 2   | TO CONSIDER AND APPROVE THE FEASIBILITY STUDY REPORT ON USE OF PROCEEDS TO BE RAISED FROM THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY             | Management | For | For |
| 3   | TO CONSIDER AND APPROVE THE REPORT ON USE OF PROCEEDS FROM PREVIOUS FUND RAISING ACTIVITIES OF THE COMPANY  | Management | For | For |
| 4.1 | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: CLASS OF SHARES AND NOMINAL VALUE | Management | For | For |
| 4.2 | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: METHOD AND TIMING OF ISSUE        | Management | For | For |
| 4.3 | TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: OFFERING SIZE                     | Management | For | For |
| 4.4 | TO CONSIDER AND APPROVE EACH OF THE   | Management | For | For |

|      |   |  |     |     |
|------|---|--|-----|-----|
|      | <p>FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: TARGET SUBSCRIBER AND SUBSCRIPTION METHOD</p>  |  |     |     |
|      | <p>TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: PRICING BASIS AND ISSUE PRICE</p>  |  |     |     |
| 4.5  | Management  |  | For | For |
|      | <p>TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: LOCK- UP PERIOD</p>  |  |     |     |
| 4.6  | Management  |  | For | For |
|      | <p>TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: ACCUMULATED PROFIT ARRANGEMENT</p>   |  |     |     |
| 4.7  | Management  |  | For | For |
|      | <p>TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: PLACE OF LISTING</p>   |  |     |     |
| 4.8  | Management  |  | For | For |
|      | <p>TO CONSIDER AND APPROVE EACH OF THE FOLLOWING PROPOSALS CONCERNING THE NON-PUBLIC A SHARE ISSUE OF THE COMPANY: EFFECTIVENESS OF THE RESOLUTION APPROVING THE NON-PUBLIC A SHARE ISSUE</p> |  |     |     |
| 4.9  | Management  |  | For | For |
| 4.10 | Management  |  | For | For |

FOLLOWING PROPOSALS  
CONCERNING THE NON-  
PUBLIC A SHARE ISSUE OF  
THE COMPANY: AMOUNT  
AND USE OF PROCEEDS

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 5 | TO CONSIDER AND<br>APPROVE THE SHARE<br>SUBSCRIPTION<br>AGREEMENT ENTERED<br>INTO BY AND BETWEEN<br>THE COMPANY AND<br>CNAHC AND THE<br>TRANSACTIONS<br>CONTEMPLATED<br>THEREUNDER        | Management | For | For |
| 6 | TO CONSIDER AND<br>APPROVE THE<br>AUTHORISATION<br>GRANTED TO THE<br>BOARD'S AUTHORIZED<br>PERSONS(S) TO HANDLE<br>ALL RELEVANT MATTERS<br>RELATING TO THE<br>NON-PUBLIC A SHARE<br>ISSUE | Management | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 558,000       | 0                  | 12-Dec-2015 | 21-Jan-2016    |
| AL28           | AL28         | STATE STREET BANK          | 934,000       | 0                  | 12-Dec-2015 | 21-Jan-2016    |
| AL29           | AL29         | STATE STREET BANK          | 2,304,000     | 0                  | 12-Dec-2015 | 21-Jan-2016    |

SINOPHARM GROUP CO LTD, SHANGHAI

|               |              |                    |                               |
|---------------|--------------|--------------------|-------------------------------|
| Security      | Y8008N107    | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol |              | Meeting Date       | 29-Jan-2016                   |
| ISIN          | CNE100000FN7 | Agenda             | 706637266 - Management        |
| Record Date   | 30-Oct-2015  | Holding Recon Date | 30-Oct-2015                   |

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|                |  |                    |             |
|----------------|--|--------------------|-------------|
| City / Country | SHANGHAI / China                               | Vote Deadline Date | 20-Jan-2016 |
| SEDOL(s)       | B3ZVDV0 -<br>B4M8B73 -<br>B5NVZ21 -<br>BP3RXT2 | Quick Code         |             |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 566922 DUE TO ADDITIONAL-OF RESOLUTIONS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK-YOU.  | Non-Voting  |      |                        |
| CMMT | 15 JAN 2016: PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN"-WILL BE TREATED THE SAME AS A "TAKE NO ACTION" VOTE.  | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114346.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114346.pdf</a> -<br><a href="http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114328.pdf">http://www.hkexnews.hk/listedco/listconews/sehk/2016/0114/LTN20160114328.pdf</a> | Non-Voting  |      |                        |
| 1    | TO CONSIDER AND APPROVE THE AMENDMENTS TO THE RULES OF PROCEDURES OF THE BOARD OF DIRECTORS   | Management  | For  | For                    |
| 2    | TO CONSIDER AND, IF THOUGHT FIT, TO   | Management  | For  | For                    |

APPROVE THE APPOINTMENT OF MR. LIAN WANYONG AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY, TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF Ms. LI XIAOJUAN AS A SHAREHOLDER REPRESENTATIVE SUPERVISOR OF THE COMPANY, TO AUTHORISE THE SUPERVISORY

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 3 | COMMITTEE OF THE COMPANY TO DETERMINE HER REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY | Management | For | For |
|---|---|------------|-----|-----|

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 4 | TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE AMENDMENTS TO THE ARTICLES OF | Management | For | For |
|---|---|------------|-----|-----|

ASSOCIATION OF THE  
COMPANY

CMMT 15 JAN 2016: PLEASE NOTE  
THAT THIS IS A REVISION  
DUE TO MODIFICATION  
OF THE-TEXT OF  
COMMENT. IF YOU HAVE  
ALREADY SENT IN YOUR  
VOTES FOR MID: Non-Voting  
581322,-PLEASE DO NOT  
VOTE AGAIN UNLESS YOU  
DECIDE TO AMEND YOUR  
ORIGINAL-INSTRUCTIONS.  
THANK YOU.

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 194,400       | 0                  | 15-Jan-2016 | 25-Jan-2016    |
| AL28           | AL28         | STATE STREET BANK          | 322,000       | 0                  | 15-Jan-2016 | 25-Jan-2016    |
| AL29           | AL29         | STATE STREET BANK          | 794,400       | 0                  | 15-Jan-2016 | 25-Jan-2016    |

GOLUB CAPITAL BDC, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 38173M102       | Meeting Type       | Annual                 |
| Ticker Symbol  | GBDC            | Meeting Date       | 02-Feb-2016            |
| ISIN           | US38173M1027    | Agenda             | 934313204 - Management |
| Record Date    | 10-Dec-2015     | Holding Recon Date | 10-Dec-2015            |
| City / Country | / United States | Vote Deadline Date | 01-Feb-2016            |

SEDOL(s)

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.1  | ELECTION OF CLASS III DIRECTOR: LAWRENCE E. GOLUB | Management  | For  | For                    |
| 1.2  | ELECTION OF CLASS III DIRECTOR: WILLIAM M.        | Management  | For  | For                    |

WEBSTER IV

TO RATIFY THE  
SELECTION OF ERNST &  
YOUNG LLP TO SERVE AS  
THE COMPANY'S

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 2. | INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>THE FISCAL YEAR ENDING<br>SEPTEMBER 30, 2016. | Management | For | For |
|----|--|------------|-----|-----|

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 63,100        | 0                  | 05-Feb-2016 | 03-Feb-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 133,200       | 0                  | 05-Feb-2016 | 03-Feb-2016    |
| 997AL53        | GLO MS PLEDGE  | 997AL53<br>STATE STREET BANK & TRUST CO | 87,700        | 0                  | 05-Feb-2016 | 03-Feb-2016    |

LAM RESEARCH CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 512807108       | Meeting Type       | Special                |
| Ticker Symbol  | LRCX            | Meeting Date       | 19-Feb-2016            |
| ISIN           | US5128071082    | Agenda             | 934322075 - Management |
| Record Date    | 07-Jan-2016     | Holding Recon Date | 07-Jan-2016            |
| City / Country | / United States | Vote Deadline Date | 18-Feb-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | APPROVAL OF THE<br>ISSUANCE OF SHARES OF<br>LAM RESEARCH COMMON<br>STOCK TO KLA-TENCOR<br>STOCKHOLDERS<br>PURSUANT TO THE | Management  | For  | For                    |

MERGER AGREEMENT.

2. ADJOURNMENT OF THE SPECIAL MEETING, IF NECESSARY AND APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES TO APPROVE PROPOSAL 1.

Management For For

3. APPROVAL OF THE AMENDMENT TO THE LAM RESEARCH CERTIFICATE OF INCORPORATION, AS AMENDED, TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF LAM RESEARCH STOCK FROM 405,000,000 TO 590,000,000 AND THE NUMBER OF AUTHORIZED SHARES OF LAM RESEARCH COMMON STOCK FROM 400,000,000 TO 585,000,000.

Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 11,100        | 19,100             | 20-Jan-2016 | 22-Feb-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 20,700        | 28,000             | 20-Jan-2016 | 22-Feb-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 54,700        | 71,000             | 20-Jan-2016 | 22-Feb-2016    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61 STATE STREET BANK & TRUST CO | 6,600         | 0                  | 20-Jan-2016 | 22-Feb-2016    |

HOLOGIC, INC.



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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 436440101       | Meeting Type       | Annual                 |
| Ticker Symbol  | HOLX            | Meeting Date       | 02-Mar-2016            |
| ISIN           | US4364401012    | Agenda             | 934320704 - Management |
| Record Date    | 08-Jan-2016     | Holding Recon Date | 08-Jan-2016            |
| City / Country | / United States | Vote Deadline Date | 01-Mar-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 JONATHAN CHRISTODORO  |             | For  | For                    |
|      | 2 SALLY W. CRAWFORD   |             | For  | For                    |
|      | 3 SCOTT T. GARRETT  |             | For  | For                    |
|      | 4 NANCY L. LEAMING  |             | For  | For                    |
|      | 5 LAWRENCE M. LEVY  |             | For  | For                    |
|      | 6 STEPHEN P. MACMILLAN  |             | For  | For                    |
|      | 7 SAMUEL MERKSAMER  |             | For  | For                    |
|      | 8 CHRISTIANA STAMOULIS  |             | For  | For                    |
|      | 9 ELAINE S. ULLIAN  |             | For  | For                    |
|      | 10 CHRISTOPHER J. COUGHLIN  |             | For  | For                    |
| 2.   | A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.    | Management  | For  | For                    |
| 3.   | AMENDMENT TO THE HOLOGIC, INC. 2012 EMPLOYEE STOCK PURCHASE PLAN.       | Management  | For  | For                    |
| 4.   | RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT | Management  | For  | For                    |

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REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
FISCAL 2016.

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 4,100         | 0                  | 21-Jan-2016 | 03-Mar-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 6,800         | 0                  | 21-Jan-2016 | 03-Mar-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 17,000        | 0                  | 21-Jan-2016 | 03-Mar-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 200           | 19,800             | 21-Jan-2016 | 03-Mar-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 3,900         | 29,400             | 21-Jan-2016 | 03-Mar-2016    |
| 997AL49        | GLO BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL49 | 4,900         | 78,200             | 21-Jan-2016 | 03-Mar-2016    |

TRANSDIGM GROUP INCORPORATED

|                |                 |                    |                             |
|----------------|-----------------|--------------------|-----------------------------|
| Security       | 893641100       | Meeting Type       | Annual                      |
| Ticker Symbol  | TDG             | Meeting Date       | 02-Mar-2016                 |
| ISIN           | US8936411003    | Agenda             | 934322796 - Management      |
| Record Date    | 04-Jan-2016     | Holding Recon Date | 04-Jan-2016                 |
| City / Country | / United States | Vote Deadline Date | 01-Mar-2016                 |
| SEDOL(s)       |                 | Quick Code         |                             |
| Item           | Proposal        | Proposed by        | Vote For/Against Management |

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- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 1. | DIRECTOR  | Management |     |     |
|    | 1 WILLIAM DRIES   |            | For | For |
|    | 2 MERVIN DUNN   |            | For | For |
|    | 3 MICHAEL GRAFF   |            | For | For |
|    | 4 W. NICHOLAS HOWLEY  |            | For | For |
|    | 5 RAYMOND LAUBENTHAL  |            | For | For |
|    | 6 ROBERT SMALL  |            | For | For |
|    | TO APPROVE (IN AN ADVISORY VOTE)  |            |     |     |
| 2. | COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS   | Management | For | For |
|    | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2016 |            |     |     |
| 3. |   | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 11,400        | 0                  | 22-Jan-2016 | 03-Mar-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 18,900        | 0                  | 22-Jan-2016 | 03-Mar-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 46,706        | 0                  | 22-Jan-2016 | 03-Mar-2016    |

BLACKROCK LIQUIDITY FUNDS

|               |           |              |             |
|---------------|-----------|--------------|-------------|
| Security      | 09248U718 | Meeting Type | Special     |
| Ticker Symbol | TSTXX     | Meeting Date | 07-Mar-2016 |

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| ISIN           | US09248U7182    | Agenda             | 934328306 - Management |
| Record Date    | 11-Dec-2015     | Holding Recon Date | 11-Dec-2015            |
| City / Country | / United States | Vote Deadline Date | 04-Mar-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                | Proposed by | Vote | For/Against Management |
|------|-------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                | Management  |      |                        |
|      | 1 DAVID O. BEIM         |             | For  | For                    |
|      | 2 SUSAN J. CARTER       |             | For  | For                    |
|      | 3 COLLETTE CHILTON      |             | For  | For                    |
|      | 4 NEIL A. COTTY         |             | For  | For                    |
|      | 5 MATINA S. HORNER      |             | For  | For                    |
|      | 6 RODNEY D. JOHNSON     |             | For  | For                    |
|      | 7 CYNTHIA A. MONTGOMERY |             | For  | For                    |
|      | 8 JOSEPH P. PLATT       |             | For  | For                    |
|      | 9 ROBERT C. ROBB, JR.   |             | For  | For                    |
|      | 10 MARK STALNECKER      |             | For  | For                    |
|      | 11 KENNETH L. URISH     |             | For  | For                    |
|      | 12 CLAIRE A. WALTON     |             | For  | For                    |
|      | 13 FREDERICK W. WINTER  |             | For  | For                    |
|      | 14 BARBARA G. NOVICK    |             | For  | For                    |
|      | 15 JOHN M. PERLOWSKI    |             | For  | For                    |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 20,645,429    | 0                  | 13-Feb-2016 | 08-Mar-2016    |

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|         |     |         |                              |             |   |             |             |
|---------|-----|---------|------------------------------|-------------|---|-------------|-------------|
| 997AL28 | GLQ | 997AL28 | STATE STREET BANK & TRUST CO | 51,676,164  | 0 | 13-Feb-2016 | 08-Mar-2016 |
| 997AL29 | GLO | 997AL29 | STATE STREET BANK & TRUST CO | 110,316,708 | 0 | 13-Feb-2016 | 08-Mar-2016 |

SINOPHARM GROUP CO LTD, SHANGHAI

|                |  |                    |                               |
|----------------|--|--------------------|-------------------------------|
| Security       | Y8008N107                                      | Meeting Type       | ExtraOrdinary General Meeting |
| Ticker Symbol  |  | Meeting Date       | 08-Mar-2016                   |
| ISIN           | CNE100000FN7                                   | Agenda             | 706648500 - Management        |
| Record Date    | 05-Feb-2016                                    | Holding Recon Date | 05-Feb-2016                   |
| City / Country | SHANGHAI / China                               | Vote Deadline Date | 23-Feb-2016                   |
| SEDOL(s)       | B3ZVDV0 -<br>B4M8B73 -<br>B5NVZ21 -<br>BP3RXT2 | Quick Code         |                               |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br>[HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONES/SEHK/2016/0122/LTN20160122259.PDF-AND-HTTP://WWW.HKEXNEWS.HK/LISTEDCO/LISTCONES/SEHK/2016/0122/LTN20160122255.PDF] | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE   | Non-Voting  |      |                        |
| 1    | TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR.   | Management  | For  | For                    |

ZHUO FUMIN AS AN  
 INDEPENDENT  
 NON-EXECUTIVE  
 DIRECTOR OF THE  
 COMPANY, TO  
 AUTHORISE THE BOARD  
 OF DIRECTORS OF THE  
 COMPANY TO DETERMINE  
 HIS REMUNERATION, AND  
 TO AUTHORISE ANY  
 EXECUTIVE DIRECTOR OF  
 THE COMPANY TO  
 EXECUTE A SERVICE  
 CONTRACT OR SUCH  
 OTHER DOCUMENTS OR  
 SUPPLEMENTAL  
 AGREEMENTS OR DEEDS  
 ON BEHALF OF THE  
 COMPANY

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 142,400       | 0                  | 23-Jan-2016 | 02-Mar-2016    |
| AL28           | AL28         | STATE STREET BANK          | 236,800       | 0                  | 23-Jan-2016 | 02-Mar-2016    |
| AL29           | AL29         | STATE STREET BANK          | 583,600       | 0                  | 23-Jan-2016 | 02-Mar-2016    |

STARBUCKS CORPORATION

|                |                 |  |                    |                        |
|----------------|-----------------|--|--------------------|------------------------|
| Security       | 855244109       |  | Meeting Type       | Annual                 |
| Ticker Symbol  | SBUX            |  | Meeting Date       | 23-Mar-2016            |
| ISIN           | US8552441094    |  | Agenda             | 934322861 - Management |
| Record Date    | 14-Jan-2016     |  | Holding Recon Date | 14-Jan-2016            |
| City / Country | / United States |  | Vote Deadline Date | 22-Mar-2016            |

SEDOL(s)

Quick Code

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1A.  |          | Management  | For  | For                    |

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|     |  |             |         |     |
|-----|--|-------------|---------|-----|
|     | ELECTION OF DIRECTOR:<br>HOWARD SCHULTZ  |             |         |     |
| 1B. | ELECTION OF DIRECTOR:<br>WILLIAM W. BRADLEY  | Management  | For     | For |
| 1C. | ELECTION OF DIRECTOR:<br>MARY N. DILLON  | Management  | For     | For |
| 1D. | ELECTION OF DIRECTOR:<br>ROBERT M. GATES   | Management  | For     | For |
| 1E. | ELECTION OF DIRECTOR:<br>MELLODY HOBSON  | Management  | For     | For |
| 1F. | ELECTION OF DIRECTOR:<br>KEVIN R. JOHNSON  | Management  | For     | For |
| 1G. | ELECTION OF DIRECTOR:<br>JOSHUA COOPER RAMO  | Management  | For     | For |
| 1H. | ELECTION OF DIRECTOR:<br>JAMES G. SHENNAN, JR.   | Management  | For     | For |
| 1I. | ELECTION OF DIRECTOR:<br>CLARA SHIH  | Management  | For     | For |
| 1J. | ELECTION OF DIRECTOR:<br>JAVIER G. TERUEL  | Management  | For     | For |
| 1K. | ELECTION OF DIRECTOR:<br>MYRON E. ULLMAN, III  | Management  | For     | For |
| 1L. | ELECTION OF DIRECTOR:<br>CRAIG E. WEATHERUP  | Management  | For     | For |
| 2.  | ADVISORY RESOLUTION<br>TO APPROVE OUR<br>EXECUTIVE<br>COMPENSATION.  | Management  | For     | For |
| 3.  | APPROVE AMENDMENT<br>AND RESTATEMENT OF<br>OUR EXECUTIVE<br>MANAGEMENT BONUS<br>PLAN.  | Management  | For     | For |
| 4.  | RATIFICATION OF<br>SELECTION OF DELOITTE<br>& TOUCHE LLP AS OUR<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>FISCAL 2016. | Management  | For     | For |
| 5.  | ADOPT PROXY ACCESS   | Shareholder | Against | For |

BYLAW.

6. REVIEW POLICIES RELATED TO HUMAN RIGHTS. Shareholder Against For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 300           | 42,400             | 26-Jan-2016 | 24-Mar-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 2,300         | 68,700             | 26-Jan-2016 | 24-Mar-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 3,300         | 175,300            | 26-Jan-2016 | 24-Mar-2016    |

GW PHARMACEUTICALS PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 36197T103       | Meeting Type       | Annual                 |
| Ticker Symbol  | GWPH            | Meeting Date       | 23-Mar-2016            |
| ISIN           | US36197T1034    | Agenda             | 934331618 - Management |
| Record Date    | 16-Feb-2016     | Holding Recon Date | 16-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 15-Mar-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| O1   | TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2015 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND | Management  | For  | For                    |



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|     |   |            |     |     |
|-----|---|------------|-----|-----|
| O2  | TO APPROVE THE DIRECTORS' REMUNERATION REPORT   | Management | For | For |
| O3  | TO RE-ELECT ADAM GEORGE AS A DIRECTOR   | Management | For | For |
| O4  | TO RE-ELECT JAMES NOBLE AS A DIRECTOR   | Management | For | For |
| O5  | TO RE-ELECT CHRISTOPHER TOVEY AS A DIRECTOR   | Management | For | For |
| O6  | TO RE-ELECT JULIAN GANGOLLI AS A DIRECTOR   | Management | For | For |
| O7  | TO APPOINT DELOITTE LLP AS AUDITOR  | Management | For | For |
| O8  | TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION  | Management | For | For |
| O9  | TO AUTHORISE AN INCREASE IN THE AGGREGATE ANNUAL LIMIT ON THE FEES PAYABLE TO DIRECTORS TO 1,000,000 POUNDS   | Management | For | For |
| S10 | TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 8,200         | 0                  | 25-Feb-2016 | 17-Mar-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET                 | 100           | 13,400             | 25-Feb-2016 | 17-Mar-2016    |

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|         |                   |         |   |       |        |             |             |
|---------|-------------------|---------|---|-------|--------|-------------|-------------|
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | BANK &<br>TRUST CO<br><br>STATE<br>STREET<br>BANK &<br>TRUST CO | 3,800 | 30,100 | 25-Feb-2016 | 17-Mar-2016 |
|---------|-------------------|---------|---|-------|--------|-------------|-------------|

RAKUTEN,INC.

|                |                                |                    |                        |
|----------------|--------------------------------|--------------------|------------------------|
| Security       | J64264104                      | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |                                | Meeting Date       | 30-Mar-2016            |
| ISIN           | JP3967200001                   | Agenda             | 706754353 - Management |
| Record Date    | 31-Dec-2015                    | Holding Recon Date | 31-Dec-2015            |
| City / Country | TOKYO / Japan                  | Vote Deadline Date | 14-Mar-2016            |
| SEDOL(s)       | 5987788 - 6229597 -<br>B3BJLG9 | Quick Code         | 47550                  |

| Item | Proposal   | Proposed by | Vote | For/Against<br>Management |
|------|--|-------------|------|---------------------------|
|      | Please reference meeting materials.              | Non-Voting  |      |                           |
| 1    | Amend Articles to: Expand Business Lines         | Management  | For  | For                       |
| 2.1  | Appoint a Director Mikitani, Hiroshi             | Management  | For  | For                       |
| 2.2  | Appoint a Director Hosaka, Masayuki              | Management  | For  | For                       |
| 2.3  | Appoint a Director Charles B. Baxter             | Management  | For  | For                       |
| 2.4  | Appoint a Director Kutaragi, Ken                 | Management  | For  | For                       |
| 2.5  | Appoint a Director Murai, Jun                    | Management  | For  | For                       |
| 2.6  | Appoint a Director Youngme Moon                  | Management  | For  | For                       |
| 2.7  | Appoint a Director Joshua G. James               | Management  | For  | For                       |
| 2.8  | Appoint a Director Mitachi, Takashi              | Management  | For  | For                       |
| 3.1  | Appoint a Corporate Auditor Yamaguchi, Katsuyuki | Management  | For  | For                       |

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|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 3.2 | Appoint a Corporate Auditor Uchida, Takahide  | Management | For | For |
| 4   | Approve Issuance of Share Acquisition Rights as Stock Options for Directors, Executive Officers and Employees of the Company, the Company's Subsidiaries and Affiliated Companies | Management | For | For |
| 5   | Approve Issuance of Share Acquisition Rights as Stock Options for Outside Directors of the Company, the Company's Subsidiaries and Affiliated Companies                           | Management | For | For |
| 6   | Approve Issuance of Share Acquisition Rights as Stock Options for Corporate Auditors of the Company, the Company's Subsidiaries and Affiliated Companies                          | Management | For | For |
| 7   | Approve Application of the Special Clauses to Issuance of Share Acquisition Rights as Stock Options for Residents of the State of California, U.S.A.                              | Management | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 70,900        | 0                  | 11-Mar-2016 | 29-Mar-2016    |
| AL28           | AL28         | STATE STREET BANK          | 118,100       | 0                  | 11-Mar-2016 | 29-Mar-2016    |
| AL29           | AL29         | STATE STREET BANK          | 293,500       | 0                  | 11-Mar-2016 | 29-Mar-2016    |

ANTA SPORTS PRODUCTS LTD

|               |              |              |                        |
|---------------|--------------|--------------|------------------------|
| Security      | G04011105    | Meeting Type | Annual General Meeting |
| Ticker Symbol |              | Meeting Date | 06-Apr-2016            |
| ISIN          | KYG040111059 | Agenda       | 706726897 - Management |

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|                |   |                    |             |
|----------------|---|--------------------|-------------|
| Record Date    | 31-Mar-2016                                 | Holding Recon Date | 31-Mar-2016 |
| City / Country | HONG KONG / Cayman Islands                  | Vote Deadline Date | 25-Mar-2016 |
| SEDOL(s)       | B1YVKN8 -<br>B235FM2 - B2468S1<br>- BP3RRC3 | Quick Code         |             |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303800.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303800.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303784.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0303/LTN20160303784.pdf</a> | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'AGAINST' FOR- ALL RESOLUTIONS, ABSTAIN IS NOT A VOTING OPTION ON THIS MEETING  | Non-Voting  |      |                        |
| 1    | TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS OF THE COMPANY AND ITS SUBSIDIARIES AND THE REPORTS OF THE DIRECTORS AND THE AUDITOR OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2015   | Management  | For  | For                    |
| 2    | TO DECLARE A FINAL DIVIDEND OF HK30 CENTS PER ORDINARY SHARE OF THE COMPANY IN RESPECT OF THE YEAR ENDED 31 DECEMBER 2015   | Management  | For  | For                    |
| 3    |   | Management  | For  | For                    |

TO DECLARE A SPECIAL  
DIVIDEND OF HK8 CENTS  
PER ORDINARY SHARE OF  
THE COMPANY IN  
RESPECT OF THE YEAR  
ENDED 31 DECEMBER 2015

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 4  | TO RE-ELECT MR. DING<br>SHIZHONG AS AN<br>EXECUTIVE DIRECTOR OF<br>THE COMPANY  | Management | For | For |
| 5  | TO RE-ELECT MR. ZHENG<br>JIE AS AN EXECUTIVE<br>DIRECTOR OF THE<br>COMPANY  | Management | For | For |
| 6  | TO RE-ELECT MR. DAI<br>ZHONGCHUAN AS AN<br>INDEPENDENT<br>NON-EXECUTIVE<br>DIRECTOR OF THE<br>COMPANY                                       | Management | For | For |
| 7  | TO AUTHORISE THE<br>BOARD OF DIRECTORS OF<br>THE COMPANY TO FIX<br>THE REMUNERATION OF<br>THE COMPANY'S<br>DIRECTORS                        | Management | For | For |
| 8  | TO RE-APPOINT KPMG AS<br>THE COMPANY'S AUDITOR<br>AND TO AUTHORISE THE<br>BOARD OF DIRECTORS OF<br>THE COMPANY TO FIX<br>THEIR REMUNERATION | Management | For | For |
| 9  | TO GRANT A GENERAL<br>MANDATE TO THE<br>DIRECTORS OF THE<br>COMPANY TO ALLOT,<br>ISSUE AND DEAL WITH<br>THE COMPANY'S SHARES                | Management | For | For |
| 10 | TO GRANT A GENERAL<br>MANDATE TO THE<br>DIRECTORS OF THE<br>COMPANY TO<br>REPURCHASE THE<br>COMPANY'S SHARES                                | Management | For | For |
| 11 | TO EXTEND THE GENERAL   | Management | For | For |

MANDATE GRANTED TO  
THE DIRECTORS OF THE  
COMPANY UNDER  
RESOLUTION NO. 9 BY  
THE NUMBER OF SHARES  
REPURCHASED UNDER  
RESOLUTION NO. 10

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 320,000       | 0                  | 05-Mar-2016 | 01-Apr-2016    |
| AL28           | AL28         | STATE STREET BANK          | 522,000       | 0                  | 05-Mar-2016 | 01-Apr-2016    |
| AL29           | AL29         | STATE STREET BANK          | 1,317,000     | 0                  | 05-Mar-2016 | 01-Apr-2016    |

LIBERTY MEDIA CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 531229300       | Meeting Type       | Special                |
| Ticker Symbol  | LMCK            | Meeting Date       | 11-Apr-2016            |
| ISIN           | US5312293005    | Agenda             | 934332216 - Management |
| Record Date    | 22-Feb-2016     | Holding Recon Date | 22-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 08-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, AMONG OTHER THINGS, TO RECLASSIFY AND EXCHANGE OUR EXISTING COMMON STOCK BY EXCHANGING THE SHARES OF OUR EXISTING COMMON | Management  | For  | For                    |

STOCK FOR NEWLY  
ISSUED SHARES OF THREE  
NEW TRACKING STOCKS,  
TO BE DESIGNATED THE  
LIBERTY SIRIUSXM  
COMMON STOCK, THE  
LIBERTY BRAVES  
COMMON STOCK AND  
THE LIBERTY MEDIA  
COMMON STOCK, AND TO  
PROVIDE FOR THE  
ATTRIBUTION OF THE  
BUSINESSES, ASSETS AND  
...(DUE TO SPACE LIMITS,  
SEE PROXY MATERIAL  
FOR FULL PROPOSAL)

A PROPOSAL TO APPROVE  
THE ADOPTION OF AN  
AMENDMENT AND  
RESTATEMENT OF OUR  
CERTIFICATE OF  
INCORPORATION, IN  
CONNECTION WITH THE  
RECLASSIFICATION AND  
EXCHANGE OF OUR  
EXISTING COMMON  
STOCK, AMONG OTHER  
THINGS, TO RECLASSIFY  
AND EXCHANGE EACH  
OUTSTANDING SHARE OF  
OUR EXISTING SERIES A,  
SERIES B AND SERIES C  
COMMON STOCK BY  
EXCHANGING EACH SUCH  
SHARE FOR THE  
FOLLOWING UPON THE  
CANCELLATION  
THEREOF: ONE NEWLY  
ISSUED SHARE OF THE  
CORRESPONDING SERIES  
OF LIBERTY SIRIUSXM  
COMMON ...(DUE TO  
SPACE LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

- |    |  |            |     |     |
|----|--|------------|-----|-----|
| 2. | <p>OUR EXISTING SERIES A,<br/>SERIES B AND SERIES C<br/>COMMON STOCK BY<br/>EXCHANGING EACH SUCH<br/>SHARE FOR THE<br/>FOLLOWING UPON THE<br/>CANCELLATION<br/>THEREOF: ONE NEWLY<br/>ISSUED SHARE OF THE<br/>CORRESPONDING SERIES<br/>OF LIBERTY SIRIUSXM<br/>COMMON ...(DUE TO<br/>SPACE LIMITS, SEE PROXY<br/>MATERIAL FOR FULL<br/>PROPOSAL)</p> | Management | For | For |
| 3. | <p>A PROPOSAL TO APPROVE<br/>THE ADOPTION OF AN<br/>AMENDMENT AND<br/>RESTATEMENT OF OUR</p>   | Management | For | For |

CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO PROVIDE THE BOARD OF DIRECTORS WITH DISCRETION TO CONVERT SHARES OF COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ANY OF THE SIRIUSXM GROUP, THE BRAVES GROUP OR THE MEDIA GROUP INTO COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ONE OF SUCH OTHER GROUPS.

A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO PROVIDE THE BOARD OF DIRECTORS WITH DISCRETION TO

4. PERMIT THE SALE OF ALL OR SUBSTANTIALLY ALL OF THE ASSETS OF A GROUP WITHOUT A VOTE OF THE HOLDERS OF THE STOCK OF THAT GROUP, IF THE NET PROCEEDS OF SUCH SALE ARE DISTRIBUTED TO HOLDERS OF THAT STOCK BY MEANS OF A DIVIDEND OR ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)
- Management For For



5. A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY MEDIA TO PERMIT FURTHER SOLICITATION OF PROXIES, IF NECESSARY OR APPROPRIATE, IF SUFFICIENT VOTES ARE NOT REPRESENTED AT THE SPECIAL MEETING TO APPROVE THE OTHER PROPOSALS TO BE PRESENTED AT THE SPECIAL MEETING.

Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 7,624         | 27,200             | 26-Feb-2016 | 12-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 5,436         | 62,600             | 26-Feb-2016 | 12-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 2,312         | 143,200            | 26-Feb-2016 | 12-Apr-2016    |

LIBERTY MEDIA CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 531229102       | Meeting Type       | Special                |
| Ticker Symbol  | LMCA            | Meeting Date       | 11-Apr-2016            |
| ISIN           | US5312291025    | Agenda             | 934332216 - Management |
| Record Date    | 22-Feb-2016     | Holding Recon Date | 22-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 08-Apr-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1.   |          | Management  | For  | For                    |

A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, AMONG OTHER THINGS, TO RECLASSIFY AND EXCHANGE OUR EXISTING COMMON STOCK BY EXCHANGING THE SHARES OF OUR EXISTING COMMON STOCK FOR NEWLY ISSUED SHARES OF THREE NEW TRACKING STOCKS, TO BE DESIGNATED THE LIBERTY SIRIUSXM COMMON STOCK, THE LIBERTY BRAVES COMMON STOCK AND THE LIBERTY MEDIA COMMON STOCK, AND TO PROVIDE FOR THE ATTRIBUTION OF THE BUSINESSES, ASSETS AND ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 2. | A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO RECLASSIFY AND EXCHANGE EACH OUTSTANDING SHARE OF OUR EXISTING SERIES A, SERIES B AND SERIES C COMMON STOCK BY EXCHANGING EACH SUCH SHARE FOR THE FOLLOWING UPON THE CANCELLATION | Management | For | For |
|----|---|------------|-----|-----|

THEREOF: ONE NEWLY ISSUED SHARE OF THE CORRESPONDING SERIES OF LIBERTY SIRIUSXM COMMON ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)

- |    |  |            |     |     |
|----|--|------------|-----|-----|
| 3. | <p>A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO PROVIDE THE BOARD OF DIRECTORS WITH DISCRETION TO CONVERT SHARES OF COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ANY OF THE SIRIUSXM GROUP, THE BRAVES GROUP OR THE MEDIA GROUP INTO COMMON STOCK INTENDED TO TRACK THE PERFORMANCE OF ONE OF SUCH OTHER GROUPS.</p> | Management | For | For |
| 4. | <p>A PROPOSAL TO APPROVE THE ADOPTION OF AN AMENDMENT AND RESTATEMENT OF OUR CERTIFICATE OF INCORPORATION, IN CONNECTION WITH THE RECLASSIFICATION AND EXCHANGE OF OUR EXISTING COMMON STOCK, AMONG OTHER THINGS, TO PROVIDE THE BOARD OF DIRECTORS WITH DISCRETION TO PERMIT THE SALE OF ALL OR SUBSTANTIALLY ALL</p>   | Management | For | For |

OF THE ASSETS OF A GROUP WITHOUT A VOTE OF THE HOLDERS OF THE STOCK OF THAT GROUP, IF THE NET PROCEEDS OF SUCH SALE ARE DISTRIBUTED TO HOLDERS OF THAT STOCK BY MEANS OF A DIVIDEND OR ...(DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)

A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY MEDIA TO PERMIT FURTHER SOLICITATION OF PROXIES, IF NECESSARY OR APPROPRIATE, IF SUFFICIENT VOTES ARE NOT REPRESENTED AT THE SPECIAL MEETING TO APPROVE THE OTHER PROPOSALS TO BE PRESENTED AT THE SPECIAL MEETING.

5. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 9,212         | 20,900             | 26-Feb-2016 | 12-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 13,568        | 41,300             | 26-Feb-2016 | 12-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 6,656         | 118,800            | 26-Feb-2016 | 12-Apr-2016    |

LENNAR CORPORATION

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 526057104       | Meeting Type       | Annual                 |
| Ticker Symbol  | LEN             | Meeting Date       | 13-Apr-2016            |
| ISIN           | US5260571048    | Agenda             | 934331048 - Management |
| Record Date    | 16-Feb-2016     | Holding Recon Date | 16-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 12-Apr-2016            |

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 IRVING BOLOTIN   |             | For  | For                    |
|      | 2 STEVEN L. GERARD   |             | For  | For                    |
|      | 3 THERON I. "TIG" GILLIAM  |             | For  | For                    |
|      | 4 SHERRILL W. HUDSON   |             | For  | For                    |
|      | 5 SIDNEY LAPIDUS   |             | For  | For                    |
|      | 6 TERI P. MCCLURE  |             | For  | For                    |
|      | 7 STUART A. MILLER   |             | For  | For                    |
|      | 8 ARMANDO OLIVERA  |             | For  | For                    |
|      | 9 JEFFREY SONNENFELD   |             | For  | For                    |
| 2.   | RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2016. | Management  | For  | For                    |
| 3.   | APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS.  | Management  | For  | For                    |
| 4.   | APPROVAL OF THE LENNAR CORPORATION 2016 EQUITY INCENTIVE   | Management  | For  | For                    |

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PLAN.

5. APPROVAL OF THE  
LENNAR CORPORATION  
2016 INCENTIVE  
COMPENSATION PLAN. Management For For

6. APPROVAL OF A  
STOCKHOLDER PROPOSAL  
REGARDING OUR  
COMMON STOCK VOTING  
STRUCTURE, IF PROPERLY  
PRESENTED AT THE  
ANNUAL MEETING. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 100           | 78,900             | 03-Mar-2016 | 14-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 1,000         | 132,100            | 03-Mar-2016 | 14-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 106,300       | 225,000            | 03-Mar-2016 | 14-Apr-2016    |

BP P.L.C.

|                |                  |                    |                        |
|----------------|------------------|--------------------|------------------------|
| Security       | 055622104        | Meeting Type       | Annual                 |
| Ticker Symbol  | BP               | Meeting Date       | 14-Apr-2016            |
| ISIN           | US0556221044     | Agenda             | 934333206 - Management |
| Record Date    | 19-Feb-2016      | Holding Recon Date | 19-Feb-2016            |
| City / Country | / United Kingdom | Vote Deadline Date | 11-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | TO RECEIVE THE<br>DIRECTORS' ANNUAL<br>REPORT AND ACCOUNTS. | Management  | For  | For                    |

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 2.  | TO RECEIVE AND APPROVE THE DIRECTORS' REMUNERATION REPORT.   | Management | For | For |
| 3.  | TO RE-ELECT MR R W DUDLEY AS A DIRECTOR.   | Management | For | For |
| 4.  | TO RE-ELECT DR B GILVARY AS A DIRECTOR.  | Management | For | For |
| 5.  | TO RE-ELECT MR P M ANDERSON AS A DIRECTOR.   | Management | For | For |
| 6.  | TO RE-ELECT MR A BOECKMANN AS A DIRECTOR.  | Management | For | For |
| 7.  | TO RE-ELECT ADMIRAL F L BOWMAN AS A DIRECTOR.  | Management | For | For |
| 8.  | TO RE-ELECT MRS C B CARROLL AS A DIRECTOR.   | Management | For | For |
| 9.  | TO RE-ELECT MR I E L DAVIS AS A DIRECTOR.  | Management | For | For |
| 10. | TO RE-ELECT PROFESSOR DAME ANN DOWLING AS A DIRECTOR.  | Management | For | For |
| 11. | TO RE-ELECT MR B R NELSON AS A DIRECTOR.   | Management | For | For |
| 12. | TO ELECT MRS P R REYNOLDS AS A DIRECTOR.   | Management | For | For |
| 13. | TO ELECT SIR JOHN SAWERS AS A DIRECTOR.  | Management | For | For |
| 14. | TO RE-ELECT MR A B SHILSTON AS A DIRECTOR.   | Management | For | For |
| 15. | TO RE-ELECT MR C-H SVANBERG AS A DIRECTOR.   | Management | For | For |
| 16. | TO REAPPOINT ERNST & YOUNG LLP AS AUDITORS AND TO AUTHORIZE THE DIRECTORS TO FIX THEIR REMUNERATION. | Management | For | For |

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- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 17. | TO GIVE LIMITED<br>AUTHORITY TO MAKE<br>POLITICAL DONATIONS<br>AND INCUR POLITICAL<br>EXPENDITURE.  | Management | For | For |
| 18. | TO GIVE LIMITED<br>AUTHORITY TO ALLOT<br>SHARES UP TO A<br>SPECIFIED AMOUNT.  | Management | For | For |
| 19. | SPECIAL RESOLUTION: TO<br>GIVE AUTHORITY TO<br>ALLOT A LIMITED<br>NUMBER OF SHARES FOR<br>CASH FREE OF<br>PRE-EMPTION RIGHTS.                               | Management | For | For |
| 20. | SPECIAL RESOLUTION: TO<br>GIVE LIMITED<br>AUTHORITY FOR THE<br>PURCHASE OF ITS OWN<br>SHARES BY THE<br>COMPANY.   | Management | For | For |
| 21. | SPECIAL RESOLUTION: TO<br>AUTHORIZE THE CALLING<br>OF GENERAL MEETINGS<br>(EXCLUDING ANNUAL<br>GENERAL MEETINGS) BY<br>NOTICE OF AT LEAST 14<br>CLEAR DAYS. | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 300           | 80,000             | 05-Mar-2016 | 13-Apr-2016    |

AMERICAN CAPITAL AGENCY CORP.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 02503X105       | Meeting Type       | Annual                 |
| Ticker Symbol  | AGNC            | Meeting Date       | 19-Apr-2016            |
| ISIN           | US02503X1054    | Agenda             | 934340047 - Management |
| Record Date    | 25-Feb-2016     | Holding Recon Date | 25-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 18-Apr-2016            |



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| SEDOL(s) |   | Quick Code  |      |                        |
|----------|---|-------------|------|------------------------|
| Item     | Proposal  | Proposed by | Vote | For/Against Management |
| 1.1      | ELECTION OF DIRECTOR:<br>OMITTED  | Management  | For  | For                    |
| 1.2      | ELECTION OF DIRECTOR:<br>JOHN R. ERICKSON   | Management  | For  | For                    |
| 1.3      | ELECTION OF DIRECTOR:<br>SAMUEL A. FLAX   | Management  | For  | For                    |
| 1.4      | ELECTION OF DIRECTOR:<br>ALVIN N. PURYEAR   | Management  | For  | For                    |
| 1.5      | ELECTION OF DIRECTOR:<br>ROBERT M. COUCH  | Management  | For  | For                    |
| 1.6      | ELECTION OF DIRECTOR:<br>MORRIS A. DAVIS  | Management  | For  | For                    |
| 1.7      | ELECTION OF DIRECTOR:<br>RANDY E. DOBBS   | Management  | For  | For                    |
| 1.8      | ELECTION OF DIRECTOR:<br>LARRY K. HARVEY  | Management  | For  | For                    |
| 1.9      | ELECTION OF DIRECTOR:<br>PRUE B. LARocca  | Management  | For  | For                    |
| 2.       | APPROVAL OF AN<br>AMENDMENT TO THE<br>AMERICAN CAPITAL<br>AGENCY CORP. EQUITY<br>INCENTIVE PLAN FOR<br>INDEPENDENT<br>DIRECTORS.  | Management  | For  | For                    |
| 3.       | APPROVAL OF AN<br>AMENDMENT TO OUR<br>AMENDED AND<br>RESTATED CERTIFICATE<br>OF INCORPORATION TO<br>PROVIDE THAT OUR<br>STOCKHOLDERS MAY<br>REMOVE ANY DIRECTOR<br>FROM OFFICE, WITH OR<br>WITHOUT CAUSE. | Management  | For  | For                    |
| 4.       | RATIFICATION OF<br>APPOINTMENT OF ERNST<br>& YOUNG LLP AS OUR<br>INDEPENDENT PUBLIC<br>ACCOUNTANT FOR THE   | Management  | For  | For                    |

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YEAR ENDING DECEMBER  
31, 2016.

5. ELECTION OF  
ADDITIONAL DIRECTOR - Management For For  
GARY KAIN

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 47,300        | 74,000             | 23-Mar-2016 | 20-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 33,400        | 155,000            | 23-Mar-2016 | 20-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 500           | 500,000            | 23-Mar-2016 | 20-Apr-2016    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61 STATE STREET BANK & TRUST CO | 10,900        | 0                  | 23-Mar-2016 | 20-Apr-2016    |

HUMANA INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 444859102       | Meeting Type       | Annual                 |
| Ticker Symbol  | HUM             | Meeting Date       | 21-Apr-2016            |
| ISIN           | US4448591028    | Agenda             | 934335022 - Management |
| Record Date    | 29-Feb-2016     | Holding Recon Date | 29-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 20-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                 | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A)  | ELECTION OF DIRECTOR: KURT J. HILZINGER  | Management  | For  | For                    |
| 1B)  | ELECTION OF DIRECTOR: BRUCE D. BROUSSARD | Management  | For  | For                    |
| 1C)  | ELECTION OF DIRECTOR: FRANK A. D'AMELIO  | Management  | For  | For                    |

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|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1D) | ELECTION OF DIRECTOR:<br>W. ROY DUNBAR  | Management | For | For |
| 1E) | ELECTION OF DIRECTOR:<br>DAVID A. JONES, JR.  | Management | For | For |
| 1F) | ELECTION OF DIRECTOR:<br>WILLIAM J. MCDONALD  | Management | For | For |
| 1G) | ELECTION OF DIRECTOR:<br>WILLIAM E. MITCHELL  | Management | For | For |
| 1H) | ELECTION OF DIRECTOR:<br>DAVID B. NASH, M.D.  | Management | For | For |
| 1I) | ELECTION OF DIRECTOR:<br>JAMES J. O'BRIEN   | Management | For | For |
| 1J) | ELECTION OF DIRECTOR:<br>MARISSA T. PETERSON  | Management | For | For |
| 2.  | THE RATIFICATION OF<br>THE APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>LLP AS THE COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM. | Management | For | For |
| 3.  | THE APPROVAL OF THE<br>COMPENSATION OF THE<br>NAMED EXECUTIVE<br>OFFICERS AS DISCLOSED<br>IN THE 2016 PROXY<br>STATEMENT.                           | Management | For | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 2,700         | 0                  | 10-Mar-2016 | 22-Apr-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 4,300         | 0                  | 10-Mar-2016 | 22-Apr-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 10,900        | 0                  | 10-Mar-2016 | 22-Apr-2016    |

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PERRIGO COMPANY PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | G97822103       | Meeting Type       | Annual                 |
| Ticker Symbol  | PRGO            | Meeting Date       | 26-Apr-2016            |
| ISIN           | IE00BGH1M568    | Agenda             | 934339018 - Management |
| Record Date    | 26-Feb-2016     | Holding Recon Date | 26-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 22-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: LAURIE BRLAS   | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: GARY M. COHEN  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: MARC COUCKE  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: ELLEN R. HOFFING   | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: MICHAEL J. JANDERNOA   | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: GERALD K. KUNKLE, JR.  | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: HERMAN MORRIS, JR.   | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR: DONAL O'CONNOR   | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR: JOSEPH C. PAPA   | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR: SHLOMO YANAI   | Management  | For  | For                    |
| 2.   | RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT AUDITOR FOR THE PERIOD ENDING DECEMBER 31, 2016, AND AUTHORIZE THE BOARD OF DIRECTORS, ACTING THROUGH THE AUDIT COMMITTEE, TO FIX THE | Management  | For  | For                    |

REMUNERATION OF THE AUDITOR

- |    |   |            |     |     |
|----|---|------------|-----|-----|
| 3. | APPROVE IN AN ADVISORY VOTE THE COMPANY'S EXECUTIVE COMPENSATION  | Management | For | For |
| 4. | AUTHORIZE PERRIGO COMPANY PLC AND/OR ANY SUBSIDIARY TO MAKE MARKET PURCHASES OF PERRIGO COMPANY PLC'S ORDINARY SHARES | Management | For | For |
| 5. | DETERMINE THE REISSUE PRICE RANGE FOR PERRIGO COMPANY PLC TREASURY SHARES   | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 100           | 6,260              | 18-Mar-2016 | 26-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 100           | 10,450             | 18-Mar-2016 | 26-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 100           | 26,014             | 18-Mar-2016 | 26-Apr-2016    |

CITIGROUP INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 172967424       | Meeting Type       | Annual                 |
| Ticker Symbol  | C               | Meeting Date       | 26-Apr-2016            |
| ISIN           | US1729674242    | Agenda             | 934339183 - Management |
| Record Date    | 29-Feb-2016     | Holding Recon Date | 29-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 25-Apr-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |
| Item           | Proposal        | Proposed by        | Vote                   |

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|     |  |            |     | For/Against<br>Management |
|-----|--|------------|-----|---------------------------|
| 1A. | ELECTION OF DIRECTOR:<br>MICHAEL L. CORBAT                   | Management | For | For                       |
| 1B. | ELECTION OF DIRECTOR:<br>ELLEN M. COSTELLO                   | Management | For | For                       |
| 1C. | ELECTION OF DIRECTOR:<br>DUNCAN P. HENNES                    | Management | For | For                       |
| 1D. | ELECTION OF DIRECTOR:<br>PETER B. HENRY                      | Management | For | For                       |
| 1E. | ELECTION OF DIRECTOR:<br>FRANZ B. HUMER                      | Management | For | For                       |
| 1F. | ELECTION OF DIRECTOR:<br>RENEE J. JAMES                      | Management | For | For                       |
| 1G. | ELECTION OF DIRECTOR:<br>EUGENE M. MCQUADE                   | Management | For | For                       |
| 1H. | ELECTION OF DIRECTOR:<br>MICHAEL E. O'NEILL                  | Management | For | For                       |
| 1I. | ELECTION OF DIRECTOR:<br>GARY M. REINER                      | Management | For | For                       |
| 1J. | ELECTION OF DIRECTOR:<br>JUDITH RODIN                        | Management | For | For                       |
| 1K. | ELECTION OF DIRECTOR:<br>ANTHONY M.<br>SANTOMERO             | Management | For | For                       |
| 1L. | ELECTION OF DIRECTOR:<br>JOAN E. SPERO                       | Management | For | For                       |
| 1M. | ELECTION OF DIRECTOR:<br>DIANA L. TAYLOR                     | Management | For | For                       |
| 1N. | ELECTION OF DIRECTOR:<br>WILLIAM S. THOMPSON,<br>JR.         | Management | For | For                       |
| 1O. | ELECTION OF DIRECTOR:<br>JAMES S. TURLEY                     | Management | For | For                       |
| 1P. | ELECTION OF DIRECTOR:<br>ERNESTO ZEDILLO PONCE<br>DE LEON    | Management | For | For                       |
| 2.  | PROPOSAL TO RATIFY<br>THE SELECTION OF KPMG<br>LLP AS CITI'S | Management | For | For                       |

INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

|     |   |             |         |     |
|-----|---|-------------|---------|-----|
| 3.  | ADVISORY APPROVAL OF<br>CITI'S 2015 EXECUTIVE<br>COMPENSATION.  | Management  | For     | For |
| 4.  | APPROVAL OF AN<br>AMENDMENT TO THE<br>CITIGROUP 2014 STOCK<br>INCENTIVE PLAN<br>AUTHORIZING<br>ADDITIONAL SHARES.           | Management  | For     | For |
| 5.  | APPROVAL OF THE<br>AMENDED AND<br>RESTATED 2011<br>CITIGROUP EXECUTIVE<br>PERFORMANCE PLAN.                                 | Management  | For     | For |
| 6.  | STOCKHOLDER PROPOSAL<br>REQUESTING A REPORT<br>DEMONSTRATING THE<br>COMPANY DOES NOT<br>HAVE A GENDER PAY<br>GAP.           | Shareholder | Against | For |
| 7.  | STOCKHOLDER PROPOSAL<br>REQUESTING A REPORT<br>ON LOBBYING AND<br>GRASSROOTS LOBBYING<br>CONTRIBUTIONS.                     | Shareholder | Against | For |
| 8.  | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE<br>BOARD APPOINT A<br>STOCKHOLDER VALUE<br>COMMITTEE.                           | Shareholder | Against | For |
| 9.  | STOCKHOLDER PROPOSAL<br>REQUESTING AN<br>AMENDMENT TO THE<br>GENERAL CLAWBACK<br>POLICY.                                    | Shareholder | Against | For |
| 10. | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE<br>BOARD ADOPT A POLICY<br>PROHIBITING THE<br>VESTING OF<br>EQUITY-BASED AWARDS | Shareholder | Against | For |

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FOR SENIOR EXECUTIVES  
DUE TO A VOLUNTARY  
RESIGNATION TO ENTER  
GOVERNMENT SERVICE.

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 11,700        | 0                  | 17-Mar-2016 | 27-Apr-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 19,100        | 0                  | 17-Mar-2016 | 27-Apr-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 48,200        | 0                  | 17-Mar-2016 | 27-Apr-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 1,030         | 96,600             | 17-Mar-2016 | 27-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 719           | 154,600            | 17-Mar-2016 | 27-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL49 | 27,825        | 386,000            | 17-Mar-2016 | 27-Apr-2016    |
| 997AL61        | GLQ MS PLEDGE  | STATE STREET BANK & TRUST CO<br>997AL61 | 8,700         | 0                  | 17-Mar-2016 | 27-Apr-2016    |

WELLS FARGO & COMPANY

|                |              |                    |                        |
|----------------|--------------|--------------------|------------------------|
| Security       | 949746101    | Meeting Type       | Annual                 |
| Ticker Symbol  | WFC          | Meeting Date       | 26-Apr-2016            |
| ISIN           | US9497461015 | Agenda             | 934339830 - Management |
| Record Date    | 01-Mar-2016  | Holding Recon Date | 01-Mar-2016            |
| City / Country | / United     | Vote Deadline Date | 25-Apr-2016            |



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|          |  | States      |      |                        |  |
|----------|--|-------------|------|------------------------|--|
| SEDOL(s) |  |             |      | Quick Code             |  |
| Item     | Proposal   | Proposed by | Vote | For/Against Management |  |
| 1A.      | ELECTION OF DIRECTOR:<br>JOHN D. BAKER II                                  | Management  | For  | For                    |  |
| 1B.      | ELECTION OF DIRECTOR:<br>ELAINE L. CHAO                                    | Management  | For  | For                    |  |
| 1C.      | ELECTION OF DIRECTOR:<br>JOHN S. CHEN                                      | Management  | For  | For                    |  |
| 1D.      | ELECTION OF DIRECTOR:<br>LLOYD H. DEAN                                     | Management  | For  | For                    |  |
| 1E.      | ELECTION OF DIRECTOR:<br>ELIZABETH A. DUKE                                 | Management  | For  | For                    |  |
| 1F.      | ELECTION OF DIRECTOR:<br>SUSAN E. ENGEL                                    | Management  | For  | For                    |  |
| 1G.      | ELECTION OF DIRECTOR:<br>ENRIQUE HERNANDEZ, JR.                            | Management  | For  | For                    |  |
| 1H.      | ELECTION OF DIRECTOR:<br>DONALD M. JAMES                                   | Management  | For  | For                    |  |
| 1I.      | ELECTION OF DIRECTOR:<br>CYNTHIA H. MILLIGAN                               | Management  | For  | For                    |  |
| 1J.      | ELECTION OF DIRECTOR:<br>FEDERICO F. PENA                                  | Management  | For  | For                    |  |
| 1K.      | ELECTION OF DIRECTOR:<br>JAMES H. QUIGLEY                                  | Management  | For  | For                    |  |
| 1L.      | ELECTION OF DIRECTOR:<br>STEPHEN W. SANGER                                 | Management  | For  | For                    |  |
| 1M.      | ELECTION OF DIRECTOR:<br>JOHN G. STUMPF                                    | Management  | For  | For                    |  |
| 1N.      | ELECTION OF DIRECTOR:<br>SUSAN G. SWENSON                                  | Management  | For  | For                    |  |
| 1O.      | ELECTION OF DIRECTOR:<br>SUZANNE M. VAUTRINOT                              | Management  | For  | For                    |  |
| 2.       | VOTE ON AN ADVISORY<br>RESOLUTION TO APPROVE<br>EXECUTIVE<br>COMPENSATION. | Management  | For  | For                    |  |
| 3.       |  | Management  | For  | For                    |  |

RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.

4. ADOPT A POLICY TO REQUIRE AN INDEPENDENT CHAIRMAN. Shareholder Against For

5. PROVIDE A REPORT ON THE COMPANY'S LOBBYING POLICIES AND PRACTICES. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 800           | 15,000             | 17-Mar-2016 | 27-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 900           | 25,000             | 17-Mar-2016 | 27-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 200           | 66,000             | 17-Mar-2016 | 27-Apr-2016    |

EOG RESOURCES, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 26875P101       | Meeting Type       | Annual                 |
| Ticker Symbol  | EOG             | Meeting Date       | 26-Apr-2016            |
| ISIN           | US26875P1012    | Agenda             | 934342267 - Management |
| Record Date    | 26-Feb-2016     | Holding Recon Date | 26-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 25-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1A.  |          | Management  | For  | For                    |

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|     |  |            |     |     |
|-----|--|------------|-----|-----|
|     | ELECTION OF DIRECTOR:<br>JANET F. CLARK  |            |     |     |
| 1B. | ELECTION OF DIRECTOR:<br>CHARLES R. CRISP  | Management | For | For |
| 1C. | ELECTION OF DIRECTOR:<br>JAMES C. DAY  | Management | For | For |
| 1D. | ELECTION OF DIRECTOR:<br>H. LEIGHTON STEWARD   | Management | For | For |
| 1E. | ELECTION OF DIRECTOR:<br>DONALD F. TEXTOR  | Management | For | For |
| 1F. | ELECTION OF DIRECTOR:<br>WILLIAM R. THOMAS   | Management | For | For |
| 1G. | ELECTION OF DIRECTOR:<br>FRANK G. WISNER   | Management | For | For |
|     | TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2016. |            |     |     |
| 2.  |  | Management | For | For |
|     | TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.   |            |     |     |
| 3.  |  | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 200           | 11,400             | 18-Mar-2016 | 27-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 300           | 19,000             | 18-Mar-2016 | 27-Apr-2016    |

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|         |                   |  |     |        |             |             |
|---------|-------------------|--|-----|--------|-------------|-------------|
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 300 | 48,100 | 18-Mar-2016 | 27-Apr-2016 |
|---------|-------------------|--|-----|--------|-------------|-------------|

AMC ENTERTAINMENT HOLDINGS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 00165C104       | Meeting Type       | Annual                 |
| Ticker Symbol  | AMC             | Meeting Date       | 26-Apr-2016            |
| ISIN           | US00165C1045    | Agenda             | 934357701 - Management |
| Record Date    | 29-Feb-2016     | Holding Recon Date | 29-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 25-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 MR. LIN (LINCOLN) ZHANG   |             | For  | For                    |
|      | 2 MR. ADAM ARON   |             | For  | For                    |
|      | 3 MR. JACK GAO  |             | For  | For                    |
| 2.   | PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. | Management  | For  | For                    |
| 3.   | SAY ON PAY - AN ADVISORY VOTE TO APPROVE THE COMPENSATION OF NAMED EXECUTIVE OFFICERS.                                  | Management  | For  | For                    |

| Account Number | Account Name      | Internal Custodian Account                       | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|-------------------|--|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 5,400         | 33,200             | 26-Mar-2016 | 27-Apr-2016    |

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|         |                   |         |                                       |        |         |             |             |
|---------|-------------------|---------|---------------------------------------|--------|---------|-------------|-------------|
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK &<br>TRUST CO | 44,300 | 20,000  | 26-Mar-2016 | 27-Apr-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | STATE<br>STREET<br>BANK &<br>TRUST CO | 986    | 161,600 | 26-Mar-2016 | 27-Apr-2016 |

GALAPAGOS N V

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 36315X101       | Meeting Type       | Annual                 |
| Ticker Symbol  | GLPG            | Meeting Date       | 26-Apr-2016            |
| ISIN           | US36315X1019    | Agenda             | 934360900 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 15-Apr-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 2.   | COMMUNICATION AND APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2015 AND THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS. | Management  | For  |                        |
| 5.   | COMMUNICATION AND APPROVAL OF THE REMUNERATION REPORT.  | Management  | For  |                        |
| 6.   | RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2015.                                   | Management  | For  |                        |
| 7.   | REMUNERATION OF DIRECTORS.  | Management  | For  |                        |

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- |     |   |            |     |
|-----|---|------------|-----|
| 8.  | OFFER OF WARRANTS.  | Management | For |
| 9.  | APPLICATION OF ARTICLE<br>556 OF THE BELGIAN<br>COMPANIES CODE.   | Management | For |
| E2. | AUTHORIZATION TO THE<br>BOARD OF DIRECTORS TO<br>INCREASE THE SHARE<br>CAPITAL WITHIN THE<br>FRAMEWORK OF THE<br>AUTHORIZED CAPITAL BY<br>UP TO 20% OF THE SHARE<br>CAPITAL.  | Management | For |
| E3. | AUTHORIZATION TO THE<br>BOARD OF DIRECTORS TO<br>INCREASE THE SHARE<br>CAPITAL WITHIN THE<br>FRAMEWORK OF THE<br>AUTHORIZED CAPITAL BY<br>UP TO 50% OF THE SHARE<br>CAPITAL, IN SPECIFIC<br>CIRCUMSTANCES.                            | Management | For |
| E4. | AUTHORIZATION TO THE<br>BOARD OF DIRECTORS TO<br>INCREASE THE SHARE<br>CAPITAL WITHIN THE<br>FRAMEWORK OF THE<br>AUTHORIZED CAPITAL BY<br>UP TO 100% OF THE SHARE<br>CAPITAL, IN SPECIFIC<br>CIRCUMSTANCES WITH<br>UNANIMOUS CONSENT. | Management | For |
| E5. | AUTHORIZATION TO THE<br>BOARD OF DIRECTORS TO<br>INCREASE THE SHARE<br>CAPITAL WITHIN THE<br>FRAMEWORK OF THE<br>AUTHORIZED CAPITAL<br>AFTER NOTIFICATION OF<br>A PUBLIC TAKEOVER BID.  | Management | For |

| Account Number | Account Name      | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|-------------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK & | 4,500         | 0                  | 29-Mar-2016 | 19-Apr-2016    |

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| Account Name           | Quantity | Custodian                            | Vote | Meeting Date | Vote Deadline |
|------------------------|----------|--------------------------------------|------|--------------|---------------|
| 997AL47 GLQ BNP PLEDGE | 7,500    | 997AL47 STATE STREET BANK & TRUST CO | 0    | 29-Mar-2016  | 19-Apr-2016   |
| 997AL49 GLO BNP PLEDGE | 18,700   | 997AL49 STATE STREET BANK & TRUST CO | 0    | 29-Mar-2016  | 19-Apr-2016   |

CIGNA CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 125509109       | Meeting Type       | Annual                 |
| Ticker Symbol  | CI              | Meeting Date       | 27-Apr-2016            |
| ISIN           | US1255091092    | Agenda             | 934341520 - Management |
| Record Date    | 29-Feb-2016     | Holding Recon Date | 29-Feb-2016            |
| City / Country | / United States | Vote Deadline Date | 26-Apr-2016            |

SEDOL(s)

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.1  | ELECTION OF DIRECTOR: DAVID M. CORDANI   | Management  | For  | For                    |
| 1.2  | ELECTION OF DIRECTOR: ISAIAH HARRIS, JR.   | Management  | For  | For                    |
| 1.3  | ELECTION OF DIRECTOR: JANE E. HENNEY, M.D.   | Management  | For  | For                    |
| 1.4  | ELECTION OF DIRECTOR: DONNA F. ZARCONI   | Management  | For  | For                    |
| 2.   | ADVISORY APPROVAL OF CIGNA'S EXECUTIVE COMPENSATION.   | Management  | For  | For                    |
| 3.   | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS CIGNA'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. | Management  | For  | For                    |

Account Name                      Custodian    Vote Date

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| Account Number |                | Internal Account                        | Ballot Shares | Unavailable Shares |             | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 100           | 2,800              | 19-Mar-2016 | 28-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 900           | 4,000              | 19-Mar-2016 | 28-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 300           | 12,000             | 19-Mar-2016 | 28-Apr-2016    |

BANK OF AMERICA CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 060505104       | Meeting Type       | Annual                 |
| Ticker Symbol  | BAC             | Meeting Date       | 27-Apr-2016            |
| ISIN           | US0605051046    | Agenda             | 934341568 - Management |
| Record Date    | 02-Mar-2016     | Holding Recon Date | 02-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 26-Apr-2016            |

SEDOL(s)

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: SHARON L. ALLEN       | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: SUSAN S. BIES         | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: JACK O. BOVENDER, JR. | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR. | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: PIERRE J.P. DE WECK   | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: ARNOLD W. DONALD      | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: LINDA P. HUDSON       | Management  | For  | For                    |
| 1H.  |   | Management  | For  | For                    |



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ELECTION OF DIRECTOR:  
MONICA C. LOZANO

|     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 1I. | ELECTION OF DIRECTOR:<br>THOMAS J. MAY   | Management  | For     | For |
| 1J. | ELECTION OF DIRECTOR:<br>BRIAN T. MOYNIHAN   | Management  | For     | For |
| 1K. | ELECTION OF DIRECTOR:<br>LIONEL L. NOWELL, III   | Management  | For     | For |
| 1L. | ELECTION OF DIRECTOR:<br>THOMAS D. WOODS   | Management  | For     | For |
| 1M. | ELECTION OF DIRECTOR:<br>R. DAVID YOST   | Management  | For     | For |
| 2.  | APPROVING OUR<br>EXECUTIVE<br>COMPENSATION (AN<br>ADVISORY, NON-BINDING<br>"SAY ON PAY"<br>RESOLUTION) | Management  | For     | For |
| 3.  | RATIFYING THE<br>APPOINTMENT OF OUR<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>2016 | Management  | For     | For |
| 4.  | STOCKHOLDER PROPOSAL<br>- CLAWBACK<br>AMENDMENT  | Shareholder | Against | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 23,700        | 0                  | 18-Mar-2016 | 28-Apr-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 38,500        | 0                  | 18-Mar-2016 | 28-Apr-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 97,700        | 0                  | 18-Mar-2016 | 28-Apr-2016    |

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|         |                   |         |                                       |         |           |             |             |
|---------|-------------------|---------|---------------------------------------|---------|-----------|-------------|-------------|
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45 | STATE<br>STREET<br>BANK &<br>TRUST CO | 37,891  | 296,000   | 18-Mar-2016 | 28-Apr-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK &<br>TRUST CO | 61,405  | 499,000   | 18-Mar-2016 | 28-Apr-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | STATE<br>STREET<br>BANK &<br>TRUST CO | 162,300 | 1,228,210 | 18-Mar-2016 | 28-Apr-2016 |

STARWOOD PROPERTY TRUST INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 85571B105       | Meeting Type       | Annual                 |
| Ticker Symbol  | STWD            | Meeting Date       | 27-Apr-2016            |
| ISIN           | US85571B1052    | Agenda             | 934366938 - Management |
| Record Date    | 10-Mar-2016     | Holding Recon Date | 10-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 26-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 RICHARD D. BRONSON   |             | For  | For                    |
|      | 2 JEFFREY G. DISHNER   |             | For  | For                    |
|      | 3 CAMILLE J. DOUGLAS   |             | For  | For                    |
|      | 4 SOLOMON J. KUMIN   |             | For  | For                    |
|      | 5 BARRY S. STERNLICHT  |             | For  | For                    |
|      | 6 STRAUSS ZELNICK  |             | For  | For                    |
| 2.   | TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT. | Management  | For  | For                    |

TO RATIFY THE AUDIT  
COMMITTEE'S  
APPOINTMENT OF  
DELOITTE & TOUCHE LLP  
AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE CALENDAR YEAR  
ENDING DECEMBER 31,  
2016.

3. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 129,400       | 0                  | 01-Apr-2016 | 28-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 100           | 257,300            | 01-Apr-2016 | 28-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 100           | 637,900            | 01-Apr-2016 | 28-Apr-2016    |
| 997AL60        | GLV MS PLEDGE  | 997AL60 STATE STREET BANK & TRUST CO | 25,000        | 0                  | 01-Apr-2016 | 28-Apr-2016    |

COHEN & STEERS QUALITY INC RLTY, FD INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 19247L106       | Meeting Type       | Annual                 |
| Ticker Symbol  | RQI             | Meeting Date       | 28-Apr-2016            |
| ISIN           | US19247L1061    | Agenda             | 934338422 - Management |
| Record Date    | 01-Mar-2016     | Holding Recon Date | 01-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 27-Apr-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
| 1.   | DIRECTOR | Management  |      |                        |

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|   |                    |     |     |
|---|--------------------|-----|-----|
| 1 | JOSEPH M. HARVEY   | For | For |
| 2 | GERALD J. MAGINNIS | For | For |
| 3 | RICHARD J. NORMAN  | For | For |
| 4 | FRANK K. ROSS      | For | For |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 3,100         | 0                  | 09-Mar-2016 | 29-Apr-2016    |

COHEN & STEERS REIT & PFD INCOME FUND

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 19247X100       | Meeting Type       | Annual                 |
| Ticker Symbol  | RNP             | Meeting Date       | 28-Apr-2016            |
| ISIN           | US19247X1000    | Agenda             | 934338422 - Management |
| Record Date    | 01-Mar-2016     | Holding Recon Date | 01-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 27-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal           | Proposed by | Vote | For/Against Management |
|------|--------------------|-------------|------|------------------------|
| 1.   | DIRECTOR           | Management  |      |                        |
| 1    | JOSEPH M. HARVEY   |             | For  | For                    |
| 2    | GERALD J. MAGINNIS |             | For  | For                    |
| 3    | RICHARD J. NORMAN  |             | For  | For                    |
| 4    | FRANK K. ROSS      |             | For  | For                    |

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 23,400        | 0                  | 09-Mar-2016 | 29-Apr-2016    |

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HCA HOLDINGS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 40412C101       | Meeting Type       | Annual                 |
| Ticker Symbol  | HCA             | Meeting Date       | 28-Apr-2016            |
| ISIN           | US40412C1018    | Agenda             | 934344247 - Management |
| Record Date    | 04-Mar-2016     | Holding Recon Date | 04-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 27-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>R. MILTON JOHNSON                    | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>ROBERT J. DENNIS                     | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>NANCY-ANN DEPARLE                    | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>THOMAS F. FRIST III                  | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>WILLIAM R. FRIST                     | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>CHARLES O. HOLLIDAY,<br>JR.          | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>ANN H. LAMONT                        | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>JAY O. LIGHT                         | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>GEOFFREY G. MEYERS                   | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR:<br>MICHAEL W. MICHELSON                 | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR:<br>WAYNE J. RILEY, M.D.                 | Management  | For  | For                    |
| 1L.  | ELECTION OF DIRECTOR:<br>JOHN W. ROWE, M.D.                   | Management  | For  | For                    |
| 2.   | TO REAPPROVE THE<br>PERFORMANCE GOALS<br>UNDER THE 2006 STOCK | Management  | For  | For                    |

INCENTIVE PLAN FOR KEY  
EMPLOYEES OF HCA  
HOLDINGS, INC. AND ITS  
AFFILIATES, AS AMENDED  
AND RESTATED

TO RATIFY THE  
APPOINTMENT OF ERNST  
& YOUNG LLP AS OUR  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE YEAR ENDING  
DECEMBER 31, 2016

|    |            |     |     |
|----|------------|-----|-----|
| 3. | Management | For | For |
|----|------------|-----|-----|

ADVISORY VOTE TO  
APPROVE NAMED  
EXECUTIVE OFFICER  
COMPENSATION

|    |            |     |     |
|----|------------|-----|-----|
| 4. | Management | For | For |
|----|------------|-----|-----|

STOCKHOLDER PROPOSAL  
REGARDING A MAJORITY  
VOTE STANDARD FOR  
THE ELECTION OF  
DIRECTORS

|    |             |         |     |
|----|-------------|---------|-----|
| 5. | Shareholder | Against | For |
|----|-------------|---------|-----|

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 6,500         | 0                  | 18-Mar-2016 | 29-Apr-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 477           | 6,000              | 18-Mar-2016 | 29-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 815           | 21,000             | 18-Mar-2016 | 29-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 347           | 54,000             | 18-Mar-2016 | 29-Apr-2016    |

MGIC INVESTMENT CORPORATION

|          |           |              |        |
|----------|-----------|--------------|--------|
| Security | 552848103 | Meeting Type | Annual |
|----------|-----------|--------------|--------|

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Ticker Symbol  | MTG             | Meeting Date       | 28-Apr-2016            |
| ISIN           | US5528481030    | Agenda             | 934359705 - Management |
| Record Date    | 04-Mar-2016     | Holding Recon Date | 04-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 27-Apr-2016            |

|          |            |
|----------|------------|
| SEDOL(s) | Quick Code |
|----------|------------|

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 DANIEL A. ARRIGONI   |             | For  | For                    |
|      | 2 CASSANDRA C. CARR  |             | For  | For                    |
|      | 3 C. EDWARD CHAPLIN  |             | For  | For                    |
|      | 4 CURT S. CULVER   |             | For  | For                    |
|      | 5 TIMOTHY A. HOLT  |             | For  | For                    |
|      | 6 KENNETH M. JASTROW, II   |             | For  | For                    |
|      | 7 MICHAEL E. LEHMAN  |             | For  | For                    |
|      | 8 DONALD T. NICOLAISEN   |             | For  | For                    |
|      | 9 GARY A. POLINER  |             | For  | For                    |
|      | 10 PATRICK SINKS   |             | For  | For                    |
|      | 11 MARK M. ZANDI   |             | For  | For                    |
| 2.   | ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION                    | Management  | For  | For                    |
| 3.   | APPROVE OUR AMENDED AND RESTATED RIGHTS AGREEMENT                                | Management  | For  | For                    |
| 4.   | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT | Management  | For  | For                    |

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REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27          | STATE STREET BANK & TRUST CO | 74,100        | 0                  | 29-Mar-2016 | 29-Apr-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 9,634         | 232,900            | 29-Mar-2016 | 29-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 63,965        | 442,600            | 29-Mar-2016 | 29-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 35,188        | 1,273,000          | 29-Mar-2016 | 29-Apr-2016    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61          | STATE STREET BANK & TRUST CO | 18,300        | 0                  | 29-Mar-2016 | 29-Apr-2016    |

SHIRE PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 82481R106       | Meeting Type       | Annual                 |
| Ticker Symbol  | SHPG            | Meeting Date       | 28-Apr-2016            |
| ISIN           | US82481R1068    | Agenda             | 934369489 - Management |
| Record Date    | 18-Mar-2016     | Holding Recon Date | 18-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 20-Apr-2016            |

SEDOL(s)

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | TO RECEIVE THE COMPANY'S ANNUAL REPORT AND ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2015. | Management  | For  | For                    |



|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 2.  | TO APPROVE THE DIRECTORS' REMUNERATION REPORT, EXCLUDING THE DIRECTORS' REMUNERATION POLICY, SET OUT ON PAGES 76 TO 101 OF THE ANNUAL REPORT AND ACCOUNTS FOR THE YEAR ENDED DECEMBER 31, 2015. | Management | For | For |
| 3.  | TO RE-ELECT DOMINIC BLAKEMORE AS A DIRECTOR.  | Management | For | For |
| 4.  | TO ELECT OLIVIER BOHUON AS A DIRECTOR.  | Management | For | For |
| 5.  | TO RE-ELECT WILLIAM BURNS AS A DIRECTOR.  | Management | For | For |
| 6.  | TO RE-ELECT DR. STEVEN GILLIS AS A DIRECTOR.  | Management | For | For |
| 7.  | TO RE-ELECT DR. DAVID GINSBURG AS A DIRECTOR.   | Management | For | For |
| 8.  | TO RE-ELECT SUSAN KILSBY AS A DIRECTOR.   | Management | For | For |
| 9.  | TO ELECT SARA MATHEW AS A DIRECTOR.   | Management | For | For |
| 10. | TO RE-ELECT ANNE MINTO AS A DIRECTOR.   | Management | For | For |
| 11. | TO RE-ELECT DR. FLEMMING ORNSKOV AS A DIRECTOR.   | Management | For | For |
| 12. | TO ELECT JEFFREY POULTON AS A DIRECTOR.   | Management | For | For |
| 13. | TO RE-APPOINT DELOITTE LLP AS THE COMPANY'S AUDITOR UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING OF THE COMPANY.   | Management | For | For |
| 14. | TO AUTHORIZE THE AUDIT, COMPLIANCE &  | Management | For | For |

RISK COMMITTEE TO DETERMINE THE REMUNERATION OF THE AUDITOR.

- |     |  |            |     |     |
|-----|--|------------|-----|-----|
| 15. | <p>THAT THE AUTHORITY TO ALLOT RELEVANT SECURITIES (AS DEFINED IN THE COMPANY'S ARTICLES OF ASSOCIATION (THE "ARTICLES")) CONFERRED ON THE DIRECTORS BY ARTICLE 10 PARAGRAPH (B) OF THE ARTICLES BE RENEWED AND FOR THIS PURPOSE THE AUTHORISED ALLOTMENT AMOUNT SHALL BE: (A) 9,886,710.20 OF RELEVANT SECURITIES; AND (B) SOLELY IN CONNECTION WITH AN ALLOTMENT PURSUANT TO AN OFFER BY WAY OF A RIGHTS ISSUE (AS DEFINED IN THE ARTICLES, BUT ONLY IF AND TO THE EXTENT THAT SUCH OFFER IS ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)</p> | Management | For | For |
| 16. | <p>THAT, SUBJECT TO THE PASSING OF RESOLUTION 15, THE AUTHORITY TO ALLOT EQUITY SECURITIES (AS DEFINED IN THE COMPANY'S ARTICLES OF ASSOCIATION (THE "ARTICLES")) WHOLLY FOR CASH CONFERRED ON THE DIRECTORS BY ARTICLE 10 PARAGRAPH (D) OF THE ARTICLES BE RENEWED AND FOR THIS PURPOSE THE NON PRE-</p>  | Management | For | For |

EMPTIVE AMOUNT (AS  
DEFINED IN THE  
ARTICLES) SHALL BE  
3,005,870.30 AND THE  
ALLOTMENT PERIOD  
SHALL BE THE PERIOD  
COMMENCING ON APRIL  
28, 2016, AND ENDING ON  
THE EARLIER OF JULY 27,  
2017, ... (DUE TO SPACE  
LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

THAT THE COMPANY BE  
AND IS HEREBY  
GENERALLY AND  
UNCONDITIONALLY  
AUTHORIZED: (A)  
PURSUANT TO ARTICLE 57

17.

OF THE COMPANIES  
(JERSEY) LAW 1991 TO  
MAKE MARKET  
PURCHASES OF  
ORDINARY SHARES IN  
THE CAPITAL OF THE  
COMPANY, PROVIDED  
THAT: (1) THE MAXIMUM  
NUMBER OF ORDINARY  
SHARES HEREBY  
AUTHORIZED TO BE  
PURCHASED IS 59,320,261;  
(2) THE MINIMUM PRICE,  
EXCLUSIVE OF ANY  
EXPENSES, WHICH MAY  
BE PAID FOR AN  
ORDINARY SHARE IS FIVE  
PENCE; (3) THE MAXIMUM  
PRICE, EXCLUSIVE OF  
ANY EXPENSES, WHICH  
MAY BE PAID ... (DUE TO  
SPACE LIMITS, SEE PROXY  
MATERIAL FOR FULL  
PROPOSAL)

Management

For

For

18.

THAT THE AUTHORIZED  
SHARE CAPITAL OF THE  
COMPANY BE INCREASED  
FROM 50,000,002 TO  
75,000,002, TO BE DIVIDED  
INTO 1,500,000,000 SHARES

Management

For

For

DESIGNATED AS  
 ORDINARY SHARES WITH  
 A PAR VALUE OF 5P EACH  
 AND 2 SHARES  
 DESIGNATED AS  
 SUBSCRIBER ORDINARY  
 SHARES WITH A PAR  
 VALUE OF 1 EACH, AND  
 THAT THE COMPANY'S  
 MEMORANDUM OF  
 ASSOCIATION BE  
 AMENDED  
 ACCORDINGLY.

THAT, WITH EFFECT  
 FROM THE CONCLUSION  
 OF THE ANNUAL  
 GENERAL MEETING, THE  
 COMPANY'S ARTICLES OF  
 ASSOCIATION BE  
 AMENDED AND THOSE  
 ARTICLES PRODUCED TO  
 THE MEETING AND  
 INITIALED BY THE  
 CHAIRMAN BE ADOPTED  
 AS THE COMPANY'S  
 ARTICLES OF  
 ASSOCIATION, IN  
 SUBSTITUTION FOR, AND  
 TO THE EXCLUSION OF,  
 THE EXISTING ARTICLES  
 OF ASSOCIATION OF THE  
 COMPANY.

|     |            |     |     |
|-----|------------|-----|-----|
| 19. | Management | For | For |
|-----|------------|-----|-----|

TO APPROVE THAT A  
 GENERAL MEETING OF  
 THE COMPANY, OTHER  
 THAN AN ANNUAL  
 GENERAL MEETING, MAY  
 BE CALLED ON NOT LESS  
 THAN 14 CLEAR DAYS'  
 NOTICE.

|     |            |     |     |
|-----|------------|-----|-----|
| 20. | Management | For | For |
|-----|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 4,100         | 0                  | 02-Apr-2016 | 22-Apr-2016    |

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|         |     |         |                              |        |   |             |             |
|---------|-----|---------|------------------------------|--------|---|-------------|-------------|
| 997AL28 | GLQ | 997AL28 | STATE STREET BANK & TRUST CO | 6,700  | 0 | 02-Apr-2016 | 22-Apr-2016 |
| 997AL29 | GLO | 997AL29 | STATE STREET BANK & TRUST CO | 16,800 | 0 | 02-Apr-2016 | 22-Apr-2016 |

ASTRAZENECA PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 046353108       | Meeting Type       | Annual                 |
| Ticker Symbol  | AZN             | Meeting Date       | 29-Apr-2016            |
| ISIN           | US0463531089    | Agenda             | 934356898 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 20-Apr-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | TO RECEIVE THE COMPANY'S ACCOUNTS AND THE REPORTS OF THE DIRECTORS AND AUDITOR FOR THE YEAR ENDED 31 DECEMBER 2015 | Management  | For  | For                    |
| 2.   | TO CONFIRM DIVIDENDS   | Management  | For  | For                    |
| 3.   | TO RE-APPOINT KPMG LLP, LONDON AS AUDITOR  | Management  | For  | For                    |
| 4.   | TO AUTHORISE THE DIRECTORS TO AGREE THE REMUNERATION OF THE AUDITOR  | Management  | For  | For                    |
| 5A.  | RE-ELECTION OF DIRECTOR: LEIF JOHANSSON  | Management  | For  | For                    |
| 5B.  | RE-ELECTION OF DIRECTOR: PASCAL SORIOT   | Management  | For  | For                    |
| 5C.  | RE- ELECTION OF DIRECTOR: MARC DUNOYER   | Management  | For  | For                    |

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|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 5D. | RE-ELECTION OF<br>DIRECTOR: CORI<br>BARGMANN   | Management | For | For |
| 5E. | RE-ELECTION OF<br>DIRECTOR: GENEVIEVE<br>BERGER  | Management | For | For |
| 5F. | RE-ELECTION OF<br>DIRECTOR: BRUCE<br>BURLINGTON  | Management | For | For |
| 5G. | RE-ELECTION OF<br>DIRECTOR: ANN CAIRNS   | Management | For | For |
| 5H. | RE-ELECTION OF<br>DIRECTOR: GRAHAM<br>CHIPCHASE  | Management | For | For |
| 5I. | RE-ELECTION OF<br>DIRECTOR: JEAN-PHILIPPE<br>COURTOIS  | Management | For | For |
| 5J. | RE-ELECTION OF<br>DIRECTOR: RUDY<br>MARKHAM  | Management | For | For |
| 5K. | RE-ELECTION OF<br>DIRECTOR: SHRITI<br>VADERA   | Management | For | For |
| 5L. | RE-ELECTION OF<br>DIRECTOR: MARCUS<br>WALLENBERG   | Management | For | For |
| 6.  | TO APPROVE THE<br>ANNUAL REPORT ON<br>REMUNERATION FOR THE<br>YEAR ENDED 31<br>DECEMBER 2015 | Management | For | For |
| 7.  | TO AUTHORISE LIMITED<br>EU POLITICAL<br>DONATIONS  | Management | For | For |
| 8.  | TO AUTHORISE THE<br>DIRECTORS TO ALLOT<br>SHARES   | Management | For | For |
| 9.  | TO AUTHORISE THE<br>DIRECTORS TO DISAPPLY<br>PRE-EMPTION RIGHTS                              | Management | For | For |
| 10. | TO AUTHORISE THE<br>COMPANY TO PURCHASE<br>ITS OWN SHARES                                    | Management | For | For |

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11. TO REDUCE THE NOTICE PERIOD FOR GENERAL MEETINGS Management For For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 200           | 20,136             | 25-Mar-2016 | 22-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 33,729             | 25-Mar-2016 | 22-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 100           | 83,824             | 25-Mar-2016 | 22-Apr-2016    |

YPF SOCIEDAD ANONIMA

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 984245100       | Meeting Type       | Annual                 |
| Ticker Symbol  | YPF             | Meeting Date       | 29-Apr-2016            |
| ISIN           | US9842451000    | Agenda             | 934396195 - Management |
| Record Date    | 06-Apr-2016     | Holding Recon Date | 06-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 25-Apr-2016            |

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | APPOINTMENT OF TWO SHAREHOLDERS TO SIGN THE MINUTES OF THE MEETING.  | Management  | For  |                        |
| 2.   | CONSIDERATION OF THE BOARD OF DIRECTORS' RESOLUTIONS REGARDING THE CREATION OF A LONG-TERM PLAN OF COMPENSATION IN SHARES FOR EMPLOYEES, THROUGH THE | Management  | For  | For                    |

ACQUISITION OF SHARES  
OF THE COMPANY IN  
ACCORDANCE WITH  
ARTICLE 64 ET. SEQ. OF  
LAW NO. 26,831.  
EXEMPTION FROM THE  
PREEMPTIVE OFFER OF  
SHARES TO  
SHAREHOLDERS  
PURSUANT TO ARTICLE 67  
OF LAW NO. 26,831.

CONSIDERATION OF THE  
ANNUAL REPORT,  
INVENTORY, BALANCE  
SHEET, INCOME  
STATEMENT, STATEMENT  
OF CHANGES IN  
SHAREHOLDERS' EQUITY  
AND STATEMENTS OF  
CASH FLOW, WITH THEIR  
NOTES, CHARTS,  
EXHIBITS AND RELATED  
DOCUMENTS, AND THE  
REPORT OF THE  
SUPERVISORY  
COMMITTEE AND  
INDEPENDENT AUDITOR,  
CORRESPONDING TO  
FISCAL YEAR NO. 39,  
WHICH BEGAN ON  
JANUARY 1, 2015 AND  
ENDED ON DECEMBER 31,  
2015.

|    |            |     |     |
|----|------------|-----|-----|
| 3. | Management | For | For |
|----|------------|-----|-----|

USE OF PROFITS  
ACCUMULATED AS OF  
DECEMBER 31, 2015.  
CONSTITUTION OF  
RESERVES. DECLARATION  
OF DIVIDENDS.

|    |            |     |     |
|----|------------|-----|-----|
| 4. | Management | For | For |
|----|------------|-----|-----|

REMUNERATION OF THE  
INDEPENDENT AUDITOR  
FOR THE FISCAL YEAR  
ENDED ON DECEMBER 31,  
2015.

|    |            |     |  |
|----|------------|-----|--|
| 5. | Management | For |  |
|----|------------|-----|--|

|    |            |     |     |
|----|------------|-----|-----|
| 6. | Management | For | For |
|----|------------|-----|-----|

CONSIDERATION OF THE  
EXTENSION OF THE  
PERIOD TO CONDUCT THE  
AUDITING OF THE



COMPANY IN ACCORDANCE WITH RESOLUTION NO. 639/ 2015 OF THE NATIONAL SECURITIES COMMISSION (COMISION NACIONAL DE VALORES). APPOINTMENT OF THE INDEPENDENT AUDITOR WHO WILL REPORT ON THE ANNUAL FINANCIAL STATEMENTS AS OF DECEMBER 31, 2016 AND DETERMINATION OF ITS REMUNERATION.

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 7.  | CONSIDERATION OF THE PERFORMANCE OF THE BOARD OF DIRECTORS AND THE SUPERVISORY COMMITTEE DURING THE FISCAL YEAR ENDED ON DECEMBER 31, 2015. | Management | For |     |
| 8.  | REMUNERATION OF THE BOARD OF DIRECTORS FOR THE FISCAL YEAR ENDED ON DECEMBER 31, 2015.  | Management | For |     |
| 9.  | REMUNERATION OF THE SUPERVISORY COMMITTEE FOR THE FISCAL YEAR ENDED ON DECEMBER 31, 2015.   | Management | For |     |
| 10. | DETERMINATION OF THE NUMBER OF REGULAR AND ALTERNATE MEMBERS OF THE SUPERVISORY COMMITTEE.  | Management | For |     |
| 12. | APPOINTMENT OF THE REGULAR AND ALTERNATE MEMBERS OF THE SUPERVISORY COMMITTEE FOR THE CLASS D SHARES.                                       | Management | For |     |
| 13. | DETERMINATION OF THE NUMBER OF REGULAR AND ALTERNATE MEMBERS OF THE BOARD   | Management | For | For |

OF DIRECTORS.

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 15. | APPOINTMENT OF<br>REGULAR AND<br>ALTERNATE DIRECTORS<br>FOR CLASS D SHARES<br>AND DETERMINATION OF<br>THEIR TENURE.   | Management | For | For |
| 16. | DETERMINATION OF THE<br>REMUNERATION TO BE<br>RECEIVED BY THE<br>MEMBERS OF THE BOARD<br>OF DIRECTORS AND THE<br>MEMBERS OF THE<br>SUPERVISORY<br>COMMITTEE FOR THE<br>FISCAL YEAR THAT<br>BEGAN ON JANUARY 1,<br>2016.   | Management | For |     |
| 17. | MODIFICATION OF THE<br>BYLAWS. ARTICLE 17,<br>SUBSECTIONS I) AND XIII)<br>ARTICLE 18, SUBSECTIONS<br>A), B), C), D) AND E) AND<br>ARTICLE 19, SUBSECTIONS<br>III), IV) AND V).  | Management | For | For |
| 18. | CONSIDERATION OF THE<br>MERGER BY ACQUISITION<br>BY YPF S.A. (THE<br>ACQUIRING COMPANY)<br>OF YPF INVERSORA<br>ENERGETICA S.A. AND<br>GAS ARGENTINO S.A. (THE<br>MERGED COMPANIES), IN<br>ACCORDANCE WITH<br>ARTICLE 82 ET. SEQ. OF<br>THE GENERAL<br>CORPORATIONS LAW<br>(LEY GENERAL DE<br>SOCIEDADES), AND<br>ARTICLE 77, ARTICLE 78<br>ET. SEQ. AND CONSISTENT<br>NORMS OF THE PROFIT<br>TAX LAW (LEY DE<br>IMPUESTO A LAS<br>GANANCIAS), AS<br>AMENDED, AND ARTICLE<br>105 TO ARTICLE 109 OF ITS<br>REGULATORY DECREE. | Management | For | For |

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 19. | <p>CONSIDERATION OF THE SPECIAL MERGER BALANCE SHEET (SPECIAL MERGER FINANCIAL STATEMENTS) OF YPF S.A. AND THE CONSOLIDATED MERGER BALANCE SHEET (CONSOLIDATED ASSETS MERGER BALANCE SHEET) OF YPF S.A., GAS ARGENTINO S.A. AND YPF INVERSORA ENERGETICA S.A., EACH AS OF DECEMBER 31, 2015, AND THE CORRESPONDING SUPERVISORY COMMITTEE AND INDEPENDENT AUDITOR REPORTS.</p> | Management | For | For |
| 20. | <p>CONSIDERATION OF THE PRIOR MERGER AGREEMENT AND THE MERGER BY ACQUISITION PROSPECTUS.</p>  | Management | For | For |
| 21. | <p>AUTHORIZATION TO SIGN THE DEFINITIVE MERGER AGREEMENT IN THE NAME OF AND IN REPRESENTATION OF THE COMPANY.</p>   | Management | For |     |
| 22. | <p>CONSIDERATION OF AN INCREASE IN THE AMOUNT OF THE COMPANY'S GLOBAL MEDIUM TERM NEGOTIABLE OBLIGATIONS PROGRAM.</p>   | Management | For | For |
| 23. | <p>EXTENSION OF THE POWERS DELEGATED TO THE BOARD OF DIRECTORS TO DETERMINE THE TERMS AND CONDITIONS OF THE NOTES ISSUED UNDER THE CURRENT GLOBAL MEDIUM-TERM NOTES PROGRAM.</p>  | Management | For | For |

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| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 25,400        | 0                  | 19-Apr-2016 | 27-Apr-2016    |
| 997AL28        | GLQ          | STATE STREET BANK & TRUST CO<br>997AL28 | 41,200        | 0                  | 19-Apr-2016 | 27-Apr-2016    |
| 997AL29        | GLO          | STATE STREET BANK & TRUST CO<br>997AL29 | 104,300       | 0                  | 19-Apr-2016 | 27-Apr-2016    |

BRISTOL-MYERS SQUIBB COMPANY

|                |               |                    |                        |
|----------------|---------------|--------------------|------------------------|
| Security       | 110122108     | Meeting Type       | Annual                 |
| Ticker Symbol  | BMY           | Meeting Date       | 03-May-2016            |
| ISIN           | US1101221083  | Agenda             | 934349110 - Management |
| Record Date    | 11-Mar-2016   | Holding Recon Date | 11-Mar-2016            |
| City / Country | United States | Vote Deadline Date | 02-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: L. ANDREOTTI          | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: P.J. ARDUINI          | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: G. CAFORIO, M.D.      | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: L.H. GLIMCHER, M.D.   | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: M. GROBSTEIN          | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: A.J. LACY             | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: T.J. LYNCH, JR., M.D. | Management  | For  | For                    |

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|     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 1H. | ELECTION OF DIRECTOR:<br>D.C. PALIWAL  | Management  | For     | For |
| 1I. | ELECTION OF DIRECTOR:<br>V.L. SATO, PH.D.  | Management  | For     | For |
| 1J. | ELECTION OF DIRECTOR:<br>G.L. STORCH   | Management  | For     | For |
| 1K. | ELECTION OF DIRECTOR:<br>T.D. WEST, JR.  | Management  | For     | For |
| 2.  | ADVISORY VOTE TO<br>APPROVE THE<br>COMPENSATION OF OUR<br>NAMED EXECUTIVE<br>OFFICERS        | Management  | For     | For |
| 3.  | RATIFICATION OF THE<br>APPOINTMENT OF<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM | Management  | For     | For |
| 4.  | SPECIAL SHAREOWNER<br>MEETINGS   | Shareholder | Against | For |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 100           | 8,687              | 24-Mar-2016 | 04-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 100           | 14,616             | 24-Mar-2016 | 04-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 100           | 36,400             | 24-Mar-2016 | 04-May-2016    |

CABLE ONE, INC.

|               |              |                    |                        |
|---------------|--------------|--------------------|------------------------|
| Security      | 12685J105    | Meeting Type       | Annual                 |
| Ticker Symbol | CABO         | Meeting Date       | 03-May-2016            |
| ISIN          | US12685J1051 | Agenda             | 934361724 - Management |
| Record Date   | 11-Mar-2016  | Holding Recon Date | 11-Mar-2016            |

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City / Country / United States Vote Deadline Date 02-May-2016

SEDOL(s) Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: BRAD D. BRIAN   | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: KATHARINE B. WEYMOUTH   | Management  | For  | For                    |
| 2.   | RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 1,200         | 0                  | 01-Apr-2016 | 04-May-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 2,000         | 0                  | 01-Apr-2016 | 04-May-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 5,200         | 0                  | 01-Apr-2016 | 04-May-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 100           | 1,700              | 01-Apr-2016 | 04-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 3,000         | 0                  | 01-Apr-2016 | 04-May-2016    |
| 997AL49        |                | 997AL49                                 | 200           | 7,400              | 01-Apr-2016 | 04-May-2016    |

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GLO BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

ACTELION LTD., ALLSCHWIL

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | H0032X135   | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 04-May-2016            |
| ISIN           | CH0010532478  | Agenda             | 706912765 - Management |
| Record Date    | 22-Apr-2016   | Holding Recon Date | 22-Apr-2016            |
| City / Country | BASEL / Switzerland   | Vote Deadline Date | 11-Apr-2016            |
| SEDOL(s)       | 5937846 - 5954254 -<br>7123223 - B01DBL5<br>- B0P9B20 -<br>B1YD5Q2 -<br>B1YD5T5 -<br>B1YLTN1 -<br>B2QTL45 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PART 2 OF THIS MEETING IS FOR VOTING ON AGENDA AND MEETING ATTENDANCE-REQUESTS ONLY. PLEASE ENSURE THAT YOU HAVE FIRST VOTED IN FAVOUR OF THE-REGISTRATION OF SHARES IN PART 1 OF THE MEETING. IT IS A MARKET REQUIREMENT-FOR MEETINGS OF THIS TYPE THAT THE SHARES ARE REGISTERED AND MOVED TO A-REGISTERED LOCATION AT THE CSD, AND SPECIFIC POLICIES AT THE INDIVIDUAL-SUB-CUSTODIANS MAY VARY. UPON RECEIPT OF THE VOTE INSTRUCTION, IT IS POSSIBLE-THAT A MARKER MAY BE PLACED ON YOUR SHARES TO | Non-Voting  |      |                        |

ALLOW FOR  
RECONCILIATION  
AND-RE- REGISTRATION  
FOLLOWING A TRADE.  
THEREFORE WHILST THIS  
DOES NOT PREVENT  
THE-TRADING OF SHARES,  
ANY THAT ARE  
REGISTERED MUST BE  
FIRST DEREGISTERED  
IF-REQUIRED FOR  
SETTLEMENT.  
DEREGISTRATION CAN  
AFFECT THE VOTING  
RIGHTS OF  
THOSE-SHARES. IF YOU  
HAVE CONCERNS  
REGARDING YOUR  
ACCOUNTS, PLEASE  
CONTACT YOUR-CLIENT  
REPRESENTATIVE

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1.1 | APPROVAL OF ANNUAL<br>REPORT 2015,<br>CONSOLIDATED<br>FINANCIAL STATEMENTS<br>2015, STATUTORY<br>FINANCIAL STATEMENTS<br>2015 | Management | For | For |
| 1.2 | CONSULTATIVE VOTE ON<br>THE COMPENSATION<br>REPORT 2015   | Management | For | For |
| 2   | APPROPRIATION OF<br>AVAILABLE EARNINGS<br>AND DISTRIBUTION<br>AGAINST RESERVE FROM<br>CAPITAL CONTRIBUTION                    | Management | For | For |
| 3   | DISCHARGE OF THE<br>BOARD OF DIRECTORS<br>AND OF THE EXECUTIVE<br>MANAGEMENT  | Management | For | For |
| 4   | REDUCTION OF SHARE<br>CAPITAL BY<br>CANCELLATION OF<br>REPURCHASED SHARES   | Management | For | For |
| 5   | EXTENSION OF EXISTING<br>AUTHORIZED CAPITAL   | Management | For | For |



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|       |  |            |     |     |
|-------|--|------------|-----|-----|
| 6.1.1 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>JEAN- PIERRE GARNIER                    | Management | For | For |
| 6.1.2 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>JEAN- PAUL CLOZEL                       | Management | For | For |
| 6.1.3 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>JUHANI ANTILA                           | Management | For | For |
| 6.1.4 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>ROBERT BERTOLINI                        | Management | For | For |
| 6.1.5 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>JOHN J. GREISCH                         | Management | For | For |
| 6.1.6 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>PETER GRUSS                             | Management | For | For |
| 6.1.7 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>MICHAEL JACOBI                          | Management | For | For |
| 6.1.8 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>JEAN MALO                               | Management | For | For |
| 6.1.9 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>DAVID STOUT                             | Management | For | For |
| 6.110 | RE-ELECTION OF THE BOARD OF DIRECTOR:<br>HERNA VERHAGEN                          | Management | For | For |
| 6.2   | RE-ELECTION OF THE CHAIRPERSON OF THE BOARD OF DIRECTORS:<br>JEAN-PIERRE GARNIER | Management | For | For |
| 6.3.1 | RE-ELECTION OF THE COMPENSATION COMMITTEE: HERNA VERHAGEN                        | Management | For | For |
| 6.3.2 | RE-ELECTION OF THE COMPENSATION COMMITTEE: JEAN-PIERRE GARNIER                   | Management | For | For |
| 6.3.3 |  | Management | For | For |

RE-ELECTION OF THE  
COMPENSATION  
COMMITTEE: JOHN  
GREISCH

7.1 APPROVAL OF BOARD  
COMPENSATION (NON-  
EXECUTIVE DIRECTORS) Management For For

7.2 APPROVAL OF EXECUTIVE  
MANAGEMENT  
COMPENSATION 2017  
(MAXIMUM AMOUNT) Management For For

8 RE-ELECTION OF THE  
INDEPENDENT PROXY:  
BDO AG, AARAU Management For For

9 RE-ELECTION OF THE  
STATUTORY AUDITORS:  
ERNST & YOUNG AG,  
BASEL Management For For

10 IN THE EVENT OF A NEW  
OR MODIFIED PROPOSAL  
BY A SHAREHOLDER OR  
THE BOARD OF  
DIRECTORS DURING THE  
ANNUAL GENERAL  
MEETING, I INSTRUCT THE  
INDEPENDENT PROXY TO  
VOTE ACCORDING TO THE  
FOLLOWING  
INSTRUCTION (FOR=VOTE  
FOR THE  
PROPOSAL/RECOMMENDATION  
OF THE SHAREHOLDERS,  
AGAINST=AGAINST ALL  
PROPOSALS,  
ABSTAIN=VOTE FOR THE  
PROPOSAL/RECOMMENDATION  
OF THE BOARD OF  
DIRECTORS) Management For Against

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 5,910         | 0                  | 12-Apr-2016 | 03-May-2016    |
| AL28           | AL28         |                            | 9,644         | 0                  | 12-Apr-2016 | 03-May-2016    |

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|      |      |                         |        |   |             |             |
|------|------|-------------------------|--------|---|-------------|-------------|
|      |      | STATE<br>STREET<br>BANK |        |   |             |             |
| AL29 | AL29 | STATE<br>STREET<br>BANK | 24,375 | 0 | 12-Apr-2016 | 03-May-2016 |

EVERSOURCE ENERGY

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 30040W108       | Meeting Type       | Annual                 |
| Ticker Symbol  | ES              | Meeting Date       | 04-May-2016            |
| ISIN           | US30040W1080    | Agenda             | 934341001 - Management |
| Record Date    | 07-Mar-2016     | Holding Recon Date | 07-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 03-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                 | Proposed by | Vote | For/Against Management |
|------|--------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                 | Management  |      |                        |
|      | 1 JOHN S. CLARKESON      |             | For  | For                    |
|      | 2 COTTON M. CLEVELAND    |             | For  | For                    |
|      | 3 SANFORD CLOUD, JR.     |             | For  | For                    |
|      | 4 JAMES S. DISTASIO      |             | For  | For                    |
|      | 5 FRANCIS A. DOYLE       |             | For  | For                    |
|      | 6 CHARLES K. GIFFORD     |             | For  | For                    |
|      | 7 PAUL A. LA CAMERA      |             | For  | For                    |
|      | 8 KENNETH R. LEIBLER     |             | For  | For                    |
|      | 9 THOMAS J. MAY          |             | For  | For                    |
|      | 10 WILLIAM C. VAN FAASEN |             | For  | For                    |
|      | 11 FREDERICA M. WILLIAMS |             | For  | For                    |
|      | 12 DENNIS R. WRAASE      |             | For  | For                    |
| 2.   |                          | Management  | For  | For                    |

TO CONSIDER AN  
ADVISORY PROPOSAL  
APPROVING THE  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS.

TO RATIFY THE  
SELECTION OF DELOITTE  
& TOUCHE LLP AS THE

3. INDEPENDENT Management For For  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 200           | 43,500             | 19-Mar-2016 | 05-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 1,000         | 72,000             | 19-Mar-2016 | 05-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 400           | 180,000            | 19-Mar-2016 | 05-May-2016    |

PULTEGROUP, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 745867101       | Meeting Type       | Annual                 |
| Ticker Symbol  | PHM             | Meeting Date       | 04-May-2016            |
| ISIN           | US7458671010    | Agenda             | 934347407 - Management |
| Record Date    | 10-Mar-2016     | Holding Recon Date | 10-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 03-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal            | Proposed by | Vote | For/Against Management |
|------|---------------------|-------------|------|------------------------|
| 1.   | DIRECTOR            | Management  |      |                        |
|      | 1 BRIAN P. ANDERSON |             | For  | For                    |

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|    |   |             |         |     |
|----|---|-------------|---------|-----|
| 2  | BRYCE BLAIR   |             | For     | For |
| 3  | RICHARD W. DREILING   |             | For     | For |
| 4  | RICHARD J. DUGAS, JR.   |             | For     | For |
| 5  | THOMAS J. FOLLIARD  |             | For     | For |
| 6  | CHERYL W. GRISE   |             | For     | For |
| 7  | ANDRE J. HAWAUX   |             | For     | For |
| 8  | DEBRA J. KELLY-ENNIS  |             | For     | For |
| 9  | PATRICK J. O'LEARY  |             | For     | For |
| 10 | JAMES J. POSTL  |             | For     | For |
| 2. | THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.                                | Management  | For     | For |
| 3. | AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.   | Management  | For     | For |
| 4. | APPROVAL OF AN AMENDMENT TO EXTEND THE TERM OF OUR AMENDED AND RESTATED SECTION 382 RIGHTS AGREEMENT.   | Management  | For     | For |
| 5. | A SHAREHOLDER PROPOSAL REQUESTING THE ELECTION OF DIRECTORS BY A MAJORITY, RATHER THAN PLURALITY, VOTE, IF PROPERLY PRESENTED AT THE MEETING. | Shareholder | Against | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET       | 7,700         | 0                  | 07-Apr-2016 | 05-May-2016    |

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| Item    | Proposal       | Proposed by                | Vote   | For/Against Management |
|---------|----------------|----------------------------|--------|------------------------|
| 997AL28 | GLQ            | 997AL28<br>BANK & TRUST CO | 12,600 | 0                      |
| 997AL29 | GLO            | 997AL29<br>BANK & TRUST CO | 31,700 | 0                      |
| 997AL45 | GLV BNP PLEDGE | 997AL45<br>BANK & TRUST CO | 500    | 48,000                 |
| 997AL47 | GLQ BNP PLEDGE | 997AL47<br>BANK & TRUST CO | 1,000  | 80,000                 |
| 997AL49 | GLO BNP PLEDGE | 997AL49<br>BANK & TRUST CO | 9,100  | 190,000                |

AXALTA COATING SYSTEMS LTD.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | G0750C108       | Meeting Type       | Annual                 |
| Ticker Symbol  | AXTA            | Meeting Date       | 04-May-2016            |
| ISIN           | BMG0750C1082    | Agenda             | 934350137 - Management |
| Record Date    | 11-Mar-2016     | Holding Recon Date | 11-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 03-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                              | Proposed by | Vote | For/Against Management |
|------|---------------------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                              | Management  |      |                        |
|      | 1 ANDREAS C. KRAMVIS                  |             | For  | For                    |
|      | 2 GREGORY S. LEDFORD                  |             | For  | For                    |
|      | 3 MARTIN W. SUMNER                    |             | For  | For                    |
| 2.   | TO APPROVE, ON A NON-BINDING ADVISORY | Management  | For  | For                    |

BASIS, THE  
COMPENSATION PAID TO  
OUR NAMED EXECUTIVE  
OFFICERS.

