Hinrichs Thomas Form 4 June 21, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction

Check this box

if no longer

Section 16.

Form 4 or

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Hinrichs Thomas Issuer Symbol BROWN FORMAN CORP [BFA, (Check all applicable) BFB] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify \_X\_\_ Officer (give title (Month/Day/Year) below) 850 DIXIE HIGHWAY 06/19/2018 Pres., International Division (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting LOUISVILLE KY 40210

LOUIS VILLE, KT 40210							Person			
(City)	(State)	(Zip) Tabl	e I - Non-D	erivative S	Securi	ties Acqu	iired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit r(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common	06/19/2018		S	36	D	\$ 51.12	2,626	D		
Class A Common	06/19/2018		S	6.3471	D	\$ 51.12	0	I	DRIP	
Class B Common	06/19/2018		S	28	D	\$ 52.83	3,152	D		
Class B Common	06/19/2018		S	3.2636	D	\$ 52.83	0	I	DRIP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Hinrichs Thomas - Form 4

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	any Code of (Month/Day/Year) (Instr. 8) Derivati Securiti Acquire (A) or Dispose of (D) (Instr. 3		of Derivative Securities Acquired (A) or Disposed		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 10.62					05/01/2012	04/30/2019	Class B Common	8,604
Stock Appreciation Right	\$ 15.088					05/01/2013	04/30/2020	Class B Common	6,503
Stock Appreciation Right	\$ 18.217					05/01/2014	04/30/2021	Class B Common	12,302
Stock Appreciation Right	\$ 23.047					05/01/2015	04/30/2022	Class B Common	14,457
Stock Appreciation Right	\$ 28.433					05/01/2016	04/30/2023	Class B Common	11,134
Stock Appreciation Right	\$ 36.109					05/01/2017	04/30/2024	Class B Common	8,808
Stock Appreciation Right	\$ 40.145					05/01/2018	04/30/2025	Class B Common	11,134
Stock Appreciation Right	\$ 38.481					05/01/2019	04/30/2026	Class B Common	14,966
Stock	\$ 39.757					05/01/2020	04/30/2027	Class B	12,647

Appreciation Common

Right

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Hinrichs Thomas 850 DIXIE HIGHWAY LOUISVILLE, KY 40210

Pres., International Division

### **Signatures**

Michael E. Carr, Jr., Attorney in Fact for Thomas Hinrichs

06/21/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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