Edgar Filing: Ascent Capital Group, Inc. - Form 8-K

Ascent Capital Group, Inc. Form 8-K October 31, 2018

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (date of earliest event reported): October 30, 2018

ASCENT CAPITAL GROUP, INC.

(Exact name of registrant as specified in its charter)

Delaware 001-34176 26-2735737 (State or other jurisdiction of incorporation or organization) File Number Identification No.)

5251 DTC Parkway, Suite 1000 Greenwood Village, Colorado 80111 (Address of principal executive offices and zip code)

Registrant's telephone number, including area code: (303) 628-5600

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- o Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- o Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c)) Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company o

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act. o

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Item 7.01. Regulation FD Disclosure.

On October 30, 2018, Ascent Capital Group, Inc. (the "Company") issued a press release, attached hereto as Exhibit 99.1, announcing it will release its results for the three and nine months ended September 30, 2018 before the market opens on Monday, November 5, 2018. The Company will host a conference call that day at 9:00 a.m. ET. Participating on the call will be Bill Fitzgerald, the Company's Chairman, William Niles, Chief Executive Officer and General Counsel, Jeffery Gardner, Executive Vice President, and Fred Graffam, the Company's Senior Vice President and Chief Financial Officer. Messrs. Niles, Gardner and Graffam are also executive officers of the Company's wholly owned subsidiary, which does business as Brinks Home Security. During the call, management will provide an update on the Company's operations, including the financial performance of Brinks Home Security, and may also discuss future opportunities.

This Current Report on Form 8-K and the press release attached hereto as Exhibit 99.1 are being furnished to the SEC under Item 7.01 of Form 8-K in satisfaction of the public disclosure requirements of Regulation FD and shall not be deemed "filed" for any purpose.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

Exhibit No. Description

99.1 Press Release issued by Ascent Capital Group, Inc. on October 30, 2018

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SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: October 31, 2018

ASCENT CAPITAL GROUP, INC.

By:/s/ William E. Niles Name: William E. Niles

Title: Chief Executive Officer, General Counsel and Secretary

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