TO APPOINT  
PRICEWATERHOUSECOOPERS  
LLP AS THE COMPANY'S  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM AND  
AUDITOR UNTIL THE  
CONCLUSION OF THE 2017  
ANNUAL GENERAL

3. MEETING OF MEMBERS Management For For  
AND TO DELEGATE  
AUTHORITY TO THE  
BOARD OF DIRECTORS OF  
THE COMPANY, ACTING  
THROUGH THE AUDIT  
COMMITTEE, TO FIX THE  
TERMS AND  
REMUNERATION  
THEREOF.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 14,100        | 48,900             | 23-Mar-2016 | 05-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 700           | 122,000            | 23-Mar-2016 | 05-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 69,500        | 237,600            | 23-Mar-2016 | 05-May-2016    |

HESS CORPORATION

|               |              |                    |                        |
|---------------|--------------|--------------------|------------------------|
| Security      | 42809H107    | Meeting Type       | Annual                 |
| Ticker Symbol | HES          | Meeting Date       | 04-May-2016            |
| ISIN          | US42809H1077 | Agenda             | 934353032 - Management |
| Record Date   | 17-Mar-2016  | Holding Recon Date | 17-Mar-2016            |

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| City / Country / United States | SEDOL(s)  | Vote Deadline Date | 03-May-2016 |                        |
|--------------------------------|---|--------------------|-------------|------------------------|
| Item                           | Proposal  | Proposed by        | Vote        | For/Against Management |
| 1A.                            | ELECTION OF DIRECTOR:<br>R.F. CHASE   | Management         | For         | For                    |
| 1B.                            | ELECTION OF DIRECTOR:<br>T.J. CHECKI  | Management         | For         | For                    |
| 1C.                            | ELECTION OF DIRECTOR:<br>J.B. HESS  | Management         | For         | For                    |
| 1D.                            | ELECTION OF DIRECTOR:<br>E.E. HOLIDAY   | Management         | For         | For                    |
| 1E.                            | ELECTION OF DIRECTOR:<br>R. LAVIZZO-MOUREY  | Management         | For         | For                    |
| 1F.                            | ELECTION OF DIRECTOR:<br>D. MCMANUS   | Management         | For         | For                    |
| 1G.                            | ELECTION OF DIRECTOR:<br>K.O. MEYERS  | Management         | For         | For                    |
| 1H.                            | ELECTION OF DIRECTOR:<br>J.H. MULLIN III  | Management         | For         | For                    |
| 1I.                            | ELECTION OF DIRECTOR:<br>J.H. QUIGLEY   | Management         | For         | For                    |
| 1J.                            | ELECTION OF DIRECTOR:<br>F.G. REYNOLDS  | Management         | For         | For                    |
| 1K.                            | ELECTION OF DIRECTOR:<br>W.G. SCHRADER  | Management         | For         | For                    |
| 2.                             | ADVISORY APPROVAL OF<br>THE COMPENSATION OF<br>OUR NAMED EXECUTIVE<br>OFFICERS.   | Management         | For         | For                    |
| 3.                             | RATIFICATION OF THE<br>SELECTION OF ERNST &<br>YOUNG LLP AS<br>INDEPENDENT AUDITORS<br>FOR FISCAL YEAR ENDING<br>DECEMBER 31, 2016. | Management         | For         | For                    |
| 4.                             | APPROVAL OF THE<br>PERFORMANCE<br>INCENTIVE PLAN FOR<br>SENIOR OFFICERS.  | Management         | For         | For                    |



5. STOCKHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, RECOMMENDING A SCENARIO ANALYSIS REPORT REGARDING CARBON ASSET RISK.

Shareholder

Against For

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 33,000        | 0                  | 24-Mar-2016 | 05-May-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 53,800        | 0                  | 24-Mar-2016 | 05-May-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 135,900       | 0                  | 24-Mar-2016 | 05-May-2016    |

HATTERAS FINANCIAL CORP.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 41902R103       | Meeting Type       | Annual                 |
| Ticker Symbol  | HTS             | Meeting Date       | 04-May-2016            |
| ISIN           | US41902R1032    | Agenda             | 934355973 - Management |
| Record Date    | 02-Mar-2016     | Holding Recon Date | 02-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 03-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal            | Proposed by | Vote | For/Against Management |
|------|---------------------|-------------|------|------------------------|
| 1.   | DIRECTOR            | Management  |      |                        |
|      | 1 MICHAEL R. HOUGH  |             | For  | For                    |
|      | 2 BENJAMIN M. HOUGH |             | For  | For                    |
|      | 3 DAVID W. BERSON   |             | For  | For                    |
|      | 4 IRA G. KAWALLER   |             | For  | For                    |

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|   |                         |     |     |
|---|-------------------------|-----|-----|
| 5 | VICKI MCELREATH         | For | For |
| 6 | JEFFREY D. MILLER       | For | For |
| 7 | WILLIAM V. NUTT,<br>JR. | For | For |
| 8 | THOMAS D. WREN          | For | For |

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 2. | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016. | Management | For | For |
| 3. | TO APPROVE, BY NON-BINDING VOTE, EXECUTIVE COMPENSATION.   | Management | For | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 84,200        | 0                  | 26-Mar-2016 | 05-May-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 37,000        | 0                  | 26-Mar-2016 | 05-May-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 93,400        | 0                  | 26-Mar-2016 | 05-May-2016    |

PHYSICIANS REALTY TRUST

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 71943U104       | Meeting Type       | Annual                 |
| Ticker Symbol  | DOC             | Meeting Date       | 05-May-2016            |
| ISIN           | US71943U1043    | Agenda             | 934349108 - Management |
| Record Date    | 01-Mar-2016     | Holding Recon Date | 01-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 04-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

Edgar Filing: Clough Dividend & Income Fund - Form N-PX

| Item | Proposal  | Proposed by | Vote    | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1.   | DIRECTOR  | Management  |         |                        |
|      | 1 JOHN T. THOMAS  |             | For     | For                    |
|      | 2 TOMMY G. THOMPSON   |             | For     | For                    |
|      | 3 STANTON D. ANDERSON   |             | For     | For                    |
|      | 4 MARK A. BAUMGARTNER   |             | For     | For                    |
|      | 5 ALBERT C. BLACK, JR.  |             | For     | For                    |
|      | 6 WILLIAM A. EBINGER, M.D   |             | For     | For                    |
|      | 7 RICHARD A. WEISS  |             | For     | For                    |
| 2.   | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For     | For                    |
| 3.   | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.             | Management  | For     | For                    |
| 4.   | TO APPROVE, ON AN ADVISORY BASIS, THE PREFERRED FREQUENCY OF SHAREHOLDER ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.      | Management  | 3 Years | Against                |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|

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|         |     |         |                              |        |   |             |             |
|---------|-----|---------|------------------------------|--------|---|-------------|-------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 29,600 | 0 | 25-Mar-2016 | 06-May-2016 |
|---------|-----|---------|------------------------------|--------|---|-------------|-------------|

ALLERGAN PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | G0177J108       | Meeting Type       | Annual                 |
| Ticker Symbol  | AGN             | Meeting Date       | 05-May-2016            |
| ISIN           | IE00BY9D5467    | Agenda             | 934354565 - Management |
| Record Date    | 07-Mar-2016     | Holding Recon Date | 07-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 02-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 NESLI BASGOZ, M.D.                               |             | For  | For                    |
|      | 2 PAUL M. BISARO                                   |             | For  | For                    |
|      | 3 JAMES H. BLOEM                                   |             | For  | For                    |
|      | 4 CHRISTOPHER W. BODINE                            |             | For  | For                    |
|      | 5 CHRISTOPHER J. COUGHLIN                          |             | For  | For                    |
|      | 6 MICHAEL R. GALLAGHER                             |             | For  | For                    |
|      | 7 CATHERINE M. KLEMA                               |             | For  | For                    |
|      | 8 PETER J. MCDONNELL, M.D                          |             | For  | For                    |
|      | 9 PATRICK J. O'SULLIVAN                            |             | For  | For                    |
|      | 10 BRENTON L. SAUNDERS                             |             | For  | For                    |
|      | 11 RONALD R. TAYLOR                                |             | For  | For                    |
|      | 12 FRED G. WEISS                                   |             | For  | For                    |
| 2.   | TO APPROVE, IN A NON-BINDING VOTE, NAMED EXECUTIVE | Management  | For  | For                    |

OFFICER COMPENSATION

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 3.  | <p>TO RATIFY, IN A<br/>NON-BINDING VOTE, THE<br/>APPOINTMENT OF<br/>PRICEWATERHOUSECOOPERS<br/>LLP AS THE COMPANY'S<br/>INDEPENDENT AUDITOR<br/>FOR THE FISCAL YEAR<br/>ENDING DECEMBER 31,<br/>2016 AND TO AUTHORIZE,<br/>IN A BINDING VOTE, THE<br/>BOARD OF DIRECTORS,<br/>ACTING THROUGH THE<br/>AUDIT AND COMPLIANCE<br/>COMMITTEE, TO<br/>DETERMINE<br/>PRICEWATERHOUSECOOPERS<br/>LLP'S REMUNERATION</p> | Management | For | For |
| 4A. | <p>TO APPROVE THE<br/>AMENDMENT OF THE<br/>COMPANY'S:<br/>MEMORANDUM OF<br/>ASSOCIATION TO MAKE<br/>CERTAIN<br/>ADMINISTRATIVE<br/>AMENDMENTS</p>   | Management | For | For |
| 4B. | <p>TO APPROVE THE<br/>AMENDMENT OF THE<br/>COMPANY'S: ARTICLES OF<br/>ASSOCIATION TO MAKE<br/>CERTAIN<br/>ADMINISTRATIVE<br/>AMENDMENTS</p>   | Management | For | For |
| 5A. | <p>TO APPROVE THE<br/>AMENDMENT OF THE<br/>COMPANY'S ARTICLES OF<br/>ASSOCIATION IN ORDER<br/>TO: PROVIDE FOR A<br/>PLURALITY VOTING<br/>STANDARD IN THE EVENT<br/>OF A CONTESTED<br/>ELECTION</p>  | Management | For | For |
| 5B. | <p>TO APPROVE THE<br/>AMENDMENT OF THE<br/>COMPANY'S ARTICLES OF<br/>ASSOCIATION IN ORDER<br/>TO: GRANT THE BOARD</p>   | Management | For | For |

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OF DIRECTORS SOLE  
AUTHORITY TO  
DETERMINE ITS SIZE

6. TO APPROVE THE  
REDUCTION OF COMPANY CAPITAL Management For For

7. TO CONSIDER A  
SHAREHOLDER PROPOSAL  
REGARDING AN ANNUAL  
REPORT ON LOBBYING  
ACTIVITIES, IF PROPERLY  
PRESENTED AT THE  
MEETING Shareholder Against For

8. TO CONSIDER A  
SHAREHOLDER PROPOSAL  
REGARDING AN  
INDEPENDENT BOARD  
CHAIRMAN, IF PROPERLY  
PRESENTED AT THE  
MEETING Shareholder Against For

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27          | STATE STREET BANK & TRUST CO | 1,700         | 0                  | 26-Mar-2016 | 04-May-2016    |
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 2,800         | 0                  | 26-Mar-2016 | 04-May-2016    |
| 997AL29        | GLO            | 997AL29          | STATE STREET BANK & TRUST CO | 6,900         | 0                  | 26-Mar-2016 | 04-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 100           | 3,200              | 26-Mar-2016 | 04-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 5,400              | 26-Mar-2016 | 04-May-2016    |
| 997AL49        |                | 997AL49          |                              | 100           | 13,600             | 26-Mar-2016 | 04-May-2016    |

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GLO BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

KANSAS CITY SOUTHERN

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 485170302       | Meeting Type       | Annual                 |
| Ticker Symbol  | KSU             | Meeting Date       | 05-May-2016            |
| ISIN           | US4851703029    | Agenda             | 934364213 - Management |
| Record Date    | 07-Mar-2016     | Holding Recon Date | 07-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 04-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>LU M. CORDOVA   | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>TERRENCE P. DUNN  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>ANTONIO O. GARZA, JR.   | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>DAVID GARZA-SANTOS  | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>THOMAS A. MCDONNELL   | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>DAVID L. STARLING   | Management  | For  | For                    |
| 2.   | RATIFICATION OF THE<br>AUDIT COMMITTEE'S<br>SELECTION OF KPMG LLP<br>AS OUR INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>2016. | Management  | For  | For                    |
| 3.   | APPROVAL OF THE<br>KANSAS CITY SOUTHERN<br>ANNUAL INCENTIVE PLAN<br>FOR PURPOSES OF<br>INTERNAL REVENUE<br>CODE SECTION 162(M).              | Management  | For  | For                    |
| 4.   |  | Management  | For  | For                    |

ADVISORY  
(NON-BINDING) VOTE  
APPROVING THE 2015  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS.

APPROVAL OF A  
STOCKHOLDER PROPOSAL  
ON PROXY ACCESS WITH  
DIFFERENT TERMS FROM  
THE COMPANY'S  
CURRENT PROXY ACCESS  
PROVISIONS.

5. Shareholder  
Against For

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 1,000         | 18,900             | 31-Mar-2016 | 06-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 100           | 26,600             | 31-Mar-2016 | 06-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 16,500        | 66,000             | 31-Mar-2016 | 06-May-2016    |
| 997AL61        | GLQ MS PLEDGE  | 997AL61<br>STATE STREET BANK & TRUST CO | 6,000         | 0                  | 31-Mar-2016 | 06-May-2016    |

THE GABELLI EQUITY TRUST INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 362397101       | Meeting Type       | Annual                 |
| Ticker Symbol  | GAB             | Meeting Date       | 09-May-2016            |
| ISIN           | US3623971013    | Agenda             | 934358195 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 06-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal | Proposed by | Vote | For/Against |
|------|----------|-------------|------|-------------|
|------|----------|-------------|------|-------------|



Management

1. DIRECTOR Management

- |   |                          |     |     |
|---|--------------------------|-----|-----|
| 1 | MARIO J. GABELLI,<br>CFA | For | For |
| 2 | ARTHUR V.<br>FERRARA     | For | For |
| 3 | WILLIAM F.<br>HEITMANN   | For | For |

| Account Number | Account Name   | Internal Account Custodian           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 158,900       | 0                  | 26-Mar-2016 | 10-May-2016    |

SERVICE CORPORATION INTERNATIONAL

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 817565104       | Meeting Type       | Annual                 |
| Ticker Symbol  | SCI             | Meeting Date       | 11-May-2016            |
| ISIN           | US8175651046    | Agenda             | 934351014 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 10-May-2016            |

SEDOL(s) Quick Code

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

1. DIRECTOR Management

- |   |                       |     |     |
|---|-----------------------|-----|-----|
| 1 | ALAN R.<br>BUCKWALTER | For | For |
| 2 | VICTOR L. LUND        | For | For |
| 3 | JOHN W. MECOM         | For | For |
| 4 | ELLEN OCHOA           | For | For |

2. TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR Management

For For

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FISCAL 2016.

- |    |  |             |         |     |
|----|--|-------------|---------|-----|
| 3. | TO APPROVE, BY<br>ADVISORY VOTE, NAMED<br>EXECUTIVE OFFICER<br>COMPENSATION.                                 | Management  | For     | For |
| 4. | TO APPROVE THE 2016<br>EQUITY INCENTIVE PLAN.  | Management  | For     | For |
| 5. | TO APPROVE THE<br>SHAREHOLDER PROPOSAL<br>REGARDING A SENIOR<br>EXECUTIVE STOCK<br>RETENTION<br>REQUIREMENT. | Shareholder | Against | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 9,100         | 0                  | 01-Apr-2016 | 12-May-2016    |
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 15,300        | 0                  | 01-Apr-2016 | 12-May-2016    |
| 997AL29        | GLO            | 997AL29<br>STATE STREET BANK & TRUST CO | 38,700        | 0                  | 01-Apr-2016 | 12-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 700           | 45,000             | 01-Apr-2016 | 12-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 17,900        | 58,600             | 01-Apr-2016 | 12-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 100           | 193,600            | 01-Apr-2016 | 12-May-2016    |

AMERICAN INTERNATIONAL GROUP, INC.

|          |           |              |        |
|----------|-----------|--------------|--------|
| Security | 026874784 | Meeting Type | Annual |
|----------|-----------|--------------|--------|

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|                |  |                    |                        |                        |
|----------------|--|--------------------|------------------------|------------------------|
| Ticker Symbol  | AIG  | Meeting Date       | 11-May-2016            |                        |
| ISIN           | US0268747849                                   | Agenda             | 934356735 - Management |                        |
| Record Date    | 21-Mar-2016                                    | Holding Recon Date | 21-Mar-2016            |                        |
| City / Country | / United States                                | Vote Deadline Date | 10-May-2016            |                        |
| SEDOL(s)       |  | Quick Code         |                        |                        |
| Item           | Proposal                                       | Proposed by        | Vote                   | For/Against Management |
| 1A.            | ELECTION OF DIRECTOR:<br>W. DON CORNWELL       | Management         | For                    | For                    |
| 1B.            | ELECTION OF DIRECTOR:<br>PETER R. FISHER       | Management         | For                    | For                    |
| 1C.            | ELECTION OF DIRECTOR:<br>JOHN H. FITZPATRICK   | Management         | For                    | For                    |
| 1D.            | ELECTION OF DIRECTOR:<br>PETER D. HANCOCK      | Management         | For                    | For                    |
| 1E.            | ELECTION OF DIRECTOR:<br>WILLIAM G. JURGENSEN  | Management         | For                    | For                    |
| 1F.            | ELECTION OF DIRECTOR:<br>CHRISTOPHER S. LYNCH  | Management         | For                    | For                    |
| 1G.            | ELECTION OF DIRECTOR:<br>SAMUEL J. MERKSAMER   | Management         | For                    | For                    |
| 1H.            | ELECTION OF DIRECTOR:<br>GEORGE L. MILES, JR.  | Management         | For                    | For                    |
| 1I.            | ELECTION OF DIRECTOR:<br>HENRY S. MILLER       | Management         | For                    | For                    |
| 1J.            | ELECTION OF DIRECTOR:<br>ROBERT S. MILLER      | Management         | For                    | For                    |
| 1K.            | ELECTION OF DIRECTOR:<br>LINDA A. MILLS        | Management         | For                    | For                    |
| 1L.            | ELECTION OF DIRECTOR:<br>SUZANNE NORA JOHNSON  | Management         | For                    | For                    |
| 1M.            | ELECTION OF DIRECTOR:<br>JOHN A. PAULSON       | Management         | For                    | For                    |
| 1N.            | ELECTION OF DIRECTOR:<br>RONALD A. RITTENMEYER | Management         | For                    | For                    |
| 1O.            | ELECTION OF DIRECTOR:<br>DOUGLAS M. STEENLAND  | Management         | For                    | For                    |

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- 1P. ELECTION OF DIRECTOR:  
THERESA M. STONE Management For For
2. TO VOTE, ON A  
NON-BINDING ADVISORY  
BASIS, TO APPROVE EXECUTIVE  
COMPENSATION. Management For For
3. TO ACT UPON A  
PROPOSAL TO RATIFY  
THE SELECTION OF  
PRICEWATERHOUSECOOPERS  
LLP AS AIG'S INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016. Management For For

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 1,400         | 0                  | 30-Mar-2016 | 12-May-2016    |
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 13,800        | 0                  | 30-Mar-2016 | 12-May-2016    |
| 997AL29        | GLO            | 997AL29<br>STATE STREET BANK & TRUST CO | 15,900        | 0                  | 30-Mar-2016 | 12-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 100           | 6,300              | 30-Mar-2016 | 12-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 100           | 59,500             | 30-Mar-2016 | 12-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 7,900         | 145,000            | 30-Mar-2016 | 12-May-2016    |
| 997AL53        |                | 997AL53                                 | 69,200        | 0                  | 30-Mar-2016 | 12-May-2016    |

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|         |                  |         |                                       |        |   |             |             |
|---------|------------------|---------|---------------------------------------|--------|---|-------------|-------------|
|         | GLO MS<br>PLEDGE |         | STATE<br>STREET<br>BANK &<br>TRUST CO |        |   |             |             |
| 997AL60 | GLV MS<br>PLEDGE | 997AL60 | STATE<br>STREET<br>BANK &<br>TRUST CO | 45,700 | 0 | 30-Mar-2016 | 12-May-2016 |
| 997AL61 | GLQ MS<br>PLEDGE | 997AL61 | STATE<br>STREET<br>BANK &<br>TRUST CO | 20,000 | 0 | 30-Mar-2016 | 12-May-2016 |

ALEXION PHARMACEUTICALS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 015351109       | Meeting Type       | Annual                 |
| Ticker Symbol  | ALXN            | Meeting Date       | 11-May-2016            |
| ISIN           | US0153511094    | Agenda             | 934360859 - Management |
| Record Date    | 15-Mar-2016     | Holding Recon Date | 15-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 10-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>LEONARD BELL               | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>FELIX BAKER                | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>DAVID R. BRENNAN           | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>M. MICHELE BURNS           | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>CHRISTOPHER J.<br>COUGHLIN | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>DAVID L. HALLAL            | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>JOHN T. MOLLEN             | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>R. DOUGLAS NORBY           | Management  | For  | For                    |

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- |     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 1I. | ELECTION OF DIRECTOR:<br>ALVIN S. PARVEN   | Management  | For     | For |
| 1J. | ELECTION OF DIRECTOR:<br>ANDREAS RUMMELT   | Management  | For     | For |
| 1K. | ELECTION OF DIRECTOR:<br>ANN M. VENEMAN  | Management  | For     | For |
| 2.  | APPROVAL OF A<br>NON-BINDING ADVISORY<br>VOTE OF THE 2015<br>COMPENSATION PAID TO<br>ALEXION'S NAMED<br>EXECUTIVE OFFICERS.  | Management  | For     | For |
| 3.  | RATIFICATION OF<br>APPOINTMENT BY BOARD<br>OF<br>PRICEWATERHOUSECOOPERS<br>LLP AS ALEXION'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM.                    | Management  | For     | For |
| 4.  | TO REQUEST THE BOARD<br>TO AMEND ALEXION'S<br>GOVERNING DOCUMENTS<br>TO GIVE SHAREHOLDERS<br>OWNING 10% OF ALEXION<br>STOCK THE POWER TO<br>CALL A SPECIAL<br>MEETING. | Shareholder | Against | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 1,700         | 0                  | 01-Apr-2016 | 12-May-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 2,800         | 0                  | 01-Apr-2016 | 12-May-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 7,000         | 0                  | 01-Apr-2016 | 12-May-2016    |

CARTER'S INC.

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 146229109       | Meeting Type       | Annual                 |
| Ticker Symbol  | CRI             | Meeting Date       | 11-May-2016            |
| ISIN           | US1462291097    | Agenda             | 934376650 - Management |
| Record Date    | 21-Mar-2016     | Holding Recon Date | 21-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 10-May-2016            |

SEDOL(s) Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF CLASS I DIRECTOR: VANESSA J. CASTAGNA   | Management  | For  | For                    |
| 1B.  | ELECTION OF CLASS I DIRECTOR: WILLIAM J. MONTGORIS  | Management  | For  | For                    |
| 1C.  | ELECTION OF CLASS I DIRECTOR: DAVID PULVER  | Management  | For  | For                    |
| 2.   | ADVISORY APPROVAL OF EXECUTIVE COMPENSATION.  | Management  | For  | For                    |
| 3.   | APPROVAL OF THE COMPANY'S AMENDED AND RESTATED EQUITY INCENTIVE PLAN.   | Management  | For  | For                    |
| 4.   | APPROVAL OF THE COMPANY'S AMENDED AND RESTATED ANNUAL INCENTIVE COMPENSATION PLAN.  | Management  | For  | For                    |
| 5.   | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2016. | Management  | For  | For                    |

|                |              |                            |               |                    |           |                |
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|
| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|

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|         |                   |  |     |        |             |             |
|---------|-------------------|--|-----|--------|-------------|-------------|
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100 | 11,600 | 08-Apr-2016 | 12-May-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 400 | 51,500 | 08-Apr-2016 | 12-May-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100 | 48,000 | 08-Apr-2016 | 12-May-2016 |

RADIAN GROUP INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 750236101       | Meeting Type       | Annual                 |
| Ticker Symbol  | RDN             | Meeting Date       | 11-May-2016            |
| ISIN           | US7502361014    | Agenda             | 934386156 - Management |
| Record Date    | 16-Mar-2016     | Holding Recon Date | 16-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 10-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                     | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A)  | ELECTION OF DIRECTOR:<br>HERBERT WENDER      | Management  | For  | For                    |
| 1B)  | ELECTION OF DIRECTOR:<br>DAVID C. CARNEY     | Management  | For  | For                    |
| 1C)  | ELECTION OF DIRECTOR:<br>HOWARD B. CULANG    | Management  | For  | For                    |
| 1D)  | ELECTION OF DIRECTOR:<br>LISA W. HESS        | Management  | For  | For                    |
| 1E)  | ELECTION OF DIRECTOR:<br>STEPHEN T. HOPKINS  | Management  | For  | For                    |
| 1F)  | ELECTION OF DIRECTOR:<br>SANFORD A. IBRAHIM  | Management  | For  | For                    |
| 1G)  | ELECTION OF DIRECTOR:<br>BRIAN D. MONTGOMERY | Management  | For  | For                    |
| 1H)  | ELECTION OF DIRECTOR:<br>GAETANO MUZIO       | Management  | For  | For                    |
| 1I)  |  | Management  | For  | For                    |



ELECTION OF DIRECTOR:  
GREGORY V. SERIO

- |     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1J) | ELECTION OF DIRECTOR:<br>NOEL J. SPIEGEL   | Management | For | For |
| 2.  | TO APPROVE, BY AN<br>ADVISORY, NON-BINDING<br>VOTE, THE OVERALL<br>COMPENSATION OF<br>RADIANT'S NAMED<br>EXECUTIVE OFFICERS.               | Management | For | For |
| 3.  | APPROVE AMENDMENT<br>TO CERTIFICATE OF<br>INCORPORATION TO<br>ALLOW STOCKHOLDERS<br>TO REMOVE DIRECTORS<br>WITH OR WITHOUT<br>CAUSE.       | Management | For | For |
| 4.  | RE-APPROVE THE<br>AMENDMENT TO THE<br>CERTIFICATE OF<br>INCORPORATION<br>RELATING TO RADIANT'S<br>TAX BENEFIT<br>PRESERVATION<br>STRATEGY. | Management | For | For |
| 5.  | TO RE-APPROVE THE<br>RADIANT GROUP INC. TAX<br>BENEFIT PRESERVATION<br>PLAN, AS AMENDED.   | Management | For | For |
| 6.  | RATIFY APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>AS INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>FISCAL 2016.              | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 8,100         | 50,000             | 13-Apr-2016 | 12-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET                 | 29,200        | 71,000             | 13-Apr-2016 | 12-May-2016    |

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|         |                   |  |        |         |             |             |
|---------|-------------------|--|--------|---------|-------------|-------------|
|         |                   | BANK &<br>TRUST CO                               |        |         |             |             |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 22,100 | 218,000 | 13-Apr-2016 | 12-May-2016 |

APACHE CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 037411105       | Meeting Type       | Annual                 |
| Ticker Symbol  | APA             | Meeting Date       | 12-May-2016            |
| ISIN           | US0374111054    | Agenda             | 934348562 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | ELECTION OF DIRECTOR:<br>ANNELL R. BAY  | Management  | For  | For                    |
| 2.   | ELECTION OF DIRECTOR:<br>JOHN J. CHRISTMANN IV                                      | Management  | For  | For                    |
| 3.   | ELECTION OF DIRECTOR:<br>CHANSOO JOUNG  | Management  | For  | For                    |
| 4.   | ELECTION OF DIRECTOR:<br>WILLIAM C.<br>MONTGOMERY                                   | Management  | For  | For                    |
| 5.   | RATIFICATION OF ERNST<br>& YOUNG LLP AS<br>APACHE'S INDEPENDENT<br>AUDITORS         | Management  | For  | For                    |
| 6.   | ADVISORY VOTE TO<br>APPROVE COMPENSATION<br>OF APACHE'S NAMED<br>EXECUTIVE OFFICERS | Management  | For  | For                    |
| 7.   | APPROVAL OF APACHE'S<br>2016 OMNIBUS<br>COMPENSATION PLAN                           | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|
|----------------|--------------|----------------------------|---------------|--------------------|-----------|----------------|

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|         |     |         |                              |        |   |             |             |
|---------|-----|---------|------------------------------|--------|---|-------------|-------------|
| 997AL27 | GLV | 997AL27 | STATE STREET BANK & TRUST CO | 16,400 | 0 | 29-Mar-2016 | 13-May-2016 |
| 997AL28 | GLQ | 997AL28 | STATE STREET BANK & TRUST CO | 26,800 | 0 | 29-Mar-2016 | 13-May-2016 |
| 997AL29 | GLO | 997AL29 | STATE STREET BANK & TRUST CO | 67,700 | 0 | 29-Mar-2016 | 13-May-2016 |

ARES CAPITAL CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 04010L103       | Meeting Type       | Annual                 |
| Ticker Symbol  | ARCC            | Meeting Date       | 12-May-2016            |
| ISIN           | US04010L1035    | Agenda             | 934358739 - Management |
| Record Date    | 04-Mar-2016     | Holding Recon Date | 04-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.1  | ELECTION OF DIRECTOR: R. KIPP DEVEER  | Management  | For  | For                    |
| 1.2  | ELECTION OF DIRECTOR: DANIEL G. KELLY, JR.  | Management  | For  | For                    |
| 1.3  | ELECTION OF DIRECTOR: ERIC B. SIEGEL  | Management  | For  | For                    |
| 2.   | TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27                    | 190,400       | 0                  | 26-Mar-2016 | 13-May-2016    |

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|         |     |         |                                       |         |   |             |             |
|---------|-----|---------|---------------------------------------|---------|---|-------------|-------------|
|         |     |         | STATE<br>STREET<br>BANK &<br>TRUST CO |         |   |             |             |
| 997AL28 | GLQ | 997AL28 | STATE<br>STREET<br>BANK &<br>TRUST CO | 137,300 | 0 | 26-Mar-2016 | 13-May-2016 |
| 997AL29 | GLO | 997AL29 | STATE<br>STREET<br>BANK &<br>TRUST CO | 370,200 | 0 | 26-Mar-2016 | 13-May-2016 |

ARES CAPITAL CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 04010L103       | Meeting Type       | Special                |
| Ticker Symbol  | ARCC            | Meeting Date       | 12-May-2016            |
| ISIN           | US04010L1035    | Agenda             | 934367954 - Management |
| Record Date    | 04-Mar-2016     | Holding Recon Date | 04-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE SUBJECT TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS ... (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL) | Management  | For  | For                    |

|              |           |           |
|--------------|-----------|-----------|
| Account Name | Custodian | Vote Date |
|--------------|-----------|-----------|

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| Account Number |     | Internal Account                        | Ballot Shares | Unavailable Shares |             | Date Confirmed |
|----------------|-----|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV | 997AL27<br>STATE STREET BANK & TRUST CO | 190,400       | 0                  | 01-Apr-2016 | 13-May-2016    |
| 997AL28        | GLQ | 997AL28<br>STATE STREET BANK & TRUST CO | 137,300       | 0                  | 01-Apr-2016 | 13-May-2016    |
| 997AL29        | GLO | 997AL29<br>STATE STREET BANK & TRUST CO | 370,200       | 0                  | 01-Apr-2016 | 13-May-2016    |

KURA ONCOLOGY, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 50127T109       | Meeting Type       | Annual                 |
| Ticker Symbol  | KURA            | Meeting Date       | 12-May-2016            |
| ISIN           | US50127T1097    | Agenda             | 934376294 - Management |
| Record Date    | 17-Mar-2016     | Holding Recon Date | 17-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 TROY E. WILSON, PHD, JD   |             | For  | For                    |
|      | 2 FAHEEM HASNAIN  |             | For  | For                    |
|      | 3 ROBERT E. HOFFMAN   |             | For  | For                    |
|      | 4 THOMAS MALLEY   |             | For  | For                    |
| 2.   | TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |

3. TO APPROVE AN AMENDMENT TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS SET FORTH IN THE PROXY STATEMENT. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 69,700        | 0                  | 07-Apr-2016 | 13-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 116,800       | 0                  | 07-Apr-2016 | 13-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 288,200       | 0                  | 07-Apr-2016 | 13-May-2016    |

ALLISON TRANSMISSION HOLDINGS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 01973R101       | Meeting Type       | Annual                 |
| Ticker Symbol  | ALSN            | Meeting Date       | 12-May-2016            |
| ISIN           | US01973R1014    | Agenda             | 934381029 - Management |
| Record Date    | 28-Mar-2016     | Holding Recon Date | 28-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: DAVID F. DENISON TO SERVE UNTIL 2017 ANNUAL MEETING IS PROPOSAL 4 IS APPROVED OR ELSE UNTIL 2019 ANNUAL MEETING IF NOT APPROVED. | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:  | Management  | For  | For                    |

DAVID C. EVERITT TO  
SERVE UNTIL 2017  
ANNUAL MEETING IS  
PROPOSAL 4 IS APPROVED  
OR ELSE UNTIL 2019  
ANNUAL MEETING IF NOT  
APPROVED.

