Stock Yards Bancorp, Inc. Form 4

July 03, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB

Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

HEINTZN	MAN DAVID P	Symbo		Issuer							
			Yards Bancorp, Inc. [SYBT]	(Check all applicable)							
(Last)	(First)		e of Earliest Transaction	V D							
3019 POP	PPY WAY	· ·	h/Day/Year) )/2017	_X_ Director 10% Owner _X_ Officer (give title Other (specify below)  Chairman & CEO							
	(Street)	4. If A	mendment, Date Original	6. Individual or Joint/Group Filing(Check							
LOUISVI	LLE, KY 40206	Filed(N	Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or Code V Amount (D) Price	Beneficially Form: (Instr. 4) Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)							
Common Stock	06/30/2017		S 1,500 D \$ 39.05	167,809 D							
Common Stock				6,061 I By Spouse							
Common Stock				By 15,612 I 401k/ESOP-David Heintzman							
Reminder: R	enort on a senarate lin	ne for each class of se	ecurities beneficially owned directly	or indirectly							

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474

(9-02)

#### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 14.02					02/16/2011	02/16/2020	Common Stock	26,325
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	21,573
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	36,411
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	25,015
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	28,989
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	21,742
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	24,799
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	13,273

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HEINTZMAN DAVID P 3019 POPPY WAY

LOUISVILLE, KY 40206

X Chairman & CEO

**Signatures** 

/s/ David

Heintzman 07/03/2017

\*\*Signature of Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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