Covezzi Massimo Form 4 February 25, 2019

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

**OMB APPROVAL** 

3235-0287 Number:

Expires:

January 31, 2005

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \*

Covezzi Massimo

2. Issuer Name and Ticker or Trading Symbol

LyondellBasell Industries N.V.

[LYB]

(Middle)

(Zip)

(Last) (First) 3. Date of Earliest Transaction

(Month/Day/Year) 02/21/2019

4TH FLOOR, ONE VINE STREET (Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Director Officer (give title

10% Owner Other (specify

below) SVP Research & Development

5. Relationship of Reporting Person(s) to

(Check all applicable)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

LONDON, X0 W1J 0AH

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) (Instr. 4)

Ownership (Instr. 4)

(9-02)

(A)

or (Instr. 3 and 4)

Code V Amount (D) Price

Class A **Ordinary** 

Shares

(City)

02/21/2019

A 1.418 Α \$0  $15,828 \frac{(1)}{2}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. F Der Sec (Ins

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number out Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 88.5	02/21/2019		A	7,769	(2)	02/21/2029	Class A Ordinary Shares	7,769

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Covezzi Massimo 4TH FLOOR ONE VINE STREET LONDON, X0 W1J 0AH

SVP Research & Development

### **Signatures**

/s/ Lara A. Mason, Attorney-in-Fact 02/25/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 3,899 restricted stock units ("RSUs") granted pursuant to the issuer's long-term incentive plan: 1,234 granted on February 16, 2017 that vest on February 16, 2020 and 1,247 granted on February 21, 2018 that vest on February 21, 2021. The 1,418 RSUs reported on this Form 4 vest on February 21, 2022.
- (2) Granted pursuant to the issuer's long-term incentive plan. Award vests as follows: 2,591 vest on February 21, 2020, 2,589 vest on February 21, 2021 and 2,589 vest on February 21, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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