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1C. | ELECTION OF DIRECTOR:<br>JAMES A. STAR TO SERVE<br>UNTIL 2017 ANNUAL<br>MEETING IS PROPOSAL 4<br>IS APPROVED OR ELSE<br>UNTIL 2019 ANNUAL<br>MEETING IF NOT<br>APPROVED. | Management | For | For |
|-----|--|------------|-----|-----|

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 2. | TO RATIFY THE<br>APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>LLP AS OUR<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>2016. | Management | For | For |
|----|---|------------|-----|-----|

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 3. | AN ADVISORY<br>NON-BINDING VOTE TO<br>APPROVE THE<br>COMPENSATION PAID TO<br>OUR NAMED EXECUTIVE<br>OFFICERS. | Management | For | For |
|----|---|------------|-----|-----|

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 4. | TO APPROVE AN<br>AMENDMENT TO OUR<br>SECOND AMENDED AND<br>RESTATE CERTIFICATE<br>OF INCORPORATION TO<br>DECLASSIFY OUR BOARD<br>AND TO PROVIDE FOR<br>ANNUAL ELECTION OF<br>ALL DIRECTORS. | Management | For | For |
|----|---|------------|-----|-----|

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 61,041        | 0                  | 09-Apr-2016 | 13-May-2016    |

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|         |                   |  |         |   |             |             |
|---------|-------------------|--|---------|---|-------------|-------------|
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 101,477 | 0 | 09-Apr-2016 | 13-May-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 253,110 | 0 | 09-Apr-2016 | 13-May-2016 |

AVENUE INCOME CREDIT STRATEGIES FUND

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 05358E106       | Meeting Type       | Annual                 |
| Ticker Symbol  | ACP             | Meeting Date       | 12-May-2016            |
| ISIN           | US05358E1064    | Agenda             | 934381865 - Management |
| Record Date    | 23-Mar-2016     | Holding Recon Date | 23-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 11-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal                   | Proposed by | Vote | For/Against Management |
|------|----------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR                   | Management  |      |                        |
|      | 1 MS. JULIE DIEN<br>LEDOUX |             | For  | For                    |

| Account Number | Account Name      | Internal Custodian Account                       | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|-------------------|--|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 25,200        | 0                  | 09-Apr-2016 | 13-May-2016    |

CYS INVESTMENTS, INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 12673A108       | Meeting Type       | Annual                 |
| Ticker Symbol  | CYS             | Meeting Date       | 13-May-2016            |
| ISIN           | US12673A1088    | Agenda             | 934363920 - Management |
| Record Date    | 10-Mar-2016     | Holding Recon Date | 10-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 12-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote |
|------|----------|-------------|------|
|------|----------|-------------|------|



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|    |                          | For/Against<br>Management |         |
|----|--------------------------|---------------------------|---------|
| 1. | DIRECTOR                 | Management                |         |
|    | 1 KEVIN E. GRANT         |                           | For For |
|    | 2 TANYA S. BEDER         |                           | For For |
|    | 3 KAREN HAMMOND          |                           | For For |
|    | 4 JEFFREY P. HUGHES      |                           | For For |
|    | 5 STEPHEN P. JONAS       |                           | For For |
|    | R.A.                     |                           |         |
|    | 6 REDLINGSHAFFER,<br>JR. |                           | For For |
|    | 7 DALE A. REISS          |                           | For For |
|    | 8 JAMES A. STERN         |                           | For For |
|    | 9 DAVID A. TYSON,<br>PHD |                           | For For |

2. ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management For For

3. TO CONSIDER AND ACT UPON A PROPOSAL TO RATIFY, CONFIRM AND APPROVE THE SELECTION OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. Management For For

| Account Number | Account Name  | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|---------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL60        | GLV MS PLEDGE | 997AL60 STATE STREET BANK & TRUST CO | 152,000       | 0                  | 31-Mar-2016 | 16-May-2016    |

WHITING PETROLEUM CORPORATION

Security 966387102 Meeting Type Annual

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Ticker Symbol  | WLL             | Meeting Date       | 17-May-2016            |
| ISIN           | US9663871021    | Agenda             | 934357422 - Management |
| Record Date    | 22-Mar-2016     | Holding Recon Date | 22-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 16-May-2016            |

|          |            |
|----------|------------|
| SEDOL(s) | Quick Code |
|----------|------------|

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 THOMAS L. ALLER   |             | For  | For                    |
|      | 2 JAMES E. CATLIN   |             | For  | For                    |
|      | 3 MICHAEL B. WALEN  |             | For  | For                    |
| 2.   | APPROVAL OF ADVISORY RESOLUTION ON COMPENSATION OF NAMED EXECUTIVE OFFICERS.  | Management  | For  | For                    |
| 3.   | RATIFICATION OF APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.   | Management  | For  | For                    |
| 4.   | ADOPTION AND APPROVAL OF AMENDMENT TO RESTATED CERTIFICATE OF INCORPORATION TO DECLASSIFY OUR BOARD OF DIRECTORS AND PROVIDE FOR ANNUAL ELECTIONS OF DIRECTORS. | Management  | For  | For                    |
| 5.   | ADOPTION AND APPROVAL OF AMENDMENT TO RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK.                        | Management  | For  | For                    |

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6. APPROVAL OF AMENDMENT AND RESTATEMENT TO WHITING PETROLEUM CORPORATION 2013 EQUITY INCENTIVE PLAN. Management For For

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 16,000        | 0                  | 05-Apr-2016 | 18-May-2016    |
| 997AL28        | GLQ          | 997AL28 STATE STREET BANK & TRUST CO | 26,000        | 0                  | 05-Apr-2016 | 18-May-2016    |
| 997AL29        | GLO          | 997AL29 STATE STREET BANK & TRUST CO | 65,700        | 0                  | 05-Apr-2016 | 18-May-2016    |

MORGAN STANLEY

Security 617446448 Meeting Type Annual  
 Ticker Symbol MS Meeting Date 17-May-2016  
 ISIN US6174464486 Agenda 934366673 - Management  
 Record Date 21-Mar-2016 Holding Recon Date 21-Mar-2016  
 City / Country / United States Vote Deadline Date 16-May-2016

SEDOL(s)

| Item | Proposal                                | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: ERSKINE B. BOWLES | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: ALISTAIR DARLING  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: THOMAS H. GLOCER  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: JAMES P. GORMAN   | Management  | For  | For                    |
| 1E.  |   | Management  | For  | For                    |

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|     |   |             |         |     |
|-----|---|-------------|---------|-----|
|     | ELECTION OF DIRECTOR:<br>ROBERT H. HERZ   |             |         |     |
| 1F. | ELECTION OF DIRECTOR:<br>NOBUYUKI HIRANO  | Management  | For     | For |
| 1G. | ELECTION OF DIRECTOR:<br>KLAUS KLEINFELD  | Management  | For     | For |
| 1H. | ELECTION OF DIRECTOR:<br>JAMI MISCIK  | Management  | For     | For |
| 1I. | ELECTION OF DIRECTOR:<br>DONALD T. NICOLAISEN   | Management  | For     | For |
| 1J. | ELECTION OF DIRECTOR:<br>HUTHAM S. OLAYAN   | Management  | For     | For |
| 1K. | ELECTION OF DIRECTOR:<br>JAMES W. OWENS   | Management  | For     | For |
| 1L. | ELECTION OF DIRECTOR:<br>RYOSUKE TAMAKOSHI  | Management  | For     | For |
| 1M. | ELECTION OF DIRECTOR:<br>PERRY M. TRAQUINA  | Management  | For     | For |
| 1N. | ELECTION OF DIRECTOR:<br>RAYFORD WILKINS, JR.   | Management  | For     | For |
| 2.  | TO RATIFY THE<br>APPOINTMENT OF<br>DELOITTE & TOUCHE LLP<br>AS INDEPENDENT<br>AUDITOR   | Management  | For     | For |
| 3.  | TO APPROVE THE<br>COMPENSATION OF<br>EXECUTIVES AS<br>DISCLOSED IN THE PROXY<br>STATEMENT (NON-<br>BINDING ADVISORY<br>RESOLUTION)  | Management  | For     | For |
| 4.  | TO APPROVE THE<br>AMENDMENT OF THE 2007<br>EQUITY INCENTIVE<br>COMPENSATION PLAN TO<br>INCREASE THE NUMBER<br>OF AUTHORIZED SHARES<br>AND ADD PERFORMANCE<br>MEASURES FOR CERTAIN<br>AWARDS | Management  | For     | For |
| 5.  | SHAREHOLDER PROPOSAL<br>REGARDING A CHANGE IN   | Shareholder | Against | For |

THE TREATMENT OF  
ABSTENTIONS FOR  
PURPOSES OF  
VOTE-COUNTING

SHAREHOLDER PROPOSAL  
REGARDING A POLICY TO  
PROHIBIT VESTING OF  
DEFERRED EQUITY  
AWARDS FOR SENIOR  
EXECUTIVES WHO RESIGN  
TO ENTER GOVERNMENT  
SERVICE

6. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 7,400         | 0                  | 02-Apr-2016 | 18-May-2016    |
| 997AL28        | GLQ            | 997AL28 STATE STREET BANK & TRUST CO | 12,100        | 0                  | 02-Apr-2016 | 18-May-2016    |
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 30,600        | 0                  | 02-Apr-2016 | 18-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 1,300         | 78,087             | 02-Apr-2016 | 18-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 600           | 131,596            | 02-Apr-2016 | 18-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 12,613        | 319,000            | 02-Apr-2016 | 18-May-2016    |

JPMORGAN CHASE & CO.

|               |              |              |                        |
|---------------|--------------|--------------|------------------------|
| Security      | 46625H100    | Meeting Type | Annual                 |
| Ticker Symbol | JPM          | Meeting Date | 17-May-2016            |
| ISIN          | US46625H1005 | Agenda       | 934367257 - Management |

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| Record Date    | 18-Mar-2016  | Holding Recon Date | 18-Mar-2016                 |
|----------------|--|--------------------|-----------------------------|
| City / Country | / United States  | Vote Deadline Date | 16-May-2016                 |
| SEDOL(s)       |  | Quick Code         |                             |
| Item           | Proposal   | Proposed by        | Vote For/Against Management |
| 1A.            | ELECTION OF DIRECTOR:<br>LINDA B. BAMMANN                              | Management         | For For                     |
| 1B.            | ELECTION OF DIRECTOR:<br>JAMES A. BELL                                 | Management         | For For                     |
| 1C.            | ELECTION OF DIRECTOR:<br>CRANDALL C. BOWLES                            | Management         | For For                     |
| 1D.            | ELECTION OF DIRECTOR:<br>STEPHEN B. BURKE                              | Management         | For For                     |
| 1E.            | ELECTION OF DIRECTOR:<br>JAMES S. CROWN                                | Management         | For For                     |
| 1F.            | ELECTION OF DIRECTOR:<br>JAMES DIMON                                   | Management         | For For                     |
| 1G.            | ELECTION OF DIRECTOR:<br>TIMOTHY P. FLYNN                              | Management         | For For                     |
| 1H.            | ELECTION OF DIRECTOR:<br>LABAN P. JACKSON, JR.                         | Management         | For For                     |
| 1I.            | ELECTION OF DIRECTOR:<br>MICHAEL A. NEAL                               | Management         | For For                     |
| 1J.            | ELECTION OF DIRECTOR:<br>LEE R. RAYMOND                                | Management         | For For                     |
| 1K.            | ELECTION OF DIRECTOR:<br>WILLIAM C. WELDON                             | Management         | For For                     |
| 2.             | ADVISORY RESOLUTION<br>TO APPROVE EXECUTIVE<br>COMPENSATION            | Management         | For For                     |
| 3.             | RATIFICATION OF<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM | Management         | For For                     |
| 4.             | INDEPENDENT BOARD<br>CHAIRMAN - REQUIRE AN<br>INDEPENDENT CHAIR        | Shareholder        | Against For                 |
| 5.             | HOW VOTES ARE<br>COUNTED - COUNT VOTES                                 | Shareholder        | Against For                 |

USING ONLY FOR AND  
AGAINST AND IGNORE  
ABSTENTIONS

- VESTING FOR  
GOVERNMENT SERVICE  
-PROHIBIT VESTING OF  
EQUITY-BASED AWARDS  
FOR SENIOR EXECUTIVES  
DUE TO VOLUNTARY  
RESIGNATION TO ENTER  
GOVERNMENT SERVICE
6. Shareholder Against For
- APPOINT A  
STOCKHOLDER VALUE  
COMMITTEE - ADDRESS  
WHETHER DIVESTITURE  
OF ALL NON- CORE  
BANKING BUSINESS  
SEGMENTS WOULD  
ENHANCE SHAREHOLDER  
VALUE
7. Shareholder Against For
- CLAWBACK AMENDMENT  
- DEFER COMPENSATION  
FOR 10 YEARS TO HELP  
SATISFY ANY MONETARY  
PENALTY ASSOCIATED  
WITH VIOLATION OF LAW
8. Shareholder Against For
- EXECUTIVE  
COMPENSATION  
PHILOSOPHY - ADOPT A  
BALANCED EXECUTIVE  
COMPENSATION  
PHILOSOPHY WITH  
SOCIAL FACTORS TO  
IMPROVE THE FIRM'S  
ETHICAL CONDUCT AND  
PUBLIC REPUTATION
9. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 100           | 18,100             | 08-Apr-2016 | 18-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET                 | 100           | 30,200             | 08-Apr-2016 | 18-May-2016    |

|         |                   |         |   |     |        |             |             |
|---------|-------------------|---------|---|-----|--------|-------------|-------------|
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | BANK &<br>TRUST CO<br><br>STATE<br>STREET<br>BANK &<br>TRUST CO | 200 | 75,000 | 08-Apr-2016 | 18-May-2016 |
|---------|-------------------|---------|---|-----|--------|-------------|-------------|

## COMCAST CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 20030N101       | Meeting Type       | Annual                 |
| Ticker Symbol  | CMCSA           | Meeting Date       | 19-May-2016            |
| ISIN           | US20030N1019    | Agenda             | 934357460 - Management |
| Record Date    | 10-Mar-2016     | Holding Recon Date | 10-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

## SEDOL(s)

## Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 KENNETH J. BACON  |             | For  | For                    |
|      | 2 MADELINE S. BELL  |             | For  | For                    |
|      | 3 SHELDON M. BONOVIKZ                                       |             | For  | For                    |
|      | 4 EDWARD D. BREEN   |             | For  | For                    |
|      | 5 JOSEPH J. COLLINS   |             | For  | For                    |
|      | 6 GERALD L. HASSELL   |             | For  | For                    |
|      | 7 JEFFREY A. HONICKMAN                                      |             | For  | For                    |
|      | 8 EDUARDO MESTRE  |             | For  | For                    |
|      | 9 BRIAN L. ROBERTS  |             | For  | For                    |
|      | 10 JOHNATHAN A. RODGERS                                     |             | For  | For                    |
|      | 11 DR. JUDITH RODIN   |             | For  | For                    |
| 2.   | RATIFICATION OF THE APPOINTMENT OF OUR INDEPENDENT AUDITORS | Management  | For  | For                    |
| 3.   | APPROVAL OF OUR AMENDED AND RESTATED 2002                   | Management  | For  | For                    |



## RESTRICTED STOCK PLAN

|     |   |             |         |     |
|-----|---|-------------|---------|-----|
| 4.  | APPROVAL OF OUR AMENDED AND RESTATED 2003 STOCK OPTION PLAN                                 | Management  | For     | For |
| 5.  | APPROVAL OF THE AMENDED AND RESTATED COMCAST CORPORATION 2002 EMPLOYEE STOCK PURCHASE PLAN  | Management  | For     | For |
| 6.  | APPROVAL OF THE AMENDED AND RESTATED COMCAST-NBCUNIVERSAL 2011 EMPLOYEE STOCK PURCHASE PLAN | Management  | For     | For |
| 7.  | TO PROVIDE A LOBBYING REPORT  | Shareholder | Against | For |
| 8.  | TO PROHIBIT ACCELERATED VESTING OF STOCK UPON A CHANGE IN CONTROL                           | Shareholder | Against | For |
| 9.  | TO REQUIRE AN INDEPENDENT BOARD CHAIRMAN  | Shareholder | Against | For |
| 10. | TO STOP 100-TO-ONE VOTING POWER   | Shareholder | Against | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 1,900         | 0                  | 09-Apr-2016 | 20-May-2016    |
| 997AL28        | GLQ          | STATE STREET BANK & TRUST CO<br>997AL28 | 3,200         | 0                  | 09-Apr-2016 | 20-May-2016    |
| 997AL29        | GLO          | STATE STREET BANK & TRUST CO<br>997AL29 | 19,900        | 0                  | 09-Apr-2016 | 20-May-2016    |

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|         |                   |  |       |        |             |             |
|---------|-------------------|--|-------|--------|-------------|-------------|
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 500   | 9,000  | 09-Apr-2016 | 20-May-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100   | 26,700 | 09-Apr-2016 | 20-May-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 200   | 67,000 | 09-Apr-2016 | 20-May-2016 |
| 997AL60 | GLV MS<br>PLEDGE  | 997AL60<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 9,500 | 0      | 09-Apr-2016 | 20-May-2016 |
| 997AL61 | GLQ MS<br>PLEDGE  | 997AL61<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 4,800 | 0      | 09-Apr-2016 | 20-May-2016 |

NORWEGIAN CRUISE LINE HOLDINGS LTD

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | G66721104       | Meeting Type       | Annual                 |
| Ticker Symbol  | NCLH            | Meeting Date       | 19-May-2016            |
| ISIN           | BMG667211046    | Agenda             | 934364439 - Management |
| Record Date    | 14-Mar-2016     | Holding Recon Date | 14-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>STEVE MARTINEZ  | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>FRANK J. DEL RIO  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>CHAD A. LEAT  | Management  | For  | For                    |
| 2.   | APPROVAL, ON A<br>NON-BINDING, ADVISORY<br>BASIS, OF THE<br>COMPENSATION OF OUR<br>NAMED EXECUTIVE | Management  | For  | For                    |

OFFICERS

RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP ("PWC") AS OUR

INDEPENDENT REGISTERED CERTIFIED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016 AND THE DETERMINATION OF PWC'S REMUNERATION BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS

3. Management For For

APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2013 PERFORMANCE INCENTIVE PLAN (THE "PLAN"), INCLUDING AN INCREASE IN THE NUMBER OF SHARES AVAILABLE FOR GRANT UNDER THE PLAN

4. Management For For

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 12,000        | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL28        | GLQ          | 997AL28 STATE STREET BANK & TRUST CO | 19,600        | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL29        | GLO          | 997AL29 STATE STREET BANK & TRUST CO | 49,300        | 0                  | 08-Apr-2016 | 20-May-2016    |

CVS HEALTH CORPORATION

Security 126650100 Meeting Type Annual  
 Ticker Symbol CVS Meeting Date 19-May-2016

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| ISIN           | US1266501006    | Agenda             | 934366584 - Management |
| Record Date    | 24-Mar-2016     | Holding Recon Date | 24-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: RICHARD M. BRACKEN                                 | Management  | For     | For                    |
| 1B.  | ELECTION OF DIRECTOR: C. DAVID BROWN II                                  | Management  | For     | For                    |
| 1C.  | ELECTION OF DIRECTOR: ALECIA A. DECOUDREAUX                              | Management  | For     | For                    |
| 1D.  | ELECTION OF DIRECTOR: NANCY-ANN M. DEPARLE                               | Management  | For     | For                    |
| 1E.  | ELECTION OF DIRECTOR: DAVID W. DORMAN                                    | Management  | For     | For                    |
| 1F.  | ELECTION OF DIRECTOR: ANNE M. FINUCANE                                   | Management  | For     | For                    |
| 1G.  | ELECTION OF DIRECTOR: LARRY J. MERLO                                     | Management  | For     | For                    |
| 1H.  | ELECTION OF DIRECTOR: JEAN-PIERRE MILLON                                 | Management  | For     | For                    |
| 1I.  | ELECTION OF DIRECTOR: RICHARD J. SWIFT                                   | Management  | For     | For                    |
| 1J.  | ELECTION OF DIRECTOR: WILLIAM C. WELDON                                  | Management  | For     | For                    |
| 1K.  | ELECTION OF DIRECTOR: TONY L. WHITE                                      | Management  | For     | For                    |
| 2.   | PROPOSAL TO RATIFY INDEPENDENT PUBLIC ACCOUNTING FIRM FOR 2016.          | Management  | For     | For                    |
| 3.   | SAY ON PAY - AN ADVISORY VOTE ON THE APPROVAL OF EXECUTIVE COMPENSATION. | Management  | For     | For                    |
| 4.   | STOCKHOLDER PROPOSAL REGARDING A REPORT ON                               | Shareholder | Against | For                    |

ALIGNMENT OF  
CORPORATE VALUES AND  
POLITICAL  
CONTRIBUTIONS.

5. STOCKHOLDER PROPOSAL REGARDING A REPORT ON EXECUTIVE PAY. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 100           | 9,000              | 08-Apr-2016 | 20-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 1,000         | 14,000             | 08-Apr-2016 | 20-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 6,300         | 31,100             | 08-Apr-2016 | 20-May-2016    |

TWO HARBORS INVESTMENT CORP.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 90187B101       | Meeting Type       | Annual                 |
| Ticker Symbol  | TWO             | Meeting Date       | 19-May-2016            |
| ISIN           | US90187B1017    | Agenda             | 934366976 - Management |
| Record Date    | 24-Mar-2016     | Holding Recon Date | 24-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                 | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: E. SPENCER ABRAHAM | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: JAMES J. BENDER    | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: STEPHEN G. KASNET  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: LISA A. POLLINA    | Management  | For  | For                    |

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|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1E. | ELECTION OF DIRECTOR:<br>WILLIAM ROTH  | Management | For | For |
| 1F. | ELECTION OF DIRECTOR:<br>W. REID SANDERS   | Management | For | For |
| 1G. | ELECTION OF DIRECTOR:<br>THOMAS E. SIERING   | Management | For | For |
| 1H. | ELECTION OF DIRECTOR:<br>BRIAN C. TAYLOR   | Management | For | For |
| 1I. | ELECTION OF DIRECTOR:<br>HOPE B. WOODHOUSE   | Management | For | For |
| 2.  | ADVISORY VOTE ON THE<br>COMPENSATION OF OUR<br>EXECUTIVE OFFICERS.   | Management | For | For |
| 3.  | RATIFICATION OF THE<br>APPOINTMENT OF ERNST<br>& YOUNG LLP TO SERVE<br>AS OUR INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>OUR FISCAL YEAR<br>ENDING DECEMBER 31,<br>2016. | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 69,300        | 0                  | 01-Apr-2016 | 20-May-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 44,900        | 0                  | 01-Apr-2016 | 20-May-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 113,500       | 0                  | 01-Apr-2016 | 20-May-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 60,991        | 153,000            | 01-Apr-2016 | 20-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 248,657       | 237,000            | 01-Apr-2016 | 20-May-2016    |

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|         |                   |         |   |        |           |             |             |
|---------|-------------------|---------|---|--------|-----------|-------------|-------------|
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | BANK &<br>TRUST CO<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 2,622  | 1,210,000 | 01-Apr-2016 | 20-May-2016 |
| 997AL60 | GLV MS<br>PLEDGE  | 997AL60 | BANK &<br>TRUST CO<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 37,900 | 0         | 01-Apr-2016 | 20-May-2016 |

PIONEER NATURAL RESOURCES COMPANY

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 723787107       | Meeting Type       | Annual                 |
| Ticker Symbol  | PXD             | Meeting Date       | 19-May-2016            |
| ISIN           | US7237871071    | Agenda             | 934367500 - Management |
| Record Date    | 24-Mar-2016     | Holding Recon Date | 24-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>EDISON C. BUCHANAN | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>ANDREW F. CATES    | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>TIMOTHY L. DOVE    | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>PHILLIP A. GOBE    | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>LARRY R. GRILLOT   | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>STACY P. METHVIN   | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>ROYCE W. MITCHELL  | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>FRANK A. RISCH     | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>SCOTT D. SHEFFIELD | Management  | For  | For                    |

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|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1J. | ELECTION OF DIRECTOR:<br>MONA K. SUTPHEN  | Management | For | For |
| 1K. | ELECTION OF DIRECTOR:<br>J. KENNETH THOMPSON  | Management | For | For |
| 1L. | ELECTION OF DIRECTOR:<br>PHOEBE A. WOOD   | Management | For | For |
| 1M. | ELECTION OF DIRECTOR:<br>MICHAEL D. WORTLEY   | Management | For | For |
| 2.  | RATIFICATION OF<br>SELECTION OF ERNST &<br>YOUNG LLP AS THE<br>COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>2016   | Management | For | For |
| 3.  | ADVISORY VOTE TO<br>APPROVE NAMED<br>EXECUTIVE OFFICER<br>COMPENSATION  | Management | For | For |
| 4.  | APPROVAL OF THE<br>AMENDED AND<br>RESTATED 2006<br>LONG-TERM INCENTIVE<br>PLAN  | Management | For | For |
| 5.  | APPROVAL OF THE<br>MATERIAL TERMS OF THE<br>AMENDED AND<br>RESTATED 2006<br>LONG-TERM INCENTIVE<br>PLAN TO COMPLY WITH<br>THE STOCKHOLDER<br>APPROVAL<br>REQUIREMENTS OF<br>SECTION 162(M) OF THE<br>INTERNAL REVENUE<br>CODE | Management | For | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 2,300         | 0                  | 08-Apr-2016 | 20-May-2016    |



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|         |                |         |                              |        |        |             |             |
|---------|----------------|---------|------------------------------|--------|--------|-------------|-------------|
| 997AL28 | GLQ            | 997AL28 | STATE STREET BANK & TRUST CO | 3,900  | 0      | 08-Apr-2016 | 20-May-2016 |
| 997AL29 | GLO            | 997AL29 | STATE STREET BANK & TRUST CO | 9,700  | 0      | 08-Apr-2016 | 20-May-2016 |
| 997AL45 | GLV BNP PLEDGE | 997AL45 | STATE STREET BANK & TRUST CO | 900    | 13,100 | 08-Apr-2016 | 20-May-2016 |
| 997AL47 | GLQ BNP PLEDGE | 997AL47 | STATE STREET BANK & TRUST CO | 1,000  | 22,000 | 08-Apr-2016 | 20-May-2016 |
| 997AL49 | GLO BNP PLEDGE | 997AL49 | STATE STREET BANK & TRUST CO | 10,230 | 47,000 | 08-Apr-2016 | 20-May-2016 |

CROWN CASTLE INTERNATIONAL CORP

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 22822V101       | Meeting Type       | Annual                 |
| Ticker Symbol  | CCI             | Meeting Date       | 19-May-2016            |
| ISIN           | US22822V1017    | Agenda             | 934367699 - Management |
| Record Date    | 28-Mar-2016     | Holding Recon Date | 28-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: P. ROBERT BARTOLO     | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: JAY A. BROWN          | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: CINDY CHRISTY         | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: ARI Q. FITZGERALD     | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: ROBERT E. GARRISON II | Management  | For  | For                    |

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|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 1F. | ELECTION OF DIRECTOR:<br>DALE N. HATFIELD   | Management | For | For |
| 1G. | ELECTION OF DIRECTOR:<br>LEE W. HOGAN   | Management | For | For |
| 1H. | ELECTION OF DIRECTOR:<br>EDWARD C. HUTCHESON,<br>JR.  | Management | For | For |
| 1I. | ELECTION OF DIRECTOR:<br>J. LANDIS MARTIN   | Management | For | For |
| 1J. | ELECTION OF DIRECTOR:<br>ROBERT F. MCKENZIE   | Management | For | For |
| 1K. | ELECTION OF DIRECTOR:<br>ANTHONY J. MELONE  | Management | For | For |
| 1L. | ELECTION OF DIRECTOR:<br>W. BENJAMIN MORELAND   | Management | For | For |
| 2.  | THE RATIFICATION OF<br>THE APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>LLP AS THE COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTANTS FOR<br>FISCAL YEAR 2016. | Management | For | For |
| 3.  | THE NON-BINDING,<br>ADVISORY VOTE<br>REGARDING THE<br>COMPENSATION OF THE<br>COMPANY'S NAMED<br>EXECUTIVE OFFICERS.   | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 11,500        | 4,000              | 05-Apr-2016 | 20-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 18,600        | 0                  | 05-Apr-2016 | 20-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 47,100        | 17,000             | 05-Apr-2016 | 20-May-2016    |

|         |                  |         |   |       |   |             |             |
|---------|------------------|---------|---|-------|---|-------------|-------------|
| 997AL61 | GLQ MS<br>PLEDGE | 997AL61 | TRUST CO<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 6,900 | 0 | 05-Apr-2016 | 20-May-2016 |
|---------|------------------|---------|---|-------|---|-------------|-------------|

CONTINENTAL RESOURCES, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 212015101       | Meeting Type       | Annual                 |
| Ticker Symbol  | CLR             | Meeting Date       | 19-May-2016            |
| ISIN           | US2120151012    | Agenda             | 934369112 - Management |
| Record Date    | 24-Mar-2016     | Holding Recon Date | 24-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote    | For/Against Management |
|------|---|-------------|---------|------------------------|
| 1.   | DIRECTOR  | Management  |         |                        |
|      | 1 HAROLD G. HAMM  |             | For     | For                    |
|      | 2 JOHN T. MCNABB, II  |             | For     | For                    |
| 2.   | RATIFICATION OF SELECTION OF GRANT THORNTON LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.                           | Management  | For     | For                    |
| 3.   | SHAREHOLDER PROPOSAL REPORT ON STEPS TAKEN TO FOSTER BOARD GENDER DIVERSITY.  | Shareholder | Against | For                    |
| 4.   | SHAREHOLDER PROPOSAL REPORT ON MONITORING AND MANAGING THE LEVEL OF METHANE EMISSIONS FROM OPERATIONS.                      | Shareholder | Against | For                    |
| 5.   | SHAREHOLDER PROPOSAL REPORT ON RESULTS OF POLICIES AND PRACTICES TO MINIMIZE THE IMPACT OF HYDRAULIC FRACTURING OPERATIONS. | Shareholder | Against | For                    |

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| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 2,200         | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 3,600         | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 9,100         | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 37,100        | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 60,200        | 0                  | 08-Apr-2016 | 20-May-2016    |
| 997AL49        | GLO BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL49 | 152,400       | 0                  | 08-Apr-2016 | 20-May-2016    |

WPX ENERGY, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 98212B103       | Meeting Type       | Annual                 |
| Ticker Symbol  | WPX             | Meeting Date       | 19-May-2016            |
| ISIN           | US98212B1035    | Agenda             | 934371422 - Management |
| Record Date    | 28-Mar-2016     | Holding Recon Date | 28-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

| Item | Proposal                             | Proposed by | Vote | For/Against Management |
|------|--------------------------------------|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: JOHN A. CARRIG | Management  | For  | For                    |
| 1B.  |                                      | Management  | For  | For                    |

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|     |   |             |  |         |     |
|-----|---|-------------|--|---------|-----|
|     | ELECTION OF DIRECTOR:<br>WILLIAM R. GRANBERRY   |             |  |         |     |
| 1C. | ELECTION OF DIRECTOR:<br>KELT KINDICK   | Management  |  | For     | For |
| 1D. | ELECTION OF DIRECTOR:<br>KARL F. KURZ   | Management  |  | For     | For |
| 1E. | ELECTION OF DIRECTOR:<br>HENRY E. LENTZ   | Management  |  | For     | For |
| 1F. | ELECTION OF DIRECTOR:<br>WILLIAM G. LOWRIE  | Management  |  | For     | For |
| 1G. | ELECTION OF DIRECTOR:<br>KIMBERLY S. LUBEL  | Management  |  | For     | For |
| 1H. | ELECTION OF DIRECTOR:<br>DAVID F. WORK  | Management  |  | For     | For |
| 2.  | SAY ON PAY - AN<br>ADVISORY VOTE ON THE<br>APPROVAL OF EXECUTIVE<br>COMPENSATION.   | Management  |  | For     | For |
| 3.  | PROPOSAL TO RATIFY<br>THE APPOINTMENT OF<br>ERNST & YOUNG LLP AS<br>THE INDEPENDENT<br>PUBLIC ACCOUNTING<br>FIRM FOR THE COMPANY<br>FOR THE YEAR ENDING<br>DECEMBER 31, 2016. | Management  |  | For     | For |
| 4.  | STOCKHOLDER PROPOSAL<br>REGARDING REPORTING<br>OF COMPANY'S EFFORTS<br>TO MONITOR AND<br>MANAGE METHANE<br>EMISSIONS.   | Shareholder |  | Against | For |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 120,100       | 0                  | 07-Apr-2016 | 20-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 195,700       | 0                  | 07-Apr-2016 | 20-May-2016    |

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|         |                   |  |         |   |             |             |
|---------|-------------------|--|---------|---|-------------|-------------|
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 494,500 | 0 | 07-Apr-2016 | 20-May-2016 |
|---------|-------------------|--|---------|---|-------------|-------------|

ROYAL CARIBBEAN CRUISES LTD.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | V7780T103       | Meeting Type       | Annual                 |
| Ticker Symbol  | RCL             | Meeting Date       | 20-May-2016            |
| ISIN           | LR0008862868    | Agenda             | 934374769 - Management |
| Record Date    | 30-Mar-2016     | Holding Recon Date | 30-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 19-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>JOHN F. BROCK      | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>RICHARD D. FAIN    | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>WILLIAM L. KIMSEY  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>MARITZA G. MONTIEL | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>ANN S. MOORE       | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>EYAL M. OFER       | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>THOMAS J. PRITZKER | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>WILLIAM K. REILLY  | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>BERNT REITAN       | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR:<br>VAGN O. SORENSEN   | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR:<br>DONALD THOMPSON    | Management  | For  | For                    |
| 1L.  | ELECTION OF DIRECTOR:<br>ARNE ALEXANDER     | Management  | For  | For                    |

WILHELMSSEN

- |    |  |             |         |     |
|----|--|-------------|---------|-----|
| 2. | ADVISORY APPROVAL OF THE COMPANY'S COMPENSATION OF ITS NAMED EXECUTIVE OFFICERS.   | Management  | For     | For |
| 3. | APPROVAL OF THE AMENDED AND RESTATED 2008 EQUITY INCENTIVE PLAN.   | Management  | For     | For |
| 4. | RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. | Management  | For     | For |
| 5. | THE SHAREHOLDER PROPOSAL REGARDING PROXY ACCESS SET FORTH IN THE ACCOMPANYING PROXY STATEMENT.   | Shareholder | Against |     |

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 7,200         | 0                  | 09-Apr-2016 | 23-May-2016    |
| 997AL28        | GLQ          | 997AL28 STATE STREET BANK & TRUST CO | 11,800        | 0                  | 09-Apr-2016 | 23-May-2016    |
| 997AL29        | GLO          | 997AL29 STATE STREET BANK & TRUST CO | 29,800        | 0                  | 09-Apr-2016 | 23-May-2016    |

SANCHEZ ENERGY CORP.

|               |              |              |                        |
|---------------|--------------|--------------|------------------------|
| Security      | 79970Y105    | Meeting Type | Annual                 |
| Ticker Symbol | SN           | Meeting Date | 24-May-2016            |
| ISIN          | US79970Y1055 | Agenda       | 934398555 - Management |

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Record Date 01-Apr-2016 Holding Recon Date 01-Apr-2016  
 City / Country / United States Vote Deadline Date 23-May-2016

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 GILBERT A. GARCIA  |             | For  | For                    |
|      | 2 SEAN M. MAHER  |             | For  | For                    |
| 2.   | PROPOSAL TO AMEND THE COMPANY'S SECOND AMENDED AND RESTATED 2011 LONG TERM INCENTIVE PLAN (THE "PLAN") TO INCREASE THE NUMBER OF SHARES AVAILABLE FOR INCENTIVE AWARDS UNDER THE PLAN BY 5 MILLION ADDITIONAL SHARES OF COMMON STOCK | Management  | For  | For                    |
| 3.   | ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS  | Management  | For  | For                    |
| 4.   | PROPOSAL TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR   | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 54,700        | 0                  | 21-Apr-2016 | 25-May-2016    |
| 997AL28        | GLQ          | 997AL28                                 | 89,300        | 0                  | 21-Apr-2016 | 25-May-2016    |



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STATE  
STREET  
BANK &  
TRUST CO

|         |     |         |                                       |         |   |             |             |
|---------|-----|---------|---------------------------------------|---------|---|-------------|-------------|
| 997AL29 | GLO | 997AL29 | STATE<br>STREET<br>BANK &<br>TRUST CO | 225,700 | 0 | 21-Apr-2016 | 25-May-2016 |
|---------|-----|---------|---------------------------------------|---------|---|-------------|-------------|

TOTAL S.A.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 89151E109       | Meeting Type       | Annual                 |
| Ticker Symbol  | TOT             | Meeting Date       | 24-May-2016            |
| ISIN           | US89151E1091    | Agenda             | 934417797 - Management |
| Record Date    | 22-Apr-2016     | Holding Recon Date | 22-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 18-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | APPROVAL OF THE PARENT COMPANY'S FINANCIAL STATEMENTS FOR THE 2015 FISCAL YEAR.  | Management  | For  | For                    |
| 2.   | APPROVAL OF THE CONSOLIDATED FINANCIAL STATEMENTS FOR THE 2015 FISCAL YEAR.  | Management  | For  | For                    |
| 3.   | ALLOCATION OF EARNINGS, DECLARATION OF DIVIDEND AND OPTION FOR THE PAYMENT OF THE REMAINING DIVIDEND FOR THE 2015 FISCAL YEAR IN NEW SHARES. | Management  | For  | For                    |
| 4.   | OPTION FOR THE PAYMENT OF INTERIM DIVIDENDS FOR THE 2016 FISCAL YEAR IN NEW SHARES - DELEGATION OF POWERS TO THE BOARD OF DIRECTORS.         | Management  | For  | For                    |

|     |  |             |         |         |
|-----|--|-------------|---------|---------|
| 5.  | AUTHORIZATION FOR THE BOARD OF DIRECTORS TO TRADE IN SHARES OF THE COMPANY.  | Management  | For     | For     |
| 6.  | RENEWAL OF THE APPOINTMENT OF MR. GERARD LAMARCHE AS A DIRECTOR.   | Management  | For     | For     |
| 7.  | APPOINTMENT OF MS. MARIA VAN DER HOEVEN AS A DIRECTOR.   | Management  | For     | For     |
| 8.  | APPOINTMENT OF MR. JEAN LEMIERRE AS A DIRECTOR.  | Management  | For     | For     |
| 9.  | APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MS. RENATA PERYCZ).   | Management  | For     | For     |
| 9A. | APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MR. CHARLES KELLER) (RESOLUTION NOT APPROVED BY THE BOARD). | Shareholder | Against | Against |
| 9B. | APPOINTMENT OF A DIRECTOR REPRESENTING EMPLOYEE SHAREHOLDERS (CANDIDATE: MR. WERNER GUYOT) (RESOLUTION NOT APPROVED BY THE BOARD).   | Shareholder | Against | Against |
| 10. | RENEWAL OF THE APPOINTMENT OF ERNST AND YOUNG AUDIT AS STATUTORY AUDITORS.   | Management  | For     | For     |
| 11. | RENEWAL OF THE APPOINTMENT OF KPMG S.A. AS STATUTORY   | Management  | For     | For     |

## AUDITORS.

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 12. | RENEWAL OF THE APPOINTMENT OF AUDITEX AS AN ALTERNATE AUDITOR.   | Management | For | For |
| 13. | APPOINTMENT OF SALUSTRO REYDEL S.A. AS AN ALTERNATE AUDITOR  | Management | For | For |
| 14. | AGREEMENT COVERED BY ARTICLE L. 225-38 OF THE FRENCH COMMERCIAL CODE CONCERNING MR. THIERRY DESMAREST.   | Management | For | For |
| 15. | COMMITMENTS UNDER ARTICLE L. 225-42-1 OF THE FRENCH COMMERCIAL CODE CONCERNING MR. PATRICK POUYANNE.   | Management | For | For |
| 16. | ADVISORY OPINION ON THE ELEMENTS OF COMPENSATION DUE OR GRANTED FOR THE FISCAL YEAR ENDED DECEMBER 31, 2015 TO MR. THIERRY DESMAREST.  | Management | For | For |
| 17. | ADVISORY OPINION ON THE ELEMENTS OF COMPENSATION DUE OR GRANTED FOR THE FISCAL YEAR ENDED DECEMBER 31, 2015 TO MR. PATRICK POUYANNE, CHIEF EXECUTIVE OFFICER UNTIL DECEMBER 18, 2015 AND CHAIRMAN AND CHIEF EXECUTIVE OFFICER SINCE DECEMBER 19, 2015. | Management | For | For |
| 18. | DELEGATION OF AUTHORITY GRANTED TO THE BOARD OF DIRECTORS TO INCREASE  | Management | For | For |

THE SHARE CAPITAL BY  
ISSUING COMMON  
SHARES AND/OR ANY  
SECURITIES PROVIDING  
ACCESS TO THE  
COMPANY'S SHARE  
CAPITAL WHILE  
MAINTAINING  
SHAREHOLDERS'  
PREFERENTIAL  
SUBSCRIPTION RIGHTS OR  
BY CAPITALIZING  
PREMIUMS, RESERVES,  
SURPLUSES OR OTHER  
LINE ITEMS.

