Miller John Patrick Form 3 May 03, 2019

#### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement UNITED BANCSHARES INC/OH [UBOH] A Miller John Patrick (Month/Day/Year) 04/24/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 105 PROGRESSIVE DR. (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) **COLUMBUS** Form filed by More than One Chief Lending Officer GROVE, OHÂ 45830 Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 328 Shares held jointly with spouse. Common Stock I 1,487 (1) Common Stock 18,052 I **ESOP** (2) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect Security Expiration Date Securities Underlying Conversion Ownership Beneficial

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(Instr. 4)		Expiration Date	Derivative Security (Instr. 4)		or Exercise Price of	Form of Derivative	Ownership (Instr. 5)
	Date Exercisable		Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	11/15/2017(3)	11/15/2026	Common Stock	3,900	\$ 19.32	D	Â
Stock Option (right to buy)	07/26/2018(4)	07/26/2027	Common Stock	3,327	\$ 21.7	D	Â
Stock Option (right to buy)	08/24/2019(5)	08/24/2028	Common Stock	3,183	\$ 23.3	D	Â

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner runne, runness	Director	10% Owner	Officer	Other		
Miller John Patrick 105 PROGRESSIVE DR. COLUMBUS GROVE, OH 45830	Â	Â	Chief Lending Officer	Â		

## **Signatures**

/s/ John Patrick
Miller

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held jointly with spouse as John P Miller & Lynn E Miller JT TEN
- (2) Shares held in trust through the Corporation's Employee Stock Ownership Plan ("ESOP") for the reporting person.
- (3) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on November 15, 2017.
- (4) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on July 26, 2018.
- (5) The options vest over three years, at a rate of 33.33% per year (33.34% in the final year), beginning on August 24, 2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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