

Ketchum Steven B  
Form 4  
January 02, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Ketchum Steven B

2. Issuer Name and Ticker or Trading Symbol  
AMARIN CORP PLC\UK [AMRN]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
  
C/O AMARIN PHARMA,  
INC., 1430 ROUTE 206  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/31/2018

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Chief Scientific Officer

BEDMINSTER, NJ 07921

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)               | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--------------------------------------|--|--------------------------------|---|---|--|---|
|   |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Ordinary Shares <sup>(1)</sup> <sub>(2)</sub> | 12/31/2018                           |  | M                              |   | 2,604   | A  | \$ 1.4  |
|   |                                      |  |                                |   | 343,901   | D  | <sup>(3)</sup>  |
| Ordinary Shares <sup>(1)</sup> <sub>(2)</sub> | 12/31/2018                           |  | M                              |   | 4,167   | A  | \$ 2.5  |
|   |                                      |  |                                |   | 348,068   | D  | <sup>(3)</sup>  |
| Ordinary Shares <sup>(1)</sup> <sub>(2)</sub> | 12/31/2018                           |  | M                              |   | 3,750   | A  | \$ 2.5  |
|   |                                      |  |                                |   | 351,818   | D  | <sup>(3)</sup>  |
| Ordinary Shares <sup>(1)</sup> <sub>(2)</sub> | 12/31/2018                           |  | M                              |   | 3,750   | A  | \$ 2.5  |
|   |                                      |  |                                |   | 355,568   | D  | <sup>(3)</sup>  |

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|                            |            |  |   |        |   |                          |         |              |
|----------------------------|------------|--|---|--------|---|--------------------------|---------|--------------|
| <u>(2)</u>                 |            |  |   |        |   |                          |         |              |
| Ordinary Shares <u>(1)</u> | 12/31/2018 |  | M | 2,770  | A | \$ 2.95                  | 358,338 | D <u>(3)</u> |
| <u>(2)</u>                 |            |  |   |        |   |                          |         |              |
| Ordinary Shares <u>(1)</u> | 12/31/2018 |  | S | 17,041 | D | \$ <u>(4)</u><br>13.5093 | 341,297 | D <u>(3)</u> |
| <u>(2)</u>                 |            |  |   |        |   |                          |         |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. P. Derivative Securities (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title  | Amount or Number of Shares |
| Stock Option (Right to Buy)                | \$ 1.4   | 12/31/2018                           |  | M                              | 2,604   | <u>(5)</u>   | 01/31/2026  | Ordinary Shares <u>(2)</u>                   | 2,604                      |
| Stock Option (Right to Buy)                | \$ 2.5   | 12/31/2018                           |  | M                              | 4,167   | <u>(6)</u>   | 07/06/2025  | Ordinary Shares <u>(2)</u>                   | 4,167                      |
| Stock Option (Right to Buy)                | \$ 2.5   | 12/31/2018                           |  | M                              | 3,750   | <u>(7)</u>   | 07/06/2025  | Ordinary Shares <u>(2)</u>                   | 3,750                      |
| Stock Option (Right to Buy)                | \$ 2.5   | 12/31/2018                           |  | M                              | 3,750   | <u>(7)</u>   | 07/06/2025  | Ordinary Shares <u>(2)</u>                   | 3,750                      |
| Stock Option                               | \$ 2.95  | 12/31/2018                           |  | M                              | 2,770   | <u>(8)</u>   | 01/31/2027  | Ordinary Shares <u>(2)</u>                   | 2,770                      |

(Right to  
Buy)

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Ketchum Steven B<br>C/O AMARIN PHARMA, INC.<br>1430 ROUTE 206<br>BEDMINSTER, NJ 07921 |               |           | Chief Scientific Officer |       |

## Signatures

/s/ Michael W. Kalb, by power of  
attorney

01/02/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option exercises and sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan previously adopted by the Reporting Person.
  - (2) The Ordinary Shares may be represented by American Depositary Shares, each of which currently represents one Ordinary Share.
  - (3) Please see the section titled "Remarks" below for additional information.  
  
The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$13.22 to \$13.84, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.
  - (4) On February 1, 2016, the Reporting Person was granted an option to purchase 125,000 Ordinary Shares under the Amarin Corporation plc 2011 Stock Incentive Plan (the "Plan"). The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 29, 2016.
  - (5) On July 6, 2015, the Reporting Person was granted an option to purchase 200,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
  - (6) On July 6, 2015, the Reporting Person was granted an option to purchase 180,000 Ordinary Shares under the Plan. The vesting of the shares is subject to certain performance milestone that was previously achieved. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on July 31, 2015.
  - (7) On February 1, 2017, the Reporting Person was granted an option to purchase 133,000 Ordinary Shares under the Plan. The shares subject to this option shall vest and become exercisable in 48 equal monthly installments on the last day of each month that began on February 28, 2017.
  - (8)

### Remarks:

In the case of a Change of Control (as defined in the Plan), the grants described in this Form 4 vest in full. As of the date of this

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