#### WIGGANS THOMAS G

Form 4 June 18, 2018

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * WIGGANS THOMAS G | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer  |  |  |  |
|--|--|---|--|--|--|
|  | Dermira, Inc. [DERM]                               | (Check all applicable)  |  |  |  |
| (Last) (First) (Middle)                                    | 3. Date of Earliest Transaction                    |   |  |  |  |
|  | (Month/Day/Year)                                   | Director 10% Owner  |  |  |  |
| C/O DERMIRA, INC.,, 275                                    | 06/18/2018   | Officer (give title Other (specify  |  |  |  |
| MIDDLEFIELD ROAD, SUITE 150                                |  | below) below) CEO & Chairman of the Board   |  |  |  |
| (Street)   | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check   |  |  |  |
| MENLO PARK, CA 94025                                       | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |  |  |
| (City) (State) (7in)                                       |  | Person  |  |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-                           | Derivative                             | Secui   | rities Acquii        | ed, Disposed of,   | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|---------|----------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securiti oror Dispose (Instr. 3, 4) | ed of ( | ` '                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 06/18/2018                              |   | P(1)                                   | 11,875                                 | A       | \$<br>10.1014<br>(2) | 41,580 (3)   | D  | Dy tha  |

By the Wiggans Living Common 131,180 Ι Trust Stock dated 5/14/02

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exerc | isable and         | 7. Titl | le and     | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|--------------------|---------|------------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Da | ite                | Amou    | ınt of     | Derivative  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)              | Under   | rlying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |                    | Secur   | ities      | (Instr. 5)  |
|             | Derivative  |                     |                    |             | Securities |               |                    | (Instr. | . 3 and 4) |             |
|             | Security    |                     |                    |             | Acquired   |               |                    |         |            |             |
|             |             |                     |                    |             | (A) or     |               |                    |         |            |             |
|             |             |                     |                    |             | Disposed   |               |                    |         |            |             |
|             |             |                     |                    |             | of (D)     |               |                    |         |            |             |
|             |             |                     |                    |             | (Instr. 3, |               |                    |         |            |             |
|             |             |                     |                    |             | 4, and 5)  |               |                    |         |            |             |
|             |             |                     |                    |             |            |               |                    |         | A          |             |
|             |             |                     |                    |             |            |               |                    |         | Amount     |             |
|             |             |                     |                    |             |            | Date          | Expiration le Date | Title   | or         |             |
|             |             |                     |                    |             |            | Exercisable   |                    |         | Number     |             |
|             |             |                     |                    | C 1 W       | (A) (D)    |               |                    |         | of         |             |
|             |             |                     |                    | Code V      | (A) (D)    |               |                    |         | Shares     |             |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

WIGGANS THOMAS G C/O DERMIRA, INC., 275 MIDDLEFIELD ROAD, SUITE 150 MENLO PARK, CA 94025

CEO & Chairman of the Board

### **Signatures**

/s/ Christine Ring as 06/18/2018 attorney-in-fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This trade was made pursuant to a Rule 10b5-1 trading plan.
- This transaction was executed in multiple trades at prices ranging from \$9.885 to \$10.265. The price reported above reflects the weighted (2) average price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) These shares include prior ESPP purchases.
- (4) The Reporting Person is a Co-Trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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