MARSHALL ERNEST W JR Form 3 March 08, 2019 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last) (First) (Middle) 02/27/2019 4. Relationship of Reporting Person(s) to Issuer 5. If Amendment, Date Original Filed(Month/Day/Year) 1000 EATON BLVD (Street) (Street) (Check all applicable) 6. Individual or Joint/Group CLEVELAND, OH 44122 Director (give tile below) 10% Owner, (specify below) 6. Individual or Joint/Group CLEVELAND, OH 44122 Table I - Non-Derivative Securities 6. Individual or Joint/Group (City) (State) (Zip) Table I - Non-Derivative Securities 8-eneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned (Instr. 4) 4. Nature of Indirect Beneficial Ownership 0-wareship Version Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1473 (7-02) 5. 6. Nature of Indirect Ownership 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and Securities Underlying Derivative Securities Underlying Derivative Security 5. 6. Nature of Indirect Ownership 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and Securities Underlying Derivative Security 3. Title and Amount of Security (Instr. 4) 4. 5. 6. Nature of Indirect Ownershi	1. Name and Address of Reporting Person <u>*</u> MARSHALL ERNEST W JR			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol Eaton Corp plc [ETN]						
(Street) (Check all applicable) 6. Individual or Joint/Group	(Last)	(First)	(Middle)	02/27/2019					-		
(Street) 	1000 EATO	N BLVD			(Check	(Chaok all applicable)					
Image: CLEVELAND, OH 44122 Image: CLEVELAND, CLEVELAND,A ALTER		(Street)			(Check	(Check an applicable)					
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Title

Date Exercisable Expiration Date

Security Amount or Number of Shares

Security: Direct (D) or Indirect (Instr. 5)

(I)

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

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Restricted Stock Units	08/01/2019 <u>(1)</u>	(2)	Ordinary Shares	17,850	\$ 0	D	Â
	02/26/2020(3)		Ordinary Shares	3,470	\$ 0	D	Â
Stock Option	02/26/2020(4)	02/26/2029	Ordinary Shares	19,750	\$ 80.49	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
MARSHALL ERNEST W JR 1000 EATON BLVD CLEVELAND, OH 44122	Â	Â	EVP and CHRO	Â	
Signatures					
/s/ Lizbeth L. Wright, as Attorney-in-Fact	03/08/2019				
<u>**</u> Signature of Reporting Person		D	ate		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units vest as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.
- (2) This field is not applicable.
- (3) These restricted stock units were granted on February 26, 2019 and vest as follows: 33% on the first and second anniversary of the grant date and the remaining 34% on the third anniversary of the grant date.
- (4) These stock options become exercisable as follows: 33% on the first and second anniversary of the date of the grant and the remaining 34% on the third anniversary of the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.