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO INCREASE  
THE SHARE CAPITAL BY  
ISSUING COMMON  
SHARES OR ANY  
SECURITIES PROVIDING  
ACCESS TO SHARE  
CAPITAL WITHOUT  
PREFERENTIAL  
SUBSCRIPTION RIGHTS.

19.

Management

For

For

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO ISSUE, BY  
AN OFFER UNDER  
ARTICLE L. 411-2 II OF THE  
FRENCH MONETARY AND  
FINANCIAL CODE, NEW  
COMMON SHARES AND  
ANY SECURITIES  
PROVIDING ACCESS TO  
THE COMPANY'S SHARE  
CAPITAL, WITHOUT  
PREFERENTIAL  
SUBSCRIPTION RIGHTS.

20.

Management

For

For

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS IN THE CASE  
OF A SHARE CAPITAL  
INCREASE WITHOUT

21.

Management

For

For

PREFERENTIAL  
SUBSCRIPTION RIGHTS IN  
ORDER TO INCREASE THE  
NUMBER OF SECURITIES  
TO BE ISSUED.

DELEGATION OF POWERS  
GRANTED TO THE BOARD  
OF DIRECTORS TO  
INCREASE THE SHARE  
CAPITAL BY ISSUING  
COMMON SHARES OR  
ANY SECURITIES  
PROVIDING ACCESS TO  
SHARE CAPITAL IN

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 22. | PAYMENT OF SECURITIES<br>THAT WOULD BE<br>CONTRIBUTED TO THE<br>COMPANY, WHICH<br>ENTAILS SHAREHOLDERS'<br>WAIVER OF THEIR<br>PREEMPTIVE RIGHT TO<br>SUBSCRIBE THE SHARES<br>ISSUED TO REMUNERATE<br>IN-KIND CONTRIBUTIONS. | Management | For | For |
|-----|---|------------|-----|-----|

DELEGATION OF  
AUTHORITY GRANTED TO  
THE BOARD OF  
DIRECTORS TO INCREASE  
THE SHARE CAPITAL  
UNDER THE CONDITIONS  
PROVIDED IN ARTICLES L.  
3332-18 AND FOLLOWING  
OF THE FRENCH LABOR  
CODE, WHICH ENTAILS  
SHAREHOLDERS' WAIVER  
OF THEIR PREEMPTIVE  
RIGHT TO SUBSCRIBE THE  
SHARES ISSUED DUE TO  
THE SUBSCRIPTION OF  
SHARES BY GROUP  
EMPLOYEES.

- |     |   |            |     |     |
|-----|---|------------|-----|-----|
| 23. | OF THE FRENCH LABOR<br>CODE, WHICH ENTAILS<br>SHAREHOLDERS' WAIVER<br>OF THEIR PREEMPTIVE<br>RIGHT TO SUBSCRIBE THE<br>SHARES ISSUED DUE TO<br>THE SUBSCRIPTION OF<br>SHARES BY GROUP<br>EMPLOYEES. | Management | For | For |
|-----|---|------------|-----|-----|

- |     |  |            |     |     |
|-----|--|------------|-----|-----|
| 24. | AUTHORIZATION<br>GRANTED TO THE BOARD<br>OF DIRECTORS FOR A<br>38-MONTH PERIOD TO<br>GRANT RESTRICTED<br>SHARES OF THE<br>COMPANY (EXISTING OR | Management | For | For |
|-----|--|------------|-----|-----|

TO BE ISSUED) TO SOME OR ALL EMPLOYEES AND EXECUTIVE DIRECTORS OF THE GROUP, AND UNDER WHICH ENTAILS SHAREHOLDERS WAIVE THEIR PREEMPTIVE RIGHT TO SUBSCRIBE SHARES ISSUED IN FAVOR OF THE BENEFICIARIES OF SUCH SHARE ALLOCATIONS.

AUTHORIZATION GRANTED TO THE BOARD OF DIRECTORS FOR A 38-MONTH PERIOD TO AUTHORIZE SHARE SUBSCRIPTION OR SHARE PURCHASE OPTIONS TO CERTAIN EMPLOYEES

|     |   |            |     |     |
|-----|---|------------|-----|-----|
| 25. | AND EXECUTIVE DIRECTORS OF THE GROUP, AND UNDER WHICH SHAREHOLDERS WAIVE THEIR PREEMPTIVE RIGHT TO SUBSCRIBE SHARES ISSUED UNDER STOCK OPTIONS. | Management | For | For |
|-----|---|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 12,000        | 0                  | 05-May-2016 | 20-May-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 19,600        | 0                  | 05-May-2016 | 20-May-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 49,500        | 0                  | 05-May-2016 | 20-May-2016    |

PENNYMAC MORTGAGE INVESTMENT TRUST

|          |           |              |        |
|----------|-----------|--------------|--------|
| Security | 70931T103 | Meeting Type | Annual |
|----------|-----------|--------------|--------|

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Ticker Symbol  | PMT             | Meeting Date       | 25-May-2016            |
| ISIN           | US70931T1034    | Agenda             | 934373250 - Management |
| Record Date    | 28-Mar-2016     | Holding Recon Date | 28-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 24-May-2016            |

SEDOL(s) Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 SCOTT W. CARNAHAN   |             | For  | For                    |
|      | 2 FRANK P. WILLEY   |             | For  | For                    |
| 2.   | TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |
| 3.   | TO APPROVE, BY NON-BINDING VOTE, OUR EXECUTIVE COMPENSATION.  | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 7,514         | 72,000             | 08-Apr-2016 | 26-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 100           | 133,988            | 08-Apr-2016 | 26-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 100           | 332,191            | 08-Apr-2016 | 26-May-2016    |

TEAM HEALTH HOLDINGS, INC.

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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 87817A107       | Meeting Type       | Annual                 |
| Ticker Symbol  | TMH             | Meeting Date       | 25-May-2016            |
| ISIN           | US87817A1079    | Agenda             | 934381055 - Management |
| Record Date    | 28-Mar-2016     | Holding Recon Date | 28-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 24-May-2016            |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>H. LYNN MASSINGALE,<br>M.D.  | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>MICHAEL D. SNOW  | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>EDWIN M. CRAWFORD  | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>SCOTT OSTFELD  | Management  | For  | For                    |
| 2.   | RATIFICATION OF THE<br>APPOINTMENT OF ERNST<br>& YOUNG LLP AS OUR<br>INDEPENDENT PUBLIC<br>ACCOUNTING FIRM FOR<br>2016. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 6,600         | 0                  | 12-Apr-2016 | 26-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 11,000        | 0                  | 12-Apr-2016 | 26-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 27,000        | 0                  | 12-Apr-2016 | 26-May-2016    |

LIBERTY BROADBAND CORPORATION



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|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 530307107       | Meeting Type       | Annual                 |
| Ticker Symbol  | LBRDA           | Meeting Date       | 25-May-2016            |
| ISIN           | US5303071071    | Agenda             | 934382463 - Management |
| Record Date    | 05-Apr-2016     | Holding Recon Date | 05-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 24-May-2016            |

|          |  |             |      |                        |
|----------|--|-------------|------|------------------------|
| SEDOL(s) | Quick Code   |             |      |                        |
| Item     | Proposal   | Proposed by | Vote | For/Against Management |
| 1.       | DIRECTOR   | Management  |      |                        |
|          | 1 GREGORY B. MAFFEI  |             | For  | For                    |
|          | 2 RICHARD R. GREEN   |             | For  | For                    |
| 2.       | A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 7,303         | 5,225              | 16-Apr-2016 | 26-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 11,767        | 10,325             | 16-Apr-2016 | 26-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 29,739        | 22,275             | 16-Apr-2016 | 26-May-2016    |

KERYX BIOPHARMACEUTICALS, INC.

|               |              |              |                        |
|---------------|--------------|--------------|------------------------|
| Security      | 492515101    | Meeting Type | Annual                 |
| Ticker Symbol | KERX         | Meeting Date | 25-May-2016            |
| ISIN          | US4925151015 | Agenda       | 934385293 - Management |

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|                |   |             |                    |                        |
|----------------|---|-------------|--------------------|------------------------|
| Record Date    | 31-Mar-2016   |             | Holding Recon Date | 31-Mar-2016            |
| City / Country | / United States   |             | Vote Deadline Date | 24-May-2016            |
| SEDOL(s)       |   |             | Quick Code         |                        |
| Item           | Proposal  | Proposed by | Vote               | For/Against Management |
| 1.             | DIRECTOR  | Management  |                    |                        |
|                | 1 KEVIN J. CAMERON  |             | For                | For                    |
|                | 2 JOHN P. BUTLER  |             | For                | For                    |
|                | 3 STEVE C. GILMAN   |             | For                | For                    |
|                | 4 GREGORY P. MADISON  |             | For                | For                    |
|                | 5 DANIEL P. REGAN   |             | For                | For                    |
|                | 6 MICHAEL ROGERS  |             | For                | For                    |
| 2.             | THE RATIFICATION OF APPOINTMENT OF UHY LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016.              | Management  | For                | For                    |
| 3.             | THE APPROVAL OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN OUR PROXY STATEMENT.   | Management  | For                | For                    |
| 4.             | THE APPROVAL OF AN AMENDMENT TO OUR CERTIFICATE OF INCORPORATION TO INCREASE OUR AUTHORIZED SHARE CAPITAL BY 50,000,000 SHARES OF COMMON STOCK. | Management  | For                | For                    |
| 5.             | THE APPROVAL OF OUR AMENDED AND RESTATED 2013 INCENTIVE PLAN TO INCREASE THE NUMBER OF AUTHORIZED SHARES  | Management  | For                | For                    |

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ISSUABLE THEREUNDER  
FROM 9,500,000 TO  
18,000,000 AND TO  
INSTITUTE A CAP ON  
DIRECTOR EQUITY  
COMPENSATION.

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 21,200        | 0                  | 16-Apr-2016 | 26-May-2016    |
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 35,400        | 0                  | 16-Apr-2016 | 26-May-2016    |
| 997AL29        | GLO            | 997AL29<br>STATE STREET BANK & TRUST CO | 87,500        | 0                  | 16-Apr-2016 | 26-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 100           | 84,500             | 16-Apr-2016 | 26-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 100           | 141,400            | 16-Apr-2016 | 26-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 100,200       | 250,000            | 16-Apr-2016 | 26-May-2016    |

CHINA MOBILE LIMITED, HONG KONG

|                |                       |                    |                        |
|----------------|-----------------------|--------------------|------------------------|
| Security       | Y14965100             | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |                       | Meeting Date       | 26-May-2016            |
| ISIN           | HK0941009539          | Agenda             | 706912210 - Management |
| Record Date    | 19-May-2016           | Holding Recon Date | 19-May-2016            |
| City / Country | HONG KONG / Hong Kong | Vote Deadline Date | 12-May-2016            |
| SEDOL(s)       | 5563575 - 6073556 -   | Quick Code         |                        |

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B01DDV9 -  
 B162JB0 - BP3RPD0  
 - BRTM834

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| CMMT | PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE.   | Non-Voting  |      |                        |
| CMMT | PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411659.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411659.pdf</a> -AND-<br><a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411647.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0411/LTN20160411647.pdf</a> | Non-Voting  |      |                        |
| 1    | TO RECEIVE AND CONSIDER THE AUDITED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS AND AUDITORS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2015  | Management  | For  | For                    |
| 2    | TO DECLARE A FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2015: HKD 1.196 PER SHARE  | Management  | For  | For                    |
| 3.I  | TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF THE COMPANY : MR. SHANG BING  | Management  | For  | For                    |
| 3.II | TO RE-ELECT THE FOLLOWING PERSON AS EXECUTIVE DIRECTOR OF   | Management  | For  | For                    |

THE COMPANY : MR. LI  
YUE

3.III TO RE-ELECT THE  
FOLLOWING PERSON AS  
EXECUTIVE DIRECTOR OF Management For For  
THE COMPANY : MR. SHA  
YUEJIA

3.IV TO RE-ELECT THE  
FOLLOWING PERSON AS  
EXECUTIVE DIRECTOR OF Management For For  
THE COMPANY : MR. LIU  
AILI

4 TO RE-APPOINT  
PRICewaterhouseCOOPERS  
AND  
PRICewaterhouseCOOPERS  
ZHONG TIAN LLP AS THE  
AUDITORS OF THE GROUP  
FOR HONG KONG Management For For  
FINANCIAL REPORTING  
AND US. FINANCIAL  
REPORTING PURPOSES,  
RESPECTIVELY, AND TO  
AUTHORIZE THE  
DIRECTORS TO FIX THEIR  
REMUNERATION

5 TO GIVE A GENERAL  
MANDATE TO THE  
DIRECTORS OF THE  
COMPANY TO BUY BACK  
SHARES IN THE COMPANY  
NOT EXCEEDING 10% OF Management For For  
THE NUMBER OF ISSUED  
SHARES IN ACCORDANCE  
WITH ORDINARY  
RESOLUTION NUMBER 5  
AS SET OUT IN THE AGM  
NOTICE

6 TO GIVE A GENERAL Management For For  
MANDATE TO THE  
DIRECTORS OF THE  
COMPANY TO ISSUE,  
ALLOT AND DEAL WITH  
ADDITIONAL SHARES IN  
THE COMPANY NOT  
EXCEEDING 20% OF THE  
NUMBER OF ISSUED

SHARES IN ACCORDANCE  
WITH ORDINARY  
RESOLUTION NUMBER 6  
AS SET OUT IN THE AGM  
NOTICE

TO EXTEND THE GENERAL  
MANDATE GRANTED TO  
THE DIRECTORS OF THE  
COMPANY TO ISSUE,  
ALLOT AND DEAL WITH  
SHARES BY THE NUMBER  
OF SHARES BOUGHT  
BACK IN ACCORDANCE  
WITH ORDINARY  
RESOLUTION NUMBER 7  
AS SET OUT IN THE AGM  
NOTICE

7 Management For For

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 76,500        | 0                  | 27-Apr-2016 | 23-May-2016    |
| AL28           | AL28         | STATE STREET BANK          | 124,500       | 0                  | 27-Apr-2016 | 23-May-2016    |
| AL29           | AL29         | STATE STREET BANK          | 314,500       | 0                  | 27-Apr-2016 | 23-May-2016    |

HEALTHWAYS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 422245100       | Meeting Type       | Annual                 |
| Ticker Symbol  | HWAY            | Meeting Date       | 26-May-2016            |
| ISIN           | US4222451001    | Agenda             | 934384215 - Management |
| Record Date    | 31-Mar-2016     | Holding Recon Date | 31-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 25-May-2016            |

SEDOL(s)

| Item | Proposal                                 | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: MARY JANE ENGLAND, | Management  | For  | For                    |

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M.D.

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 1B. | ELECTION OF DIRECTOR:<br>ARCHELLE GEORGIU,<br>M.D.   | Management | For | For |
| 1C. | ELECTION OF DIRECTOR:<br>ROBERT J. GRECZYN, JR.  | Management | For | For |
| 1D. | ELECTION OF DIRECTOR:<br>PETER A. HUDSON, M.D.   | Management | For | For |
| 1E. | ELECTION OF DIRECTOR:<br>BRADLEY S. KARRO  | Management | For | For |
| 1F. | ELECTION OF DIRECTOR:<br>PAUL H. KECKLEY, PH.D.  | Management | For | For |
| 1G. | ELECTION OF DIRECTOR:<br>CONAN J. LAUGHLIN   | Management | For | For |
| 1H. | ELECTION OF DIRECTOR:<br>LEE A. SHAPIRO  | Management | For | For |
| 1I. | ELECTION OF DIRECTOR:<br>DONATO J. TRAMUTO   | Management | For | For |
| 1J. | ELECTION OF DIRECTOR:<br>KEVIN G. WILLS  | Management | For | For |
| 2.  | TO CONSIDER AND ACT<br>UPON A NON-BINDING<br>ADVISORY VOTE TO<br>APPROVE EXECUTIVE<br>COMPENSATION AS<br>DISCLOSED IN THE PROXY<br>STATEMENT.  | Management | For | For |
| 3.  | TO RATIFY THE<br>APPOINTMENT OF<br>PRICEWATERHOUSECOOPERS<br>LLP AS THE COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>FISCAL YEAR ENDING<br>DECEMBER 31, 2016. | Management | For | For |

| Account Number | Account Name   | Internal Custodian Account  | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|-----------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & | 3,149         | 111,600            | 16-Apr-2016 | 27-May-2016    |

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|         |                   | TRUST CO   |        |         |             |             |
|---------|-------------------|--|--------|---------|-------------|-------------|
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 15,011 | 172,000 | 16-Apr-2016 | 27-May-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 7,133  | 475,000 | 16-Apr-2016 | 27-May-2016 |
| 997AL61 | GLQ MS<br>PLEDGE  | 997AL61<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 4,300  | 0       | 16-Apr-2016 | 27-May-2016 |

AMSURG CORP.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 03232P405       | Meeting Type       | Annual                 |
| Ticker Symbol  | AMSG            | Meeting Date       | 26-May-2016            |
| ISIN           | US03232P4054    | Agenda             | 934406148 - Management |
| Record Date    | 08-Apr-2016     | Holding Recon Date | 08-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 25-May-2016            |

SEDOL(s)

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.1  | ELECTION OF CLASS I DIRECTOR: JAMES A. DEAL                                       | Management  | For  | For                    |
| 1.2  | ELECTION OF CLASS I DIRECTOR: STEVEN I. GERINGER                                  | Management  | For  | For                    |
| 1.3  | ELECTION OF CLASS I DIRECTOR: CLAIRE M. GULMI                                     | Management  | For  | For                    |
| 2.   | APPROVAL, ON AN ADVISORY BASIS, OF THE COMPANY'S EXECUTIVE COMPENSATION.          | Management  | For  | For                    |
| 3.   | APPROVAL OF THE AMENDED AND RESTATED AMSURG CORP. 2014 EQUITY AND INCENTIVE PLAN. | Management  | For  | For                    |



RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2016.

4. Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 2,600         | 6,200              | 27-Apr-2016 | 27-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 14,300        | 0                  | 27-Apr-2016 | 27-May-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 16,200        | 20,000             | 27-Apr-2016 | 27-May-2016    |

CERNER CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 156782104       | Meeting Type       | Annual                 |
| Ticker Symbol  | CERN            | Meeting Date       | 27-May-2016            |
| ISIN           | US1567821046    | Agenda             | 934401580 - Management |
| Record Date    | 30-Mar-2016     | Holding Recon Date | 30-Mar-2016            |
| City / Country | / United States | Vote Deadline Date | 26-May-2016            |

SEDOL(s)

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: GERALD E. BISBEE, JR., PH.D. | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: DENIS A. CORTESE, M.D.       | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: LINDA M. DILLMAN             | Management  | For  | For                    |
| 2.   |  | Management  | For  | For                    |

RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF CERNER CORPORATION FOR 2016.

3. APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. Management For For

4. APPROVAL OF THE AMENDMENT AND RESTATEMENT OF THE CERNER CORPORATION PERFORMANCE-BASED COMPENSATION PLAN. Management For For

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | STATE STREET BANK & TRUST CO<br>997AL27 | 6,100         | 0                  | 23-Apr-2016 | 23-Apr-2016    |
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 10,000        | 0                  | 23-Apr-2016 | 23-Apr-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 25,400        | 0                  | 23-Apr-2016 | 23-Apr-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 100           | 8,700              | 23-Apr-2016 | 23-Apr-2016    |
| 997AL47        | GLQ BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL47 | 100           | 14,400             | 23-Apr-2016 | 23-Apr-2016    |
| 997AL49        | GLO BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL49 | 100           | 36,100             | 23-Apr-2016 | 23-Apr-2016    |

## TRUST CO

## SHIRE PLC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 82481R106       | Meeting Type       | Annual                 |
| Ticker Symbol  | SHPG            | Meeting Date       | 27-May-2016            |
| ISIN           | US82481R1068    | Agenda             | 934410856 - Management |
| Record Date    | 21-Apr-2016     | Holding Recon Date | 21-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 19-May-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1    | APPROVAL OF THE MERGER, ALLOTMENT OF SHARES AND INCREASE IN BORROWING LIMIT THAT: (A) THE PROPOSED COMBINATION BY THE COMPANY, THROUGH ITS WHOLLY-OWNED SUBSIDIARY, BEARTRACKS, INC., WITH THE OUTSTANDING COMMON STOCK WITH BAXALTA INC. (THE "MERGER"), ON THE TERMS AND CONDITIONS SET OUT IN THE MERGER AGREEMENT (AS DEFINED IN, AND PARTICULARS OF WHICH ARE SUMMARISED IN, THE CIRCULAR OF THE COMPANY DATED 18 APRIL 2016), TOGETHER WITH ALL OTHER AGREEMENTS AND ANCILLARY ARRANGEMENTS ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL) | Management  | For  | For                    |
| 2    | AUTHORITY TO ALLOT SHARES THAT, SUBJECT TO AND CONDITIONAL UPON THE MERGER  | Management  | For  | For                    |

HAVING BEEN IMPLEMENTED AND THE NEW ORDINARY SHARES IN THE COMPANY HAVING BEEN ISSUED PURSUANT THERETO, ANY PREVIOUS AUTHORITY TO ALLOT RELEVANT SECURITIES (AS DEFINED IN THE ARTICLES), CONFERRED ON THE DIRECTORS BY SHAREHOLDERS PURSUANT TO ARTICLE 10 OF THE ARTICLES (INCLUDING ANY UNUSED AUTHORITY CONFERRED BY RESOLUTION 1 ABOVE) BE CANCELLED AND THE AUTHORITY TO ALLOT RELEVANT SECURITIES CONFERRED ON THE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 3 | DISAPPLICATION OF PRE-EMPTION RIGHTS: THAT, SUBJECT TO THE PASSING OF RESOLUTION 2 AND SUBJECT TO AND CONDITIONAL UPON THE MERGER HAVING BEEN IMPLEMENTED AND THE NEW ORDINARY SHARES IN THE COMPANY HAVING BEEN ISSUED PURSUANT THERETO, ANY PREVIOUS AUTHORITY TO ALLOT EQUITY SECURITIES (AS DEFINED IN THE ARTICLES) WHOLLY FOR CASH CONFERRED ON THE DIRECTORS BY SHAREHOLDERS PURSUANT TO ARTICLE 10 OF THE ARTICLES OF ASSOCIATION BE CANCELLED AND THE AUTHORITY TO ALLOT EQUITY ... (DUE TO SPACE LIMITS, SEE PROXY | Management | For | For |
|---|--|------------|-----|-----|

MATERIAL FOR FULL PROPOSAL)

PURCHASE OF OWN SHARES: THAT, SUBJECT TO AND CONDITIONAL UPON THE MERGER HAVING BEEN IMPLEMENTED AND THE NEW ORDINARY SHARES IN THE COMPANY HAVING BEEN ISSUED PURSUANT THERETO, ANY PREVIOUS AUTHORITY CONFERRED ON THE COMPANY TO MAKE MARKET PURCHASES OF ITS SHARES AND TO HOLD TREASURY SHARES BE CANCELLED AND THE COMPANY BE GENERALLY AND UNCONDITIONALLY AUTHORISED: (A) PURSUANT TO ARTICLE 57 OF THE COMPANIES (JERSEY) LAW 1991 TO MAKE MARKET PURCHASES OF ORDINARY SHARES IN THE ... (DUE TO SPACE LIMITS, SEE PROXY MATERIAL FOR FULL PROPOSAL)

4 Management For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 15,100        | 0                  | 29-Apr-2016 | 23-May-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 3,700         | 0                  | 29-Apr-2016 | 23-May-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 6,000         | 0                  | 29-Apr-2016 | 23-May-2016    |

## TRUST CO

## WILLIAM LYON HOMES

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 552074700       | Meeting Type       | Annual                 |
| Ticker Symbol  | WLH             | Meeting Date       | 31-May-2016            |
| ISIN           | US5520747008    | Agenda             | 934389885 - Management |
| Record Date    | 08-Apr-2016     | Holding Recon Date | 08-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 27-May-2016            |

## SEDOL(s)

## Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 DOUGLAS K. AMMERMAN  |             | For  | For                    |
|      | 2 MICHAEL BARR   |             | For  | For                    |
|      | 3 THOMAS F. HARRISON   |             | For  | For                    |
|      | 4 GARY H. HUNT   |             | For  | For                    |
|      | 5 GENERAL WILLIAM LYON   |             | For  | For                    |
|      | 6 WILLIAM H. LYON  |             | For  | For                    |
|      | 7 MATTHEW R. NIEMANN   |             | For  | For                    |
|      | 8 LYNN CARLSON SCHELL  |             | For  | For                    |
| 2.   | RATIFICATION OF THE SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF WILLIAM LYON HOMES FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |
| 3.   | ADVISORY (NON-BINDING) VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE   | Management  | For  | For                    |

OFFICERS, AS DESCRIBED  
IN THE PROXY  
MATERIALS.

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | STATE STREET BANK & TRUST CO<br>997AL28 | 71,200        | 0                  | 22-Apr-2016 | 01-Jun-2016    |
| 997AL29        | GLO            | STATE STREET BANK & TRUST CO<br>997AL29 | 179,676       | 0                  | 22-Apr-2016 | 01-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | STATE STREET BANK & TRUST CO<br>997AL45 | 43,700        | 0                  | 22-Apr-2016 | 01-Jun-2016    |

DYNAVAX TECHNOLOGIES CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 268158201       | Meeting Type       | Annual                 |
| Ticker Symbol  | DVAX            | Meeting Date       | 31-May-2016            |
| ISIN           | US2681582019    | Agenda             | 934407607 - Management |
| Record Date    | 06-Apr-2016     | Holding Recon Date | 06-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 27-May-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 DENNIS A. CARSON, M.D.  |             | For  | For                    |
|      | 2 EDDIE GRAY  |             | For  | For                    |
|      | 3 LAURA BREGE   |             | For  | For                    |
| 2.   | TO AMEND AND RESTATE THE DYNAVAX TECHNOLOGIES CORPORATION 2011 EQUITY INCENTIVE PLAN TO, AMONG OTHER THINGS, INCREASE THE | Management  | For  | For                    |

AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THAT PLAN BY 3,200,000, AND TO APPROVE THE AWARD LIMITS AND OTHER TERMS APPLICABLE TO AWARDS INTENDED TO QUALIFY AS "PERFORMANCE-BASED COMPENSATION" FOR PURPOSES OF SECTION 162(M) OF THE INTERNAL REVENUE CODE.

TO AMEND AND RESTATE THE DYNAVAX TECHNOLOGIES CORPORATION 2014 EMPLOYEE STOCK PURCHASE PLAN TO INCREASE THE AGGREGATE NUMBER OF SHARES OF COMMON STOCK AUTHORIZED FOR ISSUANCE UNDER THAT PLAN BY 200,000.

|    |            |     |     |
|----|------------|-----|-----|
| 3. | Management | For | For |
|----|------------|-----|-----|

TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.

|    |            |     |     |
|----|------------|-----|-----|
| 4. | Management | For | For |
|----|------------|-----|-----|

TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2016.

|    |            |     |     |
|----|------------|-----|-----|
| 5. | Management | For | For |
|----|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27                    | 7,400         | 0                  | 28-Apr-2016 | 01-Jun-2016    |



|         |                   |  |        |         |             |             |
|---------|-------------------|--|--------|---------|-------------|-------------|
|         |                   | STATE<br>STREET<br>BANK &<br>TRUST CO            |        |         |             |             |
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 19,900 | 0       | 28-Apr-2016 | 01-Jun-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 45,200 | 0       | 28-Apr-2016 | 01-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 999    | 112,000 | 28-Apr-2016 | 01-Jun-2016 |

ALBANY MOLECULAR RESEARCH, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 012423109       | Meeting Type       | Annual                 |
| Ticker Symbol  | AMRI            | Meeting Date       | 01-Jun-2016            |
| ISIN           | US0124231095    | Agenda             | 934411884 - Management |
| Record Date    | 14-Apr-2016     | Holding Recon Date | 14-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 31-May-2016            |

SEDOL(s)

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1.   | DIRECTOR   | Management  |      |                        |
|      | 1 THOMAS E. D'AMBRA, PH.D  |             | For  | For                    |
|      | 2 DAVID H. DEMING  |             | For  | For                    |
|      | 3 GERARDO GUTIERREZ  |             | For  | For                    |
| 2.   | A RATIFICATION OF THE COMPANY'S SELECTION OF KPMG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2016 FISCAL YEAR. | Management  | For  | For                    |
| 3.   |  | Management  | For  | For                    |

PROPOSAL TO APPROVE  
THE SENIOR EXECUTIVE  
CASH INCENTIVE BONUS  
PLAN.

