STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

Poindexter Philip Form 4 October 02, 2018

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Poindexter Philip

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

Stock Yards Bancorp, Inc. [SYBT] (Check all applicable)

(First) (Middle) (Last)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner

320 MOCKINGBIRD HILL ROAD

(Street)

10/01/2018

X\_ Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

below) President

4. If Amendment, Date Original Filed(Month/Day/Year)

(Instr. 8)

Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

LOUISVILLE, KY 40207

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Owned

1.Title of Security (Month/Day/Year) (Instr. 3)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially

Ownership Form: (Instr. 4)

7. Nature of Indirect Beneficial Ownership

(A)

Following or Indirect Reported

(Instr. 4)

I

D

Direct (D)

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Common Stock

12,094

401k/ESOP-FBO Philip Poindexter

Common Stock

25,515

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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#### Edgar Filing: Poindexter Philip - Form 4

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed o (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amor or Numl of Sh
Stock Appreciation Right	\$ 35.9					02/20/2019	02/20/2028	Common Stock	4,8
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	10,6
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	7,5
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	8,8
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	8,0
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	9,4
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2017	Common Stock	4,9
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	6,1
Stock Appreciation Right	\$ 39.32	10/01/2018		A	17,500	10/01/2019	10/01/2028	Common Stock	17,5

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

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Poindexter Philip 320 MOCKINGBIRD HILL ROAD LOUISVILLE, KY 40207

President

### **Signatures**

/s/ Philip

Poindexter 10/02/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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