AeroGrow International, Inc.

Form 4

December 06, 2016

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

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Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Washington, D.C. 20549

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

12/02/2016

(Print or Type Responses)

1. Name and Address of Reporting Person * Gibbs Grey H			2. Issuer Name and Ticker or Trading Symbol AeroGrow International, Inc. [AERO]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 3566 LARKS	(First) PUR CT.	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/02/2016	Director 10% Owner Other (specify below) SVP-Finance and Accounting			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
LONGMONT	, CO 80503			Form filed by More than One Reporting Person			

#### (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) (Instr. 3, 4 and 5) Beneficially Code Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price Common 12/02/2016 M 7,383 A \$ 1.01 7,383 D Stock Common 12/02/2016 M 8,750 \$ 2.2 D A 16,133 Stock Common 12/02/2016 M 7,433 \$ 1.55 23,566 D Stock Common \$ 1.55 12/06/2016 M 6,127 A 29,693 D Stock

8,420

21,273

D

S

### Edgar Filing: AeroGrow International, Inc. - Form 4

15,146 D \$ 6,127 Common 12/05/2016 S D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock	\$ 1.01	12/02/2016		M	7,383	05/08/2013	02/08/2018	Common Stock	7,383
Common Stock	\$ 2.2	12/02/2016		M	8,750	01/09/2014	10/09/2018	Common Stock	8,750
Common Stock	\$ 1.55	12/02/2016		M	7,433	11/20/2015	08/20/2020	Common Stock	7,433
Common Stock	\$ 1.55	12/06/2016		M	6,127	11/20/2015	08/20/2020	Common Stock	6,127

### **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Gibbs Grey H

3566 LARKSPUR CT. **SVP-Finance and Accounting** LONGMONT, CO 80503

**Signatures** 

Jake Wright, Attorney

In Fact 12/06/2016 Date \*\*Signature of Reporting Person

2 Reporting Owners

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.