ADVISORY VOTE TO  
APPROVE THE

4. COMPENSATION OF THE Management For For  
COMPANY'S NAMED  
EXECUTIVE OFFICERS.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 10,000        | 49,500             | 30-Apr-2016 | 02-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 98,800        | 0                  | 30-Apr-2016 | 02-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 42,360        | 205,000            | 30-Apr-2016 | 02-Jun-2016    |

ACCELERON PHARMA INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 00434H108       | Meeting Type       | Annual                 |
| Ticker Symbol  | XLRN            | Meeting Date       | 02-Jun-2016            |
| ISIN           | US00434H1086    | Agenda             | 934388718 - Management |
| Record Date    | 04-Apr-2016     | Holding Recon Date | 04-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal               | Proposed by | Vote | For/Against Management |
|------|------------------------|-------------|------|------------------------|
| 1.   | DIRECTOR               | Management  |      |                        |
|      | 1 TOM MANIATIS, PH.D.  |             | For  | For                    |
|      | 2 RICHARD F. POPS      |             | For  | For                    |
|      | 3 JOSEPH S. ZAKRZEWSKI |             | For  | For                    |

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2. TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT. Management For For
3. TO APPROVE THE ACCELERON PHARMA INC. SHORT-TERM INCENTIVE COMPENSATION PLAN. Management For For
4. TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. Management For For

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 7,100         | 0                  | 16-Apr-2016 | 03-Jun-2016    |
| 997AL28        | GLQ          | STATE STREET BANK & TRUST CO<br>997AL28 | 11,600        | 0                  | 16-Apr-2016 | 03-Jun-2016    |
| 997AL29        | GLO          | STATE STREET BANK & TRUST CO<br>997AL29 | 28,796        | 0                  | 16-Apr-2016 | 03-Jun-2016    |

PARSLEY ENERGY, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 701877102       | Meeting Type       | Annual                 |
| Ticker Symbol  | PE              | Meeting Date       | 02-Jun-2016            |
| ISIN           | US7018771029    | Agenda             | 934394709 - Management |
| Record Date    | 11-Apr-2016     | Holding Recon Date | 11-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2016            |

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| SEDOL(s) |   |             | Quick Code |                        |
|----------|---|-------------|------------|------------------------|
| Item     | Proposal  | Proposed by | Vote       | For/Against Management |
| 1A.      | ELECTION OF DIRECTOR:<br>DAVID H. SMITH   | Management  | For        | For                    |
| 1B.      | ELECTION OF DIRECTOR:<br>RANDOLPH NEWCOMER,<br>JR.  | Management  | For        | For                    |
| 1C.      | ELECTION OF DIRECTOR:<br>WILLIAM BROWNING   | Management  | For        | For                    |
| 2.       | TO RATIFY THE<br>APPOINTMENT OF KPMG<br>LLP AS THE COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTANTS FOR THE<br>FISCAL YEAR ENDED<br>DECEMBER 31, 2016.                          | Management  | For        | For                    |
| 3.       | TO APPROVE, ON A<br>NON-BINDING ADVISORY<br>BASIS, THE<br>COMPENSATION OF THE<br>COMPANY'S NAMED<br>EXECUTIVE OFFICERS<br>FOR THE FISCAL YEAR<br>ENDED DECEMBER 31,<br>2015.                | Management  | For        | For                    |
| 4.       | TO APPROVE, ON A<br>NON-BINDING ADVISORY<br>BASIS, HOW FREQUENTLY<br>THE COMPANY SHOULD<br>SEEK AN ADVISORY VOTE<br>ON THE COMPENSATION<br>OF THE COMPANY'S<br>NAMED EXECUTIVE<br>OFFICERS. | Management  | 3 Years    | Against                |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 46,500        | 0                  | 23-Apr-2016 | 03-Jun-2016    |
| 997AL29        | GLO          | 997AL29                                 | 191,300       | 0                  | 23-Apr-2016 | 03-Jun-2016    |

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|         |                   |  |        |   |             |             |
|---------|-------------------|--|--------|---|-------------|-------------|
|         |                   | STATE<br>STREET<br>BANK &<br>TRUST CO            |        |   |             |             |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 75,600 | 0 | 23-Apr-2016 | 03-Jun-2016 |

SALESFORCE.COM, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 79466L302       | Meeting Type       | Annual                 |
| Ticker Symbol  | CRM             | Meeting Date       | 02-Jun-2016            |
| ISIN           | US79466L3024    | Agenda             | 934395903 - Management |
| Record Date    | 07-Apr-2016     | Holding Recon Date | 07-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 01-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal                                    | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>MARC BENIOFF       | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>KEITH BLOCK        | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>CRAIG CONWAY       | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>ALAN HASSENFELD    | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>NEELIE KROES       | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>COLIN POWELL       | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>SANFORD ROBERTSON  | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>JOHN V. ROOS       | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>LAWRENCE TOMLINSON | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR:<br>ROBIN WASHINGTON   | Management  | For  | For                    |

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|     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 1K. | ELECTION OF DIRECTOR:<br>MAYNARD WEBB  | Management  | For     | For |
| 1L. | ELECTION OF DIRECTOR:<br>SUSAN WOJCICKI  | Management  | For     | For |
| 2.  | APPROVAL OF THE<br>AMENDMENT AND<br>RESTATEMENT OF<br>CERTIFICATE OF<br>INCORPORATION TO<br>CLARIFY PROVISIONS<br>RELATED TO REMOVAL<br>OF DIRECTORS | Management  | For     | For |
| 3.  | RATIFICATION OF<br>APPOINTMENT OF ERNST<br>& YOUNG LLP AS<br>INDEPENDENT AUDITORS  | Management  | For     | For |
| 4.  | ADVISORY VOTE TO<br>APPROVE NAMED<br>EXECUTIVE OFFICER<br>COMPENSATION   | Management  | For     | For |
| 5.  | STOCKHOLDER PROPOSAL<br>FOR POLICY LIMITING<br>CHANGE IN CONTROL<br>BENEFITS   | Shareholder | Against | For |
| 6.  | STOCKHOLDER PROPOSAL<br>FOR SHARE RETENTION<br>POLICY FOR SENIOR<br>EXECUTIVES   | Shareholder | Against | For |

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27<br>STATE STREET BANK & TRUST CO | 10,400        | 0                  | 22-Apr-2016 | 03-Jun-2016    |
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 9,800         | 0                  | 22-Apr-2016 | 03-Jun-2016    |
| 997AL29        | GLO          | 997AL29<br>STATE STREET BANK & TRUST CO | 24,800        | 0                  | 22-Apr-2016 | 03-Jun-2016    |

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|         |                   |  |     |        |             |             |
|---------|-------------------|--|-----|--------|-------------|-------------|
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100 | 4,200  | 22-Apr-2016 | 03-Jun-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 700 | 16,000 | 22-Apr-2016 | 03-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 100 | 41,100 | 22-Apr-2016 | 03-Jun-2016 |

## CONCHO RESOURCES INC

|               |              |                    |                        |
|---------------|--------------|--------------------|------------------------|
| Security      | 20605P101    | Meeting Type       | Annual                 |
| Ticker Symbol | CXO          | Meeting Date       | 02-Jun-2016            |
| ISIN          | US20605P1012 | Agenda             | 934397274 - Management |
| Record Date   | 04-Apr-2016  | Holding Recon Date | 04-Apr-2016            |

|                |                 |                    |             |
|----------------|-----------------|--------------------|-------------|
| City / Country | / United States | Vote Deadline Date | 01-Jun-2016 |
|----------------|-----------------|--------------------|-------------|

## SEDOL(s)

## Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 GARY A. MERRIMAN  |             | For  | For                    |
|      | 2 RAY M. POAGE  |             | For  | For                    |
| 2.   | TO RATIFY THE SELECTION OF GRANT THORNTON LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |
| 3.   | ADVISORY VOTE TO APPROVE EXECUTIVE OFFICER COMPENSATION ("SAY-ON-PAY").   | Management  | For  | For                    |

|              |           |           |
|--------------|-----------|-----------|
| Account Name | Custodian | Vote Date |
|--------------|-----------|-----------|

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| Account Number |                | Internal Account                        | Ballot Shares | Unavailable Shares |             | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 6,000         | 0                  | 20-Apr-2016 | 03-Jun-2016    |
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 15,700        | 0                  | 20-Apr-2016 | 03-Jun-2016    |
| 997AL29        | GLO            | 997AL29<br>STATE STREET BANK & TRUST CO | 24,900        | 0                  | 20-Apr-2016 | 03-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 200           | 14,700             | 20-Apr-2016 | 03-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 2,000         | 17,000             | 20-Apr-2016 | 03-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 1,000         | 61,000             | 20-Apr-2016 | 03-Jun-2016    |

TRI POINTE GROUP, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 87265H109       | Meeting Type       | Annual                 |
| Ticker Symbol  | TPH             | Meeting Date       | 03-Jun-2016            |
| ISIN           | US87265H1095    | Agenda             | 934391715 - Management |
| Record Date    | 11-Apr-2016     | Holding Recon Date | 11-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 02-Jun-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal              | Proposed by | Vote | For/Against Management |
|------|-----------------------|-------------|------|------------------------|
| 1.   | DIRECTOR              | Management  |      |                        |
|      | 1 DOUGLAS F. BAUER    |             | For  | For                    |
|      | 2 LAWRENCE B. BURROWS |             | For  | For                    |



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|   |                       |     |     |
|---|-----------------------|-----|-----|
| 3 | DANIEL S. FULTON      | For | For |
| 4 | STEVEN J. GILBERT     | For | For |
| 5 | CHRISTOPHER D. GRAHAM | For | For |
| 6 | CONSTANCE B. MOORE    | For | For |
| 7 | THOMAS B. ROGERS      | For | For |
| 8 | BARRY S. STERNLICHT   | For | For |

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 2. | RATIFICATION OF THE AMENDMENT TO TRI POINTE GROUP, INC.'S 2013 LONG-TERM INCENTIVE PLAN, AS AMENDED, IMPOSING CERTAIN LIMITATIONS ON AWARDS MADE UNDER THE PLAN TO NON-EMPLOYEE DIRECTORS. | Management | For | For |
|----|--|------------|-----|-----|

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 3. | RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS TRI POINTE GROUP, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management | For | For |
|----|--|------------|-----|-----|

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | STATE STREET BANK & TRUST CO<br>997AL27 | 22,700        | 0                  | 23-Apr-2016 | 06-Jun-2016    |
| 997AL28        | GLQ          | STATE STREET BANK & TRUST CO<br>997AL28 | 37,000        | 0                  | 23-Apr-2016 | 06-Jun-2016    |
| 997AL29        | GLO          | STATE STREET BANK & TRUST CO<br>997AL29 | 93,202        | 0                  | 23-Apr-2016 | 06-Jun-2016    |

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IMAX CORPORATION

|                |              |                    |                            |
|----------------|--------------|--------------------|----------------------------|
| Security       | 45245E109    | Meeting Type       | Annual and Special Meeting |
| Ticker Symbol  | IMAX         | Meeting Date       | 06-Jun-2016                |
| ISIN           | CA45245E1097 | Agenda             | 934409233 - Management     |
| Record Date    | 08-Apr-2016  | Holding Recon Date | 08-Apr-2016                |
| City / Country | / Canada     | Vote Deadline Date | 01-Jun-2016                |
| SEDOL(s)       |              | Quick Code         |                            |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 01   | DIRECTOR   | Management  |      |                        |
|      | 1 NEIL S. BRAUN  |             | For  | For                    |
|      | 2 ERIC A. DEMIRIAN   |             | For  | For                    |
|      | 3 RICHARD L. GELFOND   |             | For  | For                    |
|      | 4 DAVID W. LEEBRON   |             | For  | For                    |
|      | 5 MICHAEL LYNNE  |             | For  | For                    |
|      | 6 MICHAEL MACMILLAN  |             | For  | For                    |
|      | 7 I. MARTIN POMPADUR   |             | For  | For                    |
|      | 8 DANA SETTLE  |             | For  | For                    |
|      | 9 DARREN THROOP  |             | For  | For                    |
|      | 10 BRADLEY J. WECHSLER   |             | For  | For                    |
| 02   | IN RESPECT OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. NOTE: VOTING WITHHOLD IS THE EQUIVALENT TO VOTING ABSTAIN. | Management  | For  | For                    |
| 03   | IN RESPECT OF THE APPROVAL OF THE  | Management  | For  | For                    |

AMENDED AND  
 RESTATED LONG-TERM  
 INCENTIVE PLAN AS SET  
 FORTH IN APPENDIX "A"  
 TO THE PROXY CIRCULAR  
 AND PROXY STATEMENT.  
 NOTE: VOTING WITHHOLD  
 IS THE EQUIVALENT TO  
 VOTING ABSTAIN.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28 STATE STREET BANK & TRUST CO | 40,700        | 0                  | 18-May-2016 | 07-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 19,300        | 59,400             | 18-May-2016 | 07-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 24,095        | 110,000            | 18-May-2016 | 07-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 92,800        | 231,800            | 18-May-2016 | 07-Jun-2016    |

LADDER CAPITAL CORP

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 505743104       | Meeting Type       | Annual                 |
| Ticker Symbol  | LADR            | Meeting Date       | 07-Jun-2016            |
| ISIN           | US5057431042    | Agenda             | 934395864 - Management |
| Record Date    | 11-Apr-2016     | Holding Recon Date | 11-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 06-Jun-2016            |

SEDOL(s)

| Item | Proposal       | Proposed by | Vote | For/Against Management |
|------|----------------|-------------|------|------------------------|
| 1.   | DIRECTOR       | Management  |      |                        |
|      | 1 ALAN FISHMAN |             | For  | For                    |

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2 JONATHAN BILZIN

For For

TO RATIFY THE  
APPOINTMENT OF  
PRICEWATERHOUSECOOPERS

2. LLP AS THE INDEPENDENT Management  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
2016.

For For

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 22,610        | 0                  | 29-Apr-2016 | 08-Jun-2016    |
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 8,304         | 0                  | 29-Apr-2016 | 08-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 32,492        | 0                  | 29-Apr-2016 | 08-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 130,392       | 0                  | 29-Apr-2016 | 08-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 220,207       | 0                  | 29-Apr-2016 | 08-Jun-2016    |

ALPHABET INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 02079K305       | Meeting Type       | Annual                 |
| Ticker Symbol  | GOOGL           | Meeting Date       | 08-Jun-2016            |
| ISIN           | US02079K3059    | Agenda             | 934406667 - Management |
| Record Date    | 11-Apr-2016     | Holding Recon Date | 11-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 07-Jun-2016            |
| SEDOL(s)       |                 | Quick Code         |                        |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 1. | DIRECTOR   | Management |     |     |
|    | 1 LARRY PAGE   |            | For | For |
|    | 2 SERGEY BRIN  |            | For | For |
|    | 3 ERIC E. SCHMIDT  |            | For | For |
|    | 4 L. JOHN DOERR  |            | For | For |
|    | 5 DIANE B. GREENE  |            | For | For |
|    | 6 JOHN L. HENNESSY   |            | For | For |
|    | 7 ANN MATHER   |            | For | For |
|    | 8 ALAN R. MULALLY  |            | For | For |
|    | 9 PAUL S. OTELLINI   |            | For | For |
|    | 10 K. RAM SHRIRAM  |            | For | For |
|    | 11 SHIRLEY M.<br>TILGHMAN  |            | For | For |
|    | THE RATIFICATION OF<br>THE APPOINTMENT OF<br>ERNST & YOUNG LLP AS<br>ALPHABET'S  |            |     |     |
| 2. | INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>THE FISCAL YEAR ENDING<br>DECEMBER 31, 2016.  | Management | For | For |
|    | THE APPROVAL OF<br>AMENDMENTS TO<br>ALPHABET'S 2012 STOCK<br>PLAN TO ...(DUE TO SPACE<br>LIMITS, SEE PROXY<br>STATEMENT FOR FULL<br>PROPOSAL).   |            |     |     |
| 3. | ALPHABET'S 2012 STOCK<br>PLAN TO ...(DUE TO SPACE<br>LIMITS, SEE PROXY<br>STATEMENT FOR FULL<br>PROPOSAL).   | Management | For | For |
| 4. | THE APPROVAL OF AN<br>AMENDMENT TO THE<br>FOURTH AMENDED AND<br>RESTATED CERTIFICATE<br>OF INCORPORATION OF<br>GOOGLE INC.,<br>ALPHABET'S WHOLLY<br>OWNED SUBSIDIARY, TO<br>REMOVE A PROVISION<br>THAT REQUIRES THE<br>VOTE OF THE<br>STOCKHOLDERS OF<br>ALPHABET, IN ADDITION | Management | For | For |

TO THE VOTE OF  
ALPHABET (AS SOLE  
STOCKHOLDER), IN  
ORDER FOR GOOGLE TO  
TAKE CERTAIN ACTIONS.

- |     |   |             |             |
|-----|---|-------------|-------------|
| 5.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>EQUAL SHAREHOLDER<br>VOTING, IF PROPERLY<br>PRESENTED AT THE<br>MEETING.   | Shareholder | Against For |
| 6.  | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>LOBBYING REPORT, IF<br>PROPERLY PRESENTED AT<br>THE MEETING.   | Shareholder | Against For |
| 7.  | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>POLITICAL<br>CONTRIBUTIONS REPORT,<br>IF PROPERLY PRESENTED<br>AT THE MEETING.   | Shareholder | Against For |
| 8.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>THE ADOPTION OF A<br>MAJORITY VOTE<br>STANDARD FOR THE<br>ELECTION OF DIRECTORS,<br>IF PROPERLY PRESENTED<br>AT THE MEETING. | Shareholder | Against For |
| 9.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN INDEPENDENT<br>CHAIRMAN OF THE<br>BOARD POLICY, IF<br>PROPERLY PRESENTED AT<br>THE MEETING.                               | Shareholder | Against For |
| 10. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>REPORT ON GENDER PAY,<br>IF PROPERLY PRESENTED<br>AT THE MEETING.  | Shareholder | Against For |

| Account<br>Number | Account Name | Internal<br>Custodian<br>Account | Ballot<br>Shares | Unavailable<br>Shares | Vote Date | Date<br>Confirmed |
|-------------------|--------------|----------------------------------|------------------|-----------------------|-----------|-------------------|
|-------------------|--------------|----------------------------------|------------------|-----------------------|-----------|-------------------|

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|         |                   |         |                                       |       |       |             |             |
|---------|-------------------|---------|---------------------------------------|-------|-------|-------------|-------------|
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45 | STATE<br>STREET<br>BANK &<br>TRUST CO | 210   | 2,340 | 30-Apr-2016 | 09-Jun-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK &<br>TRUST CO | 2,485 | 1,723 | 30-Apr-2016 | 09-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | STATE<br>STREET<br>BANK &<br>TRUST CO | 5,950 | 4,637 | 30-Apr-2016 | 09-Jun-2016 |

ATLAS MARA LTD

|                |                                     |                    |                        |
|----------------|-------------------------------------|--------------------|------------------------|
| Security       | G0697K106                           | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |                                     | Meeting Date       | 09-Jun-2016            |
| ISIN           | VGG0697K1066                        | Agenda             | 707103812 - Management |
| Record Date    | 07-Jun-2016                         | Holding Recon Date | 07-Jun-2016            |
| City / Country | NEW YORK / Virgin Islands (British) | Vote Deadline Date | 01-Jun-2016            |
| SEDOL(s)       | BH2RCH8 -<br>BJ36RS4                | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1    | THAT THE DIRECTORS' REPORT AND ACCOUNTS AND THE AUDITORS' REPORT FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2015 BE AND ARE RECEIVED | Management  | For  | For                    |
| 2    | THAT MR. ARNOLD EKPE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING          | Management  | For  | For                    |
| 3    | THAT MR. JOHN F. VITALO BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE                                   | Management  | For  | For                    |

NEXT ANNUAL GENERAL MEETING

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 4 | <p>THAT MS. RACHEL F. ROBBINS BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p>              | Management | For | For |
| 5 | <p>THAT MR. TONYE COLE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p>                     | Management | For | For |
| 6 | <p>THAT MS. OLUFUNKE OPEKE BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p>                 | Management | For | For |
| 7 | <p>THAT MR. AMADOU RAIMI BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p>                   | Management | For | For |
| 8 | <p>THAT MR. EDUARDO CHIVAMBO MONDLANE, JR. BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p> | Management | For | For |
| 9 | <p>THAT MR. ROBERT E. DIAMOND, JR. BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING</p>         | Management | For | For |



10 THAT MR. ASHISH J. THAKKAR BE RE-APPOINTED AS A DIRECTOR OF THE COMPANY UNTIL THE CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING Management For For

11 THAT PURSUANT TO ARTICLE 3.11 OF THE ARTICLES, THE DIRECTORS BE AND ARE GIVEN THE POWER TO ISSUE OR SELL FROM TREASURY EQUITY OF ANY CLASS FOR CASH AS IF THE PROVISIONS OF ARTICLE 3.2 OF THE ARTICLES DO NOT APPLY TO THE ISSUE OR SALE FROM TREASURY Management For For

CMMT 17 MAY 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF THE RECORD-DATE. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN UNLESS-YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU. Non-Voting

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 165,358       | 0                  | 03-Jun-2016 | 03-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 275,798       | 0                  | 03-Jun-2016 | 03-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 688,344       | 0                  | 03-Jun-2016 | 03-Jun-2016    |

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NETFLIX, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 64110L106       | Meeting Type       | Annual                 |
| Ticker Symbol  | NFLX            | Meeting Date       | 09-Jun-2016            |
| ISIN           | US64110L1061    | Agenda             | 934405968 - Management |
| Record Date    | 11-Apr-2016     | Holding Recon Date | 11-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 08-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | DIRECTOR   | Management  |         |                        |
|      | 1 TIMOTHY M. HALEY   |             | For     | For                    |
|      | 2 LESLIE KILGORE   |             | For     | For                    |
|      | 3 ANN MATHER   |             | For     | For                    |
| 2.   | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2016. | Management  | For     | For                    |
| 3.   | ADVISORY APPROVAL OF THE COMPANY'S EXECUTIVE OFFICER COMPENSATION.   | Management  | For     | For                    |
| 4.   | STOCKHOLDER PROPOSAL REGARDING DIRECTOR ELECTION MAJORITY VOTE STANDARD, IF PROPERLY PRESENTED AT THE MEETING.                                       | Shareholder | Against | For                    |
| 5.   | STOCKHOLDER PROPOSAL REGARDING A PROXY ACCESS BYLAW, IF PROPERLY PRESENTED AT THE MEETING.   | Shareholder | Against | For                    |
| 6.   | STOCKHOLDER PROPOSAL REGARDING A SIMPLE MAJORITY VOTE, IF  | Shareholder | Against | For                    |

PROPERLY PRESENTED AT  
THE MEETING.

STOCKHOLDER PROPOSAL  
REGARDING ELECTING  
EACH DIRECTOR  
ANNUALLY, IF PROPERLY  
PRESENTED AT THE  
MEETING.

7. Shareholder Against For

| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27<br>STATE STREET BANK & TRUST CO | 4,300         | 0                  | 27-Apr-2016 | 10-Jun-2016    |
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 7,100         | 0                  | 27-Apr-2016 | 10-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 17,800        | 0                  | 27-Apr-2016 | 10-Jun-2016    |

INTREXON CORPORATION

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 46122T102       | Meeting Type       | Annual                 |
| Ticker Symbol  | XON             | Meeting Date       | 09-Jun-2016            |
| ISIN           | US46122T1025    | Agenda             | 934406807 - Management |
| Record Date    | 18-Apr-2016     | Holding Recon Date | 18-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 08-Jun-2016            |

SEDOL(s)

| Item | Proposal                               | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: RANDAL J. KIRK   | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: CESAR L. ALVAREZ | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: STEVEN FRANK     | Management  | For  | For                    |
| 1D.  |  | Management  | For  | For                    |

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ELECTION OF DIRECTOR:  
JEFFREY B. KINDLER

1E. ELECTION OF DIRECTOR:  
DEAN J. MITCHELL      Management      For      For

1F. ELECTION OF DIRECTOR:  
ROBERT B. SHAPIRO      Management      For      For

1G. ELECTION OF DIRECTOR:  
JAMES S. TURLEY      Management      For      For

2. TO RATIFY THE  
APPOINTMENT BY THE  
AUDIT COMMITTEE OF  
PRICEWATERHOUSECOOPERS  
LLP AS OUR  
INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
DECEMBER 31, 2016.      Management      For      For

3. TO APPROVE A  
NON-BINDING ADVISORY  
RESOLUTION APPROVING  
THE COMPENSATION OF  
THE NAMED EXECUTIVE  
OFFICERS.      Management      For      For

4. TO APPROVE THE  
AMENDMENT TO THE  
AMENDED AND  
RESTATE INTREXON  
CORPORATION 2013  
OMNIBUS INCENTIVE  
PLAN, WHICH PROVIDES  
FOR THE ISSUANCE OF AN  
ADDITIONAL THREE  
MILLION SHARES OF  
COMMON STOCK UNDER  
THE PLAN.      Management      For      For

| Account Number | Account Name | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|---|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ          | 997AL28<br>STATE STREET BANK & TRUST CO | 11,200        | 0                  | 30-Apr-2016 | 10-Jun-2016    |
| 997AL45        |              | 997AL45                                 | 404           | 10,400             | 30-Apr-2016 | 10-Jun-2016    |

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|         |                   |         |                                       |       |        |             |             |
|---------|-------------------|---------|---------------------------------------|-------|--------|-------------|-------------|
|         | GLV BNP<br>PLEDGE |         | STATE<br>STREET<br>BANK &<br>TRUST CO |       |        |             |             |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK &<br>TRUST CO | 6,959 | 0      | 30-Apr-2016 | 10-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | STATE<br>STREET<br>BANK &<br>TRUST CO | 2,872 | 42,000 | 30-Apr-2016 | 10-Jun-2016 |

TEKLA WORLD HEALTHCARE FD

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 87911L108       | Meeting Type       | Annual                 |
| Ticker Symbol  | THW             | Meeting Date       | 14-Jun-2016            |
| ISIN           | US87911L1089    | Agenda             | 934402897 - Management |
| Record Date    | 19-Apr-2016     | Holding Recon Date | 19-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 13-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 OLEG M. POHOTSKY  |             | For  | For                    |
|      | 2 WILLIAM S. REARDON, CPA   |             | For  | For                    |
| 2.   | THE RATIFICATION OR REJECTION OF THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF THE FUND FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2016. | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        |              | 997AL45                    | 200           | 63,000             | 23-Apr-2016 | 15-Jun-2016    |

GLV BNP  
PLEDGE  
STATE  
STREET  
BANK &  
TRUST CO

## INTRA-CELLULAR THERAPIES INC

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 46116X101       | Meeting Type       | Annual                 |
| Ticker Symbol  | ITCI            | Meeting Date       | 14-Jun-2016            |
| ISIN           | US46116X1019    | Agenda             | 934404877 - Management |
| Record Date    | 20-Apr-2016     | Holding Recon Date | 20-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 13-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal   | Proposed by | Vote    | For/Against Management |
|------|--|-------------|---------|------------------------|
| 1.   | DIRECTOR   | Management  |         |                        |
|      | 1 SHARON MATES,<br>PH.D.   |             | For     | For                    |
|      | 2 RORY B. RIGGS  |             | For     | For                    |
|      | 3 ROBERT L. VAN<br>NOSTRAND  |             | For     | For                    |
| 2.   | PROPOSAL TO RATIFY<br>THE APPOINTMENT OF<br>ERNST & YOUNG LLP AS<br>THE COMPANY'S<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM FOR<br>THE FISCAL YEAR ENDING<br>DECEMBER 31, 2016. | Management  | For     | For                    |
| 3.   | TO APPROVE BY AN<br>ADVISORY VOTE THE<br>COMPENSATION OF OUR<br>NAMED EXECUTIVE<br>OFFICERS, AS DISCLOSED<br>IN THE PROXY<br>STATEMENT.  | Management  | For     | For                    |
| 4.   | TO APPROVE BY AN<br>ADVISORY VOTE THE<br>FREQUENCY OF HOLDING<br>AN ADVISORY VOTE ON<br>THE COMPENSATION OF  | Management  | 3 Years | Against                |

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OUR NAMED EXECUTIVE OFFICERS.

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 19,100        | 0                  | 06-May-2016 | 15-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 31,700        | 0                  | 06-May-2016 | 15-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 79,000        | 0                  | 06-May-2016 | 15-Jun-2016    |

SINOPHARM GROUP CO LTD, SHANGHAI

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | Y8008N107                                      | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |  | Meeting Date       | 16-Jun-2016            |
| ISIN           | CNE100000FN7                                   | Agenda             | 707134223 - Management |
| Record Date    | 16-May-2016                                    | Holding Recon Date | 16-May-2016            |
| City / Country | SHANGHAI / China                               | Vote Deadline Date | 02-Jun-2016            |
| SEDOL(s)       | B3ZVDV0 -<br>B4M8B73 -<br>B5NVZ21 -<br>BP3RXT2 | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| CMMT | PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 638168 DUE TO ADDITION OF- RESOLUTIONS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS | Non-Voting  |      |                        |

MEETING NOTICE. THANK YOU.

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-

CMMT <http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0429/LTN20160429681.pdf>, - Non-Voting  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0530/LTN20160530405.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0429/LTN20160429777.pdf>, -  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0530/LTN20160530419.pdf>

CMMT PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE Non-Voting

1 TO CONSIDER AND APPROVE THE REPORT OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD") FOR THE YEAR ENDED 31 DECEMBER 2015 Management For For

2 TO CONSIDER AND APPROVE THE REPORT OF THE SUPERVISORY COMMITTEE OF THE COMPANY (THE "SUPERVISORY COMMITTEE") FOR THE YEAR ENDED 31 DECEMBER 2015 Management For For

3 TO CONSIDER AND APPROVE THE AUDITED FINANCIAL STATEMENTS OF THE COMPANY AND ITS SUBSIDIARIES FOR THE YEAR ENDED 31 DECEMBER 2015 AND THE AUDITORS' REPORT Management For For

4 Management For For



TO CONSIDER AND APPROVE THE PROFIT DISTRIBUTION PLAN AND PAYMENT OF THE FINAL DIVIDEND FOR THE YEAR ENDED 31 DECEMBER 2015: RMB0.41 PER SHARE

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 5 | TO CONSIDER AND AUTHORIZE THE BOARD TO DETERMINE THE REMUNERATION OF THE DIRECTORS OF THE COMPANY (THE "DIRECTORS") FOR THE YEAR ENDING 31 DECEMBER 2016 | Management | For | For |
|---|--|------------|-----|-----|

|   |  |            |     |     |
|---|--|------------|-----|-----|
| 6 | TO CONSIDER AND AUTHORIZE THE SUPERVISORY COMMITTEE TO DETERMINE THE REMUNERATION OF THE SUPERVISORS OF THE COMPANY (THE "SUPERVISORS") FOR THE YEAR ENDING 31 DECEMBER 2016 | Management | For | For |
|---|--|------------|-----|-----|

|   |   |            |     |     |
|---|---|------------|-----|-----|
| 7 | TO CONSIDER AND APPROVE THE DELEGATION OF THE POWER TO THE BOARD TO APPROVE THE GUARANTEES IN FAVOR OF THIRD PARTIES WITH AN AGGREGATE TOTAL VALUE OF NOT MORE THAN 30% OF THE LATEST AUDITED TOTAL ASSETS OF THE COMPANY OVER A PERIOD OF 12 MONTHS; AND IF THE ABOVE DELEGATION IS NOT CONSISTENT WITH, COLLIDES WITH OR CONFLICTS WITH THE REQUIREMENTS UNDER THE RULES GOVERNING THE LISTING OF | Management | For | For |
|---|---|------------|-----|-----|

SECURITIES (THE "HONG KONG LISTING RULES") ON THE STOCK EXCHANGE OF HONG KONG LIMITED (THE "HONG KONG STOCK EXCHANGE") OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE, THE REQUIREMENTS UNDER THE HONG KONG LISTING RULES OR OTHER REQUIREMENTS OF THE HONG KONG STOCK EXCHANGE SHOULD BE FOLLOWED

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. WU YIJIAN AS A NON-EXECUTIVE DIRECTOR, TO AUTHORISE THE BOARD TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

|   |            |     |     |
|---|------------|-----|-----|
| 8 | Management | For | For |
|---|------------|-----|-----|

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT: (A) THE COMPANY BE AND IS HEREBY AUTHORISED TO ISSUE DEBT FINANCING INSTRUMENTS (THE "DEBT FINANCING INSTRUMENTS") WITH THE AGGREGATE AMOUNT LIMIT FOR ALL BONDS FOR WHICH REGISTRATION IS APPLIED FOR BEING NO

|   |            |     |     |
|---|------------|-----|-----|
| 9 | Management | For | For |
|---|------------|-----|-----|

MORE THAN RMB20 BILLION; (B) THE GENERAL MANAGER OF THE COMPANY, BE AND IS HEREBY AUTHORIZED TO DEAL WITH ALL THE MATTERS IN RELATION TO THE PROPOSED ISSUE OF DEBT FINANCING INSTRUMENTS IN ITS/HIS SOLE DISCRETION, INCLUDING BUT NOT LIMITED TO: (I) ACCORDING TO LAWS, REGULATIONS, AND THE SPECIFIC CONDITIONS OF THE COMPANY AND DEBT MARKET, TO FORMULATE, ADJUST AND IMPLEMENT THE SPECIFIC PLANS, TERMS AND CONDITIONS FOR THE ISSUE OF THE DEBT FINANCING INSTRUMENTS, INCLUDING, AMONG OTHERS, DETERMINATION OF THE CATEGORY(IES) OF ISSUE, THE SIZE OF ISSUE, THE METHOD OF ISSUE (INCLUDING THE ISSUE AMOUNT IN EACH TRANCHE), THE TERMS OF ISSUE, INTEREST RATE, USE OF PROCEEDS, GUARANTEE AND DEBT SECURITY ARRANGEMENTS; (II) TO FORMULATE, APPROVE, EXECUTE, MODIFY AND ANNOUNCE THE LEGAL DOCUMENTS RELATING TO THE ISSUE, AND MAKE THE APPROPRIATE ADJUSTMENT OR SUPPLEMENT TO THE FILINGS PURSUANT TO THE REQUIREMENTS OF THE REGULATORY AUTHORITIES; (III) TO

SELECT THE BOND TRUSTEE(S), EXECUTE BOND TRUSTEE MANAGEMENT AGREEMENT(S) AND PROMULGATE THE RULES FOR BONDHOLDERS' GENERAL MEETING; (IV) TO MAKE CORRESPONDING ADJUSTMENTS TO THE RELEVANT MATTERS OR DETERMINE WHETHER TO CONTINUE THE ISSUE IN ACCORDANCE WITH THE PRACTICAL SITUATION IN THE CASE OF ANY CHANGES IN OPINIONS FROM REGULATORY AUTHORITIES, THE POLICIES AND MARKET CONDITIONS EXCEPT WHERE RE-VOTING AT A GENERAL MEETING IS REQUIRED BY ANY RELEVANT LAWS AND REGULATIONS AND THE ARTICLES OF ASSOCIATION OF THE COMPANY; (V) TO DEAL WITH ALL RELEVANT MATTERS IN RELATION TO THE REPORTING AND LISTING OF THE DEBT FINANCING INSTRUMENTS; (VI) TO DETERMINE THE ENGAGEMENT OF NECESSARY INTERMEDIARY AGENCIES IN RELATION TO THE ISSUE OF THE DEBT FINANCING INSTRUMENTS; AND (VII) TO DEAL WITH OTHER SPECIFIC MATTERS IN RELATION TO THE ISSUE OF DEBT FINANCING INSTRUMENTS

TO CONSIDER AND APPROVE TO GRANT A GENERAL MANDATE TO THE BOARD TO EXERCISE THE POWER OF THE COMPANY TO ALLOT, ISSUE AND/OR DEAL WITH DOMESTIC SHARES AND/OR H SHARES

TO CONSIDER AND APPROVE THE APPOINTMENT OF ERNST & YOUNG HUA MING LLP AS THE DOMESTIC AUDITOR OF THE COMPANY (TO REPLACE PRICEWATERHOUSECOOPERS ZHONG TIAN LLP) TO

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 11 | HOLD OFFICE UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD OF THE COMPANY | Management | For | For |
|----|---|------------|-----|-----|

TO CONSIDER AND APPROVE THE APPOINTMENT OF ERNST & YOUNG AS THE INTERNATIONAL AUDITOR OF THE COMPANY (TO REPLACE PRICEWATERHOUSECOOPERS CERTIFIED PUBLIC

|    |  |            |     |     |
|----|--|------------|-----|-----|
| 12 | ACCOUNTANTS, HONG KONG) TO HOLD OFFICE UNTIL CONCLUSION OF THE NEXT ANNUAL GENERAL MEETING, AND TO RATIFY AND CONFIRM ITS REMUNERATION DETERMINED BY THE AUDIT COMMITTEE OF THE BOARD OF THE COMPANY | Management | For | For |
|----|--|------------|-----|-----|

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| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 142,400       | 0                  | 31-May-2016 | 13-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 236,800       | 0                  | 31-May-2016 | 13-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 583,600       | 0                  | 31-May-2016 | 13-Jun-2016    |

MONOLITHIC POWER SYSTEMS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 609839105       | Meeting Type       | Annual                 |
| Ticker Symbol  | MPWR            | Meeting Date       | 16-Jun-2016            |
| ISIN           | US6098391054    | Agenda             | 934417672 - Management |
| Record Date    | 19-Apr-2016     | Holding Recon Date | 19-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 15-Jun-2016            |

SEDOL(s)

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 MICHAEL R. HSING  |             | For  | For                    |
|      | 2 HERBERT CHANG   |             | For  | For                    |
|      | 3 EUGEN ELMIGER   |             | For  | For                    |
| 2.   | VOTE TO RATIFY DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management  | For  | For                    |
| 3.   | PROPOSAL TO APPROVE THE ADVISORY (NON-BINDING) RESOLUTION RELATING TO EXECUTIVE COMPENSATION.   | Management  | For  | For                    |

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| Account Number | Account Name   | Internal Custodian Account              | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|---|---------------|--------------------|-------------|----------------|
| 997AL28        | GLQ            | 997AL28<br>STATE STREET BANK & TRUST CO | 7,600         | 0                  | 05-May-2016 | 17-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45<br>STATE STREET BANK & TRUST CO | 13,700        | 0                  | 05-May-2016 | 17-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47<br>STATE STREET BANK & TRUST CO | 14,900        | 0                  | 05-May-2016 | 17-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49<br>STATE STREET BANK & TRUST CO | 600           | 56,000             | 05-May-2016 | 17-Jun-2016    |

SONY CORPORATION

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | J76379106   | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 17-Jun-2016            |
| ISIN           | JP3435000009  | Agenda             | 707130376 - Management |
| Record Date    | 31-Mar-2016   | Holding Recon Date | 31-Mar-2016            |
| City / Country | TOKYO / Japan   | Vote Deadline Date | 01-Jun-2016            |
| SEDOL(s)       | 0821687 - 4823203 -<br>5485602 - 6821506 -<br>B01DR28 -<br>B0K3NH6 -<br>B0ZMMV5 | Quick Code         | 67580                  |

| Item | Proposal                              | Proposed by | Vote | For/Against Management |
|------|---------------------------------------|-------------|------|------------------------|
|      | Please reference meeting materials.   | Non-Voting  |      |                        |
| 1.1  | Appoint a Director Hirai, Kazuo       | Management  | For  | For                    |
| 1.2  | Appoint a Director Yoshida, Kenichiro | Management  | For  | For                    |
| 1.3  | Appoint a Director Nagayama, Osamu    | Management  | For  | For                    |

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|      |   |            |     |     |
|------|---|------------|-----|-----|
| 1.4  | Appoint a Director Nimura, Takaaki  | Management | For | For |
| 1.5  | Appoint a Director Harada, Eiko   | Management | For | For |
| 1.6  | Appoint a Director Ito, Joichi  | Management | For | For |
| 1.7  | Appoint a Director Tim Schaaff  | Management | For | For |
| 1.8  | Appoint a Director Matsunaga, Kazuo   | Management | For | For |
| 1.9  | Appoint a Director Miyata, Koichi   | Management | For | For |
| 1.10 | Appoint a Director John V. Roos   | Management | For | For |
| 1.11 | Appoint a Director Sakurai, Eriko   | Management | For | For |
| 2    | Approve Issuance of Share Acquisition Rights as Stock Options for Executive Officers and Employees of the Company and Directors and Employees of the Company's Subsidiaries | Management | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 11,400        | 0                  | 30-May-2016 | 16-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 18,700        | 0                  | 30-May-2016 | 16-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 47,100        | 0                  | 30-May-2016 | 16-Jun-2016    |

VERACYTE, INC.

|               |              |                    |                        |
|---------------|--------------|--------------------|------------------------|
| Security      | 92337F107    | Meeting Type       | Annual                 |
| Ticker Symbol | VCYT         | Meeting Date       | 17-Jun-2016            |
| ISIN          | US92337F1075 | Agenda             | 934411466 - Management |
| Record Date   | 21-Apr-2016  | Holding Recon Date | 21-Apr-2016            |



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City / Country / United States / Vote Deadline Date 16-Jun-2016

| SEDOL(s) | Quick Code  |             |      |                        |
|----------|---|-------------|------|------------------------|
| Item     | Proposal  | Proposed by | Vote | For/Against Management |
| 1.       | DIRECTOR  | Management  |      |                        |
|          | 1 BRIAN G. ATWOOD   |             | For  | For                    |
|          | 2 KARIN EASTHAM   |             | For  | For                    |
|          | 3 JESSE I. TREU   |             | For  | For                    |
| 2.       | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Account | Custodian                    | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|------------------|------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27          | STATE STREET BANK & TRUST CO | 4,000         | 0                  | 07-May-2016 | 20-Jun-2016    |
| 997AL28        | GLQ            | 997AL28          | STATE STREET BANK & TRUST CO | 7,600         | 0                  | 07-May-2016 | 20-Jun-2016    |
| 997AL29        | GLO            | 997AL29          | STATE STREET BANK & TRUST CO | 16,322        | 0                  | 07-May-2016 | 20-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45          | STATE STREET BANK & TRUST CO | 100           | 67,556             | 07-May-2016 | 20-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47          | STATE STREET BANK & TRUST CO | 100           | 127,335            | 07-May-2016 | 20-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49          | STATE STREET BANK & TRUST CO | 98,505        | 182,954            | 07-May-2016 | 20-Jun-2016    |

BANK &  
TRUST CO

## SIGNET JEWELERS LIMITED

|                |                  |                    |                        |
|----------------|------------------|--------------------|------------------------|
| Security       | G81276100        | Meeting Type       | Annual                 |
| Ticker Symbol  | SIG              | Meeting Date       | 17-Jun-2016            |
| ISIN           | BMG812761002     | Agenda             | 934416202 - Management |
| Record Date    | 25-Apr-2016      | Holding Recon Date | 25-Apr-2016            |
| City / Country | / United Kingdom | Vote Deadline Date | 16-Jun-2016            |

## SEDOL(s)

## Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR:<br>H. TODD STITZER                                | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR:<br>VIRGINIA DROSOS                                | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR:<br>DALE HILPERT                                   | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR:<br>MARK LIGHT                                     | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR:<br>HELEN MCCLUSKEY                                | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR:<br>MARIANNE MILLER<br>PARRS                       | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR:<br>THOMAS PLASKETT                                | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR:<br>ROBERT STACK                                   | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR:<br>EUGENIA ULASEWICZ                              | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR:<br>RUSSELL WALLS                                  | Management  | For  | For                    |
| 2.   | APPOINTMENT OF KPMG<br>LLP AS INDEPENDENT<br>AUDITOR OF THE<br>COMPANY. | Management  | For  | For                    |
| 3.   | APPROVAL, ON A  | Management  | For  | For                    |

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NON-BINDING ADVISORY  
BASIS, OF THE  
COMPENSATION OF OUR  
NAMED EXECUTIVE  
OFFICERS AS DISCLOSED  
IN THE PROXY  
STATEMENT.

