ORAN STUART I

Form 4

October 30, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

subject to Section 16. Form 4 or

**SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* ORAN STUART I

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

FCB FINANCIAL HOLDINGS.

(Check all applicable)

INC. [FCB]

(Last)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

Secretary

(Month/Day/Year)

below)

below)

C/O FCB FINANCIAL HOLDINGS, 10/26/2017 INC., 2500 WESTON ROAD,

(Street)

(Month/Day/Year)

(First)

**SUITE 300** 

4. If Amendment, Date Original

Code

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

Execution Date, if

(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

WESTON, FL 33331

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3.

4. Securities Acquired (A) Transaction Disposed of (D)

5. Amount of Securities

7. Nature of Indirect Ownership Form: Beneficial Direct (D) Ownership

(Instr. 4)

(A)

(Instr. 3, 4 and 5)

Following or Indirect Reported Transaction(s)

(Instr. 4)

Code V Amount (D) Price

(Instr. 3 and 4)

Beneficially

Owned

Class A Common

Security

(Instr. 3)

Stock, par 10/26/2017 value

X 11,142 A \$ 24.24 18,246 D

I

\$.001 per share

Class A 10/26/2017 X 10,500 A \$ 24.24 10,500

By Olivia Z Oran 1998

Trust

Common Stock, par

value

### Edgar Filing: ORAN STUART I - Form 4

\$.001 per share								
Class A Common Stock, par value \$.001 per share	0/26/2017	X	10,500	A	\$ 24.24	10,500	I	By Gregory D. Oran 1998 Trust
Class A Common Stock, par value \$.001 per share	0/26/2017	S(1)	5,674	D	\$ 47.6	12,572	D	
Class A Common Stock, par value \$.001 per share	0/26/2017	S(2)	5,348	D	\$ 47.6	5,152	I	By Olivia Z Oran 1998 Trust
Class A Common Stock, par value \$.001 per share	0/26/2017	S(3)	5,348	D	\$ 47.6	5,152	I	By Gregory D. Oran 1998 Trust
Class A Common Stock, par value \$.001 per share	0/27/2017	S <u>(4)</u>	5,468	D	\$ 47.3416 (5)	7,104	D	
Class A Common Stock, par value \$.001 per share	0/27/2017	S	5,152	D	\$ 47.4817 ( <u>6)</u>	0	I	By Olivia Z Oran 1998 Trust
Class A Common Stock, par value \$.001 per share	0/27/2017	S	5,152	D	\$ 47.4794	0	I	By Gregory D. Oran 1998 Trust
Class A Common Stock, par						315	I	By Bond Street Management,

value LLC (8)

\$.001 per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock Warrants (right to buy)	\$ 24.24	10/26/2017		X		11,142	<u>(9)</u>	11/12/2019	Class A Common Stock	11,142
Common Stock Warrants (right to buy)	\$ 24.24	10/26/2017		X		10,500	<u>(9)</u>	11/12/2019	Class A Common Stock	10,500
Common Stock Warrants (right to buy)	\$ 24.24	10/26/2017		X		10,500	<u>(9)</u>	11/12/2019	Class A Common Stock	10,500

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
. 6	Director	10% Owner	Officer	Other			
ORAN STUART I	X		Secretary				
C/O FCB FINANCIAL HOLDINGS, INC.							
2500 WESTON ROAD, SUITE 300							

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WESTON, FL 33331

## **Signatures**

/s/ Douglas Bates, as Attorney-in-Fact for Stuart Oran

10/30/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On October 26, 2017, the reporting person exercised a warrant to purchase an aggregate of 11,142 shares of Class A Common Stock of the Issuer for \$24.24 a share. The reporting person paid the exercise price on a cashless basis, resulting in FCB Financial Holdings, Inc. (the "Issuer") withholding 5,674 of the warrant shares to pay the exercise price and issuing to the reporting person the remaining 5,468 shares. The Issuer also paid \$4.76 to the reporting person in lieu of fractional shares.
- On October 26, 2017, the Olivia Z Oran 1998 Trust ("Trust I") exercised a warrant to purchase an aggregate of 10,500 shares of Class A Common Stock of the Issuer for \$24.24 a share. Trust I paid the exercise price on a cashless basis, resulting in the Issuer withholding 5,348 of the warrant shares to pay the exercise price and issuing to Trust I the remaining 5,152 shares. The Issuer also paid \$44.74 to Trust I in lieu of fractional shares.
- On October 26, 2017, the Gregory D. Oran 1998 Trust ("Trust II") exercised a warrant to purchase an aggregate of 10,500 shares of

  Class A Common Stock of the Issuer for \$24.24 a share. Trust II paid the exercise price on a cashless basis, resulting in the Issuer withholding 5,348 of the warrant shares to pay the exercise price and issuing to Trust II the remaining 5,152 shares. The Issuer also paid \$44.74 to Trust II in lieu of fractional shares.
- (4) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 14, 2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.10 to \$47.70, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (5) to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.25 to \$47.65, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (6) to this Form 4.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$47.25 to \$47.70, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (7) to this Form 4.
- (8) This includes 315 shares of Class A Common Stock beneficially owned by the reporting person through Bond Street Management, LLC.
- Exercisable in three substantially equal portions on each of the 6-month, 18-month and 30-month anniversaries of the consummation of the initial public offering. The exercise price at the 6-month anniversary of the consummation of the initial public offering is \$24.24, the exercise price at the 18-month anniversary of the consummation of the initial public offering is \$26.18 and the exercise price at the 30-month anniversary of the consummation of the initial public offering is \$28.28.
- (10) These warrants were previously incorrectly reported as directly owned by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4