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 7,500         | 0                  | 05-May-2016 | 20-Jun-2016    |
| 997AL28        | GLQ            | 997AL28 STATE STREET BANK & TRUST CO | 14,900        | 0                  | 05-May-2016 | 20-Jun-2016    |
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 37,200        | 0                  | 05-May-2016 | 20-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 3,650         | 7,400              | 05-May-2016 | 20-Jun-2016    |
| 997AL47        | GLQ BNP PLEDGE | 997AL47 STATE STREET BANK & TRUST CO | 446           | 25,000             | 05-May-2016 | 20-Jun-2016    |
| 997AL49        | GLO BNP PLEDGE | 997AL49 STATE STREET BANK & TRUST CO | 646           | 63,000             | 05-May-2016 | 20-Jun-2016    |
| 997AL60        | GLV MS PLEDGE  | 997AL60 STATE STREET BANK & TRUST CO | 6,000         | 0                  | 05-May-2016 | 20-Jun-2016    |

SONY CORPORATION

|               |              |              |                        |
|---------------|--------------|--------------|------------------------|
| Security      | 835699307    | Meeting Type | Annual                 |
| Ticker Symbol | SNE          | Meeting Date | 17-Jun-2016            |
| ISIN          | US8356993076 | Agenda       | 934428841 - Management |

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Record Date 30-Mar-2016 Holding Recon Date 30-Mar-2016  
 City / Country / United States Vote Deadline Date 10-Jun-2016

SEDOL(s) Quick Code

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 1A.  | ELECTION OF DIRECTOR: KAZUO HIRAI  | Management  | For  | For                    |
| 1B.  | ELECTION OF DIRECTOR: KENICHIRO YOSHIDA                                      | Management  | For  | For                    |
| 1C.  | ELECTION OF DIRECTOR: OSAMU NAGAYAMA   | Management  | For  | For                    |
| 1D.  | ELECTION OF DIRECTOR: TAKAAKI NIMURA   | Management  | For  | For                    |
| 1E.  | ELECTION OF DIRECTOR: EIKOH HARADA   | Management  | For  | For                    |
| 1F.  | ELECTION OF DIRECTOR: JOICHI ITO   | Management  | For  | For                    |
| 1G.  | ELECTION OF DIRECTOR: TIM SCHAAFF  | Management  | For  | For                    |
| 1H.  | ELECTION OF DIRECTOR: KAZUO MATSUNAGA  | Management  | For  | For                    |
| 1I.  | ELECTION OF DIRECTOR: KOICHI MIYATA  | Management  | For  | For                    |
| 1J.  | ELECTION OF DIRECTOR: JOHN V. ROOS   | Management  | For  | For                    |
| 1K.  | ELECTION OF DIRECTOR: ERIKO SAKURAI  | Management  | For  | For                    |
| 2.   | TO ISSUE STOCK ACQUISITION RIGHTS FOR THE PURPOSE OF GRANTING STOCK OPTIONS. | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV          | 997AL27 STATE STREET BANK & TRUST CO | 23,400        | 0                  | 25-May-2016 | 14-Jun-2016    |

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|         |     |         |                              |        |   |             |             |
|---------|-----|---------|------------------------------|--------|---|-------------|-------------|
| 997AL28 | GLQ | 997AL28 | STATE STREET BANK & TRUST CO | 38,100 | 0 | 25-May-2016 | 14-Jun-2016 |
| 997AL29 | GLO | 997AL29 | STATE STREET BANK & TRUST CO | 96,100 | 0 | 25-May-2016 | 14-Jun-2016 |

FACEBOOK INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 30303M102       | Meeting Type       | Annual                 |
| Ticker Symbol  | FB              | Meeting Date       | 20-Jun-2016            |
| ISIN           | US30303M1027    | Agenda             | 934444946 - Management |
| Record Date    | 29-Apr-2016     | Holding Recon Date | 29-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 17-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | DIRECTOR  | Management  |      |                        |
|      | 1 MARC L. ANDREESSEN  |             | For  | For                    |
|      | 2 ERSKINE B. BOWLES   |             | For  | For                    |
|      | 3 S.D. DESMOND-HELLMANN   |             | For  | For                    |
|      | 4 REED HASTINGS   |             | For  | For                    |
|      | 5 JAN KOUM  |             | For  | For                    |
|      | 6 SHERYL K. SANDBERG  |             | For  | For                    |
|      | 7 PETER A. THIEL  |             | For  | For                    |
|      | 8 MARK ZUCKERBERG   |             | For  | For                    |
| 2.   | TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS FACEBOOK, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING | Management  | For  | For                    |

DECEMBER 31, 2016.

|     |  |            |     |     |
|-----|--|------------|-----|-----|
| 3.  | TO HOLD A NON-BINDING ADVISORY VOTE ON THE COMPENSATION PROGRAM FOR OUR NAMED EXECUTIVE OFFICERS AS DISCLOSED IN OUR PROXY STATEMENT.  | Management | For | For |
| 4.  | TO RATIFY OUR GRANT OF RESTRICTED STOCK UNITS (RSUS) TO OUR NON-EMPLOYEE DIRECTORS DURING THE YEAR ENDED DECEMBER 31, 2013.  | Management | For | For |
| 5.  | TO RATIFY OUR GRANT OF RSUS TO OUR NON-EMPLOYEE DIRECTORS DURING THE YEARS ENDED DECEMBER 31, 2014 AND 2015.   | Management | For | For |
| 6.  | TO APPROVE OUR ANNUAL COMPENSATION PROGRAM FOR NON-EMPLOYEE DIRECTORS.   | Management | For | For |
| 7A. | TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, COMPRISING: THE APPROVAL OF THE ADOPTION OF AMENDMENTS TO OUR RESTATED CERTIFICATE OF INCORPORATION TO ESTABLISH THE CLASS C CAPITAL STOCK AND TO MAKE CERTAIN CLARIFYING CHANGES. | Management | For | For |
| 7B. | TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION,  | Management | For | For |

COMPRISING: THE APPROVAL OF THE ADOPTION OF AMENDMENTS TO OUR RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF CLASS A COMMON STOCK FROM 5,000,000,000 TO 20,000,000,000.

TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, COMPRISING: THE APPROVAL OF THE ADOPTION OF

7C.

AMENDMENTS TO OUR RESTATED CERTIFICATE OF INCORPORATION TO PROVIDE FOR THE EQUAL TREATMENT OF SHARES OF CLASS A COMMON STOCK, CLASS B COMMON STOCK, AND CLASS C CAPITAL STOCK IN CONNECTION WITH DIVIDENDS AND DISTRIBUTIONS, CERTAIN TRANSACTIONS, AND UPON OUR LIQUIDATION, DISSOLUTION, OR WINDING UP.

Management

For

For

7D.

TO APPROVE THE ADOPTION OF OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, COMPRISING: THE APPROVAL OF THE ADOPTION OF AMENDMENTS TO OUR RESTATED CERTIFICATE OF INCORPORATION TO PROVIDE FOR ADDITIONAL EVENTS UPON WHICH ALL OF OUR

Management

For

For

SHARES OF CLASS B  
COMMON STOCK WILL  
AUTOMATICALLY  
CONVERT TO CLASS A  
COMMON STOCK, TO  
PROVIDE FOR  
ADDITIONAL INSTANCES  
WHERE CLASS B COMMON  
STOCK WOULD NOT  
CONVERT TO CLASS A  
COMMON STOCK IN  
CONNECTION WITH  
CERTAIN TRANSFERS,  
AND TO MAKE CERTAIN  
RELATED CHANGES TO  
THE CLASS B COMMON  
STOCK CONVERSION  
PROVISIONS.

- |     |  |             |         |     |
|-----|--|-------------|---------|-----|
| 8.  | TO AMEND AND RESTATE<br>OUR 2012 EQUITY<br>INCENTIVE PLAN.                             | Management  | For     | For |
| 9.  | A STOCKHOLDER<br>PROPOSAL REGARDING<br>CHANGE IN<br>STOCKHOLDER VOTING.                | Shareholder | Against | For |
| 10. | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN ANNUAL<br>SUSTAINABILITY REPORT.             | Shareholder | Against | For |
| 11. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>LOBBYING REPORT.                              | Shareholder | Against | For |
| 12. | A STOCKHOLDER<br>PROPOSAL REGARDING<br>AN INTERNATIONAL<br>PUBLIC POLICY<br>COMMITTEE. | Shareholder | Against | For |
| 13. | A STOCKHOLDER<br>PROPOSAL REGARDING A<br>GENDER PAY EQUITY<br>REPORT.                  | Shareholder | Against | For |

| Account<br>Number | Account Name | Internal<br>Custodian<br>Account | Ballot<br>Shares | Unavailable<br>Shares | Vote Date   | Date<br>Confirmed |
|-------------------|--------------|----------------------------------|------------------|-----------------------|-------------|-------------------|
| 997AL27           | GLV          | 997AL27                          | 6,700            | 0                     | 04-Jun-2016 | 21-Jun-2016       |



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|         |                   |         |                                       |        |        |             |             |
|---------|-------------------|---------|---------------------------------------|--------|--------|-------------|-------------|
|         |                   |         | STATE<br>STREET<br>BANK &<br>TRUST CO |        |        |             |             |
| 997AL29 | GLO               | 997AL29 | STATE<br>STREET<br>BANK &<br>TRUST CO | 27,600 | 0      | 04-Jun-2016 | 21-Jun-2016 |
| 997AL45 | GLV BNP<br>PLEDGE | 997AL45 | STATE<br>STREET<br>BANK &<br>TRUST CO | 100    | 5,100  | 04-Jun-2016 | 21-Jun-2016 |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47 | STATE<br>STREET<br>BANK &<br>TRUST CO | 11,300 | 27,300 | 04-Jun-2016 | 21-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49 | STATE<br>STREET<br>BANK &<br>TRUST CO | 170    | 67,700 | 04-Jun-2016 | 21-Jun-2016 |
| 997AL60 | GLV MS<br>PLEDGE  | 997AL60 | STATE<br>STREET<br>BANK &<br>TRUST CO | 11,300 | 0      | 04-Jun-2016 | 21-Jun-2016 |

SEIBU HOLDINGS INC.

|                |                      |                    |                        |
|----------------|----------------------|--------------------|------------------------|
| Security       | J7030Q119            | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |                      | Meeting Date       | 21-Jun-2016            |
| ISIN           | JP3417200007         | Agenda             | 707131176 - Management |
| Record Date    | 31-Mar-2016          | Holding Recon Date | 31-Mar-2016            |
| City / Country | SAITAMA / Japan      | Vote Deadline Date | 13-Jun-2016            |
| SEDOL(s)       | BKY6H35 -<br>BLTVHX2 | Quick Code         | 90240                  |

| Item | Proposal                            | Proposed by | Vote | For/Against<br>Management |
|------|-------------------------------------|-------------|------|---------------------------|
|      | Please reference meeting materials. | Non-Voting  |      |                           |
| 1    | Approve Appropriation of Surplus    | Management  | For  | For                       |
| 2    |                                     | Management  | For  | For                       |

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Approve Reduction of Capital Reserve

|      |   |            |     |     |
|------|---|------------|-----|-----|
| 3.1  | Appoint a Director Goto, Takashi        | Management | For | For |
| 3.2  | Appoint a Director Takahashi, Kaoru     | Management | For | For |
| 3.3  | Appoint a Director Uwano, Akihisa       | Management | For | For |
| 3.4  | Appoint a Director Nishii, Tomoyuki     | Management | For | For |
| 3.5  | Appoint a Director Goto, Fumiyasu       | Management | For | For |
| 3.6  | Appoint a Director Nishiyama, Ryuichiro | Management | For | For |
| 3.7  | Appoint a Director Wakabayashi, Hisashi | Management | For | For |
| 3.8  | Appoint a Director Akasaka, Shigeyoshi  | Management | For | For |
| 3.9  | Appoint a Director Kitamura, Kimio      | Management | For | For |
| 3.10 | Appoint a Director Nakamura, Hitoshi    | Management | For | For |
| 3.11 | Appoint a Director Shinden, Chikara     | Management | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 67,100        | 0                  | 31-May-2016 | 20-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 111,600       | 0                  | 31-May-2016 | 20-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 278,100       | 0                  | 31-May-2016 | 20-Jun-2016    |

CARDIOME PHARMA CORP.

|               |           |              |             |
|---------------|-----------|--------------|-------------|
| Security      | 14159U301 | Meeting Type | Annual      |
| Ticker Symbol | CRME      | Meeting Date | 21-Jun-2016 |

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|                |              |                    |                        |
|----------------|--------------|--------------------|------------------------|
| ISIN           | CA14159U3010 | Agenda             | 934437864 - Management |
| Record Date    | 17-May-2016  | Holding Recon Date | 17-May-2016            |
| City / Country | / Canada     | Vote Deadline Date | 16-Jun-2016            |
| SEDOL(s)       |              | Quick Code         |                        |

| Item | Proposal   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
| 01   | DIRECTOR   | Management  |      |                        |
|      | 1 RICHARD M. GLICKMAN  |             | For  | For                    |
|      | 2 W. JAMES O'SHEA  |             | For  | For                    |
|      | 3 WILLIAM L. HUNTER  |             | For  | For                    |
|      | 4 MARK H. CORRIGAN   |             | For  | For                    |
|      | 5 ARTHUR H. WILLMS   |             | For  | For                    |
|      | 6 ROBERT J. MEYER  |             | For  | For                    |
| 02   | APPOINTMENT OF KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. | Management  | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL27        | GLV            | 997AL27 STATE STREET BANK & TRUST CO | 2,600         | 0                  | 28-May-2016 | 22-Jun-2016    |
| 997AL28        | GLQ            | 997AL28 STATE STREET BANK & TRUST CO | 4,400         | 0                  | 28-May-2016 | 22-Jun-2016    |
| 997AL29        | GLO            | 997AL29 STATE STREET BANK & TRUST CO | 10,899        | 0                  | 28-May-2016 | 22-Jun-2016    |
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET                 | 12,100        | 139,000            | 28-May-2016 | 22-Jun-2016    |

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|         |                   |  |        |         |             |             |
|---------|-------------------|--|--------|---------|-------------|-------------|
|         |                   | BANK &<br>TRUST CO                               |        |         |             |             |
| 997AL47 | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 18,900 | 233,900 | 28-May-2016 | 22-Jun-2016 |
| 997AL49 | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 47,971 | 576,000 | 28-May-2016 | 22-Jun-2016 |

CORINDUS VASCULAR ROBOTICS, INC.

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 218730109       | Meeting Type       | Annual                 |
| Ticker Symbol  | CVRS            | Meeting Date       | 23-Jun-2016            |
| ISIN           | US2187301096    | Agenda             | 934428790 - Management |
| Record Date    | 05-May-2016     | Holding Recon Date | 05-May-2016            |
| City / Country | / United States | Vote Deadline Date | 22-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal  | Proposed by | Vote | For/Against Management |
|------|---|-------------|------|------------------------|
| 1.   | REINCORPORATION OF THE COMPANY TO THE STATE OF DELAWARE                 | Management  | For  | For                    |
| 2.   | ESTABLISHMENT OF A CLASSIFIED BOARD OF DIRECTORS                        | Management  | For  | For                    |
| 3.   | PROHIBITING STOCKHOLDER ACTION BY WRITTEN CONSENT                       | Management  | For  | For                    |
| 4.   | REQUIRING A SUPER-MAJORITY VOTE OF STOCKHOLDERS TO TAKE CERTAIN ACTIONS | Management  | For  | For                    |
| 5.   | DIRECTOR  | Management  |      |                        |
|      | 1 HILLEL BACHRACH#  |             | For  | For                    |
|      | 2 DAVID R. WHITE#   |             | For  | For                    |
|      | 3 GERARD WINKELS#   |             | For  | For                    |
|      | 4 JEFFREY G. GOLDS  |             | For  | For                    |

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|   |                             |     |     |
|---|-----------------------------|-----|-----|
| 5 | CAMPBELL D.<br>ROGERS, MD\$ | For | For |
| 6 | JEFFREY C.<br>LIGHTCAP*     | For | For |
| 7 | MICHAEL Y.<br>MASHAAL, MD*  | For | For |
| 8 | MARK J. TOLAND*             | For | For |

|    |   |            |     |     |
|----|---|------------|-----|-----|
| 6. | AMENDMENT AND<br>RESTATEMENT OF THE<br>COMPANY'S 2014 STOCK<br>AWARD PLAN | Management | For | For |
| 7. | RATIFICATION OF<br>INDEPENDENT<br>REGISTERED PUBLIC<br>ACCOUNTING FIRM    | Management | For | For |

| Account Number | Account Name      | Internal Custodian Account                       | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|-------------------|--|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP<br>PLEDGE | 997AL45<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 146,900       | 0                  | 19-May-2016 | 24-Jun-2016    |
| 997AL47        | GLQ BNP<br>PLEDGE | 997AL47<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 245,000       | 0                  | 19-May-2016 | 24-Jun-2016    |
| 997AL49        | GLO BNP<br>PLEDGE | 997AL49<br>STATE<br>STREET<br>BANK &<br>TRUST CO | 106,800       | 500,000            | 19-May-2016 | 24-Jun-2016    |

NIPPON TELEGRAPH AND TELEPHONE CORPORATION

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | J59396101   | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 24-Jun-2016            |
| ISIN           | JP3735400008  | Agenda             | 707140517 - Management |
| Record Date    | 31-Mar-2016   | Holding Recon Date | 31-Mar-2016            |
| City / Country | TOKYO / Japan   | Vote Deadline Date | 16-Jun-2016            |
| SEDOL(s)       | 0641186 - 5168602 -<br>6641373 - B1570S0 -<br>BJ04L23 | Quick Code         | 94320                  |

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| Item | Proposal                                   | Proposed by | Vote | For/Against Management |
|------|--|-------------|------|------------------------|
|      | Please reference meeting materials.        | Non-Voting  |      |                        |
| 1    | Approve Appropriation of Surplus           | Management  | For  | For                    |
| 2.1  | Appoint a Director Miura, Satoshi          | Management  | For  | For                    |
| 2.2  | Appoint a Director Unoura, Hiroo           | Management  | For  | For                    |
| 2.3  | Appoint a Director Shinohara, Hiromichi    | Management  | For  | For                    |
| 2.4  | Appoint a Director Sawada, Jun             | Management  | For  | For                    |
| 2.5  | Appoint a Director Kobayashi, Mitsuyoshi   | Management  | For  | For                    |
| 2.6  | Appoint a Director Shimada, Akira          | Management  | For  | For                    |
| 2.7  | Appoint a Director Okuno, Tsunehisa        | Management  | For  | For                    |
| 2.8  | Appoint a Director Kuriyama, Hiroki        | Management  | For  | For                    |
| 2.9  | Appoint a Director Hiroi, Takashi          | Management  | For  | For                    |
| 2.10 | Appoint a Director Sakamoto, Eiichi        | Management  | For  | For                    |
| 2.11 | Appoint a Director Shirai, Katsuhiko       | Management  | For  | For                    |
| 2.12 | Appoint a Director Sakakibara, Sadayuki    | Management  | For  | For                    |
| 3    | Appoint a Corporate Auditor Maezawa, Takao | Management  | For  | For                    |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 19,000        | 0                  | 01-Jun-2016 | 23-Jun-2016    |
| AL28           | AL28         |                            | 31,800        | 0                  | 01-Jun-2016 | 23-Jun-2016    |

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|      |      |                         |        |   |             |             |
|------|------|-------------------------|--------|---|-------------|-------------|
|      |      | STATE<br>STREET<br>BANK |        |   |             |             |
| AL29 | AL29 | STATE<br>STREET<br>BANK | 80,200 | 0 | 01-Jun-2016 | 23-Jun-2016 |

FUJIFILM HOLDINGS CORPORATION

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | J14208102   | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 29-Jun-2016            |
| ISIN           | JP3814000000  | Agenda             | 707160379 - Management |
| Record Date    | 31-Mar-2016   | Holding Recon Date | 31-Mar-2016            |
| City / Country | TOKYO / Japan   | Vote Deadline Date | 13-Jun-2016            |
| SEDOL(s)       | 5477591 - 6356525 -<br>B0222J6 - B0ZGTR2<br>- BHZL2M2 | Quick Code         | 49010                  |

| Item | Proposal                               | Proposed by | Vote | For/Against<br>Management |
|------|--|-------------|------|---------------------------|
|      | Please reference meeting materials.    | Non-Voting  |      |                           |
| 1    | Approve Appropriation of Surplus       | Management  | For  | For                       |
| 2.1  | Appoint a Director Komori, Shigetaka   | Management  | For  | For                       |
| 2.2  | Appoint a Director Sukeno, Kenji       | Management  | For  | For                       |
| 2.3  | Appoint a Director Tamai, Koichi       | Management  | For  | For                       |
| 2.4  | Appoint a Director Toda, Yuzo          | Management  | For  | For                       |
| 2.5  | Appoint a Director Takahashi, Toru     | Management  | For  | For                       |
| 2.6  | Appoint a Director Ishikawa, Takatoshi | Management  | For  | For                       |
| 2.7  | Appoint a Director Miyazaki, Go        | Management  | For  | For                       |
| 2.8  | Appoint a Director Yamamoto, Tadahito  | Management  | For  | For                       |
| 2.9  |  | Management  | For  | For                       |

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|      |  |            |  |     |     |
|------|--|------------|--|-----|-----|
|      | Appoint a Director Kitayama, Teisuke           |            |  |     |     |
| 2.10 | Appoint a Director Inoue, Hiroshi              | Management |  | For | For |
| 2.11 | Appoint a Director Shibata, Norio              | Management |  | For | For |
| 2.12 | Appoint a Director Yoshizawa, Masaru           | Management |  | For | For |
| 3.1  | Appoint a Corporate Auditor Matsushita, Mamoru | Management |  | For | For |
| 3.2  | Appoint a Corporate Auditor Uchida, Shiro      | Management |  | For | For |
| 4    | Appoint Accounting Auditors                    | Management |  | For | For |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 14,100        | 0                  | 06-Jun-2016 | 28-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 23,000        | 0                  | 06-Jun-2016 | 28-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 57,200        | 0                  | 06-Jun-2016 | 28-Jun-2016    |

NINTENDO CO.,LTD.

|                |   |                    |                        |
|----------------|---|--------------------|------------------------|
| Security       | J51699106                                       | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |   | Meeting Date       | 29-Jun-2016            |
| ISIN           | JP3756600007                                    | Agenda             | 707161802 - Management |
| Record Date    | 31-Mar-2016                                     | Holding Recon Date | 31-Mar-2016            |
| City / Country | KYOTO / Japan                                   | Vote Deadline Date | 13-Jun-2016            |
| SEDOL(s)       | 5334209 - 6639550 - B02JMD1 - B0ZGTW7 - BRTM8H8 | Quick Code         | 79740                  |

| Item | Proposal | Proposed by | Vote | For/Against Management |
|------|----------|-------------|------|------------------------|
|------|----------|-------------|------|------------------------|



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|     |   |            |     |     |
|-----|---|------------|-----|-----|
|     | Please reference meeting materials.   | Non-Voting |     |     |
| 1   | Approve Appropriation of Surplus  | Management | For | For |
| 2   | Amend Articles to: Expand Business Lines, Increase the Board of Directors Size to 20, Transition to a Company with Supervisory Committee, Revise Directors with Title | Management | For | For |
| 3.1 | Appoint a Director except as Supervisory Committee Members Kimishima, Tatsumi   | Management | For | For |
| 3.2 | Appoint a Director except as Supervisory Committee Members Takeda, Genyo  | Management | For | For |
| 3.3 | Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru  | Management | For | For |
| 3.4 | Appoint a Director except as Supervisory Committee Members Takahashi, Shinya  | Management | For | For |
| 3.5 | Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro   | Management | For | For |
| 4.1 | Appoint a Director as Supervisory Committee Members Noguchi, Naoki  | Management | For | For |
| 4.2 | Appoint a Director as Supervisory Committee Members Mizutani, Naoki   | Management | For | For |
| 4.3 | Appoint a Director as Supervisory Committee Members Mitamura, Yoshimi   | Management | For | For |
| 4.4 | Appoint a Director as Supervisory Committee Members Umeyama, Katsuhiko  | Management | For | For |
| 5   | Amend the Compensation to be received by Directors except as Supervisory Committee Members  | Management | For | For |
| 6   | Amend the Compensation to be received by Directors as   | Management | For | For |

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Supervisory Committee  
Members

| Account Number | Account Name | Internal Account Custodian | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 6,200         | 0                  | 07-Jun-2016 | 28-Jun-2016    |
| AL28           | AL28         | STATE STREET BANK          | 10,100        | 0                  | 07-Jun-2016 | 28-Jun-2016    |
| AL29           | AL29         | STATE STREET BANK          | 25,400        | 0                  | 07-Jun-2016 | 28-Jun-2016    |

KEISEI ELECTRIC RAILWAY CO.,LTD.

|                |  |                    |                        |
|----------------|--|--------------------|------------------------|
| Security       | J32233108                                    | Meeting Type       | Annual General Meeting |
| Ticker Symbol  |  | Meeting Date       | 29-Jun-2016            |
| ISIN           | JP3278600006                                 | Agenda             | 707162032 - Management |
| Record Date    | 31-Mar-2016                                  | Holding Recon Date | 31-Mar-2016            |
| City / Country | CHIBA / Japan                                | Vote Deadline Date | 21-Jun-2016            |
| SEDOL(s)       | 5893067 - 6487425 -<br>B02HNY3 -<br>BHZZL6D1 | Quick Code         | 90090                  |

| Item | Proposal                              | Proposed by | Vote | For/Against Management |
|------|---------------------------------------|-------------|------|------------------------|
|      | Please reference meeting materials.   | Non-Voting  |      |                        |
| 1    | Approve Appropriation of Surplus      | Management  | For  | For                    |
| 2    | Approve Share Consolidation           | Management  | For  | For                    |
| 3.1  | Appoint a Director Saigusa, Norio     | Management  | For  | For                    |
| 3.2  | Appoint a Director Hirata, Kenichiro  | Management  | For  | For                    |
| 3.3  | Appoint a Director Kobayashi, Toshiya | Management  | For  | For                    |
| 3.4  |                                       | Management  | For  | For                    |

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|      |  |            |  |     |     |  |
|------|--|------------|--|-----|-----|--|
|      | Appoint a Director Mashimo, Yukihiro   |            |  |     |     |  |
| 3.5  | Appoint a Director Matsukami, Eiichiro   | Management |  | For | For |  |
| 3.6  | Appoint a Director Saito, Takashi  | Management |  | For | For |  |
| 3.7  | Appoint a Director Kato, Masaya  | Management |  | For | For |  |
| 3.8  | Appoint a Director Miyajima, Hiroyuki  | Management |  | For | For |  |
| 3.9  | Appoint a Director Serizawa, Hiroyuki  | Management |  | For | For |  |
| 3.10 | Appoint a Director Akai, Fumiya  | Management |  | For | For |  |
| 3.11 | Appoint a Director Furukawa, Yasunobu  | Management |  | For | For |  |
| 3.12 | Appoint a Director Shinozaki, Atsushi  | Management |  | For | For |  |
| 3.13 | Appoint a Director Amano, Takao  | Management |  | For | For |  |
| 3.14 | Appoint a Director Kawasumi, Makoto  | Management |  | For | For |  |
| 3.15 | Appoint a Director Toshima, Susumu   | Management |  | For | For |  |
| 4.1  | Appoint a Corporate Auditor Kawakami, Mamoru   | Management |  | For | For |  |
| 4.2  | Appoint a Corporate Auditor Matsuyama, Yasuomi   | Management |  | For | For |  |
| 5    | Approve Continuance of Policy regarding Large-scale Purchases of Company Shares (Anti-Takeover Defense Measures) | Management |  | For | For |  |

| Account Number | Account Name | Internal Custodian Account | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|--------------|----------------------------|---------------|--------------------|-------------|----------------|
| AL27           | AL27         | STATE STREET BANK          | 84,000        | 0                  | 07-Jun-2016 | 28-Jun-2016    |
| AL28           | AL28         |                            | 141,000       | 0                  | 07-Jun-2016 | 28-Jun-2016    |

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|      |      |                         |         |   |             |             |
|------|------|-------------------------|---------|---|-------------|-------------|
|      |      | STATE<br>STREET<br>BANK |         |   |             |             |
| AL29 | AL29 | STATE<br>STREET<br>BANK | 349,000 | 0 | 07-Jun-2016 | 28-Jun-2016 |

CALAMOS STRATEGIC TOTAL RETURN FUND

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 128125101       | Meeting Type       | Annual                 |
| Ticker Symbol  | CSQ             | Meeting Date       | 30-Jun-2016            |
| ISIN           | US1281251017    | Agenda             | 934426772 - Management |
| Record Date    | 29-Apr-2016     | Holding Recon Date | 29-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 29-Jun-2016            |

SEDOL(s)

Quick Code

| Item | Proposal              | Proposed by | Vote | For/Against Management |
|------|-----------------------|-------------|------|------------------------|
| 1.   | DIRECTOR              | Management  |      |                        |
|      | 1 VIRGINIA G. BREEN   |             | For  | For                    |
|      | 2 THERESA A. HAMACHER |             | For  | For                    |
|      | 3 STEPHEN B. TIMBERS  |             | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 800           | 88,000             | 14-May-2016 | 01-Jul-2016    |

PIMCO FLOATING RATE STRATEGY FUND

|                |                 |                    |                        |
|----------------|-----------------|--------------------|------------------------|
| Security       | 72201J104       | Meeting Type       | Annual                 |
| Ticker Symbol  | PFN             | Meeting Date       | 30-Jun-2016            |
| ISIN           | US72201J1043    | Agenda             | 934431709 - Opposition |
| Record Date    | 28-Apr-2016     | Holding Recon Date | 28-Apr-2016            |
| City / Country | / United States | Vote Deadline Date | 29-Jun-2016            |

SEDOL(s)

Quick Code

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| Item | Proposal             | Proposed by | Vote | For/Against Management |
|------|----------------------|-------------|------|------------------------|
| 1.   | DIRECTOR             | Management  |      |                        |
|      | 1 DEBORAH A. DECOTIS |             | For  | For                    |

| Account Number | Account Name   | Internal Custodian Account           | Ballot Shares | Unavailable Shares | Vote Date   | Date Confirmed |
|----------------|----------------|--------------------------------------|---------------|--------------------|-------------|----------------|
| 997AL45        | GLV BNP PLEDGE | 997AL45 STATE STREET BANK & TRUST CO | 37,700        | 0                  | 20-May-2016 | 01-Jul-2016    |

SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global Dividend  
and Income Fund

By: /s/ Edmund J. Burke  
Edmund J. Burke  
President

Date: August 22, 2016