

Schmitz Thomas David
Form 3
April 15, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Schmitz Thomas David

(Last) (First) (Middle)

505 HUNTMAR PARK
DR,Â SUITE 300

(Street)

HERNDON,Â VAÂ 20170

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/15/2019

3. Issuer Name **and** Ticker or Trading Symbol

BEACON ROOFING SUPPLY INC [BECN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

___ Officer ___ Other

(give title below) (specify below)

VP & Chief Accounting Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting Person

___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, \$0.01 Par Value

242

D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Expiration Date			Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Units (RSUs)	11/18/2019 ⁽²⁾	Â ⁽²⁾	Common Stock, \$0.01 Par Value	633	\$ ⁽¹⁾	D	Â
Restricted Stock Units (RSUs)	11/16/2020 ⁽²⁾	Â ⁽²⁾	Common Stock, \$0.01 Par Value	702	\$ ⁽¹⁾	D	Â
Restricted Stock Units (RSUs)	11/13/2021 ⁽²⁾	Â ⁽²⁾	Common Stock, \$0.01 Par Value	1,376	\$ ⁽¹⁾	D	Â
Stock Options (Right to buy)	11/13/2019 ⁽³⁾	11/13/2028	Common Stock, \$0.01 Par Value	4,286	\$ 27.26	D	Â
Stock Options (Right to buy)	11/16/2018 ⁽³⁾	11/16/2027	Common Stock, \$0.01 Par Value	2,443	\$ 55.17	D	Â
Stock Options (Right to buy)	11/18/2017 ⁽³⁾	11/18/2026	Common Stock, \$0.01 Par Value	2,111	\$ 47.4	D	Â
Stock Options (Right to buy)	Â ⁽⁴⁾	11/20/2025	Common Stock, \$0.01 Par Value	1,021	\$ 37.89	D	Â
Stock Options (Right to buy)	Â ⁽⁴⁾	11/21/2024	Common Stock, \$0.01 Par Value	1,600	\$ 28.64	D	Â
Stock Options (Right to buy)	Â ⁽⁴⁾	11/22/2023	Common Stock, \$0.01 Par Value	750	\$ 36.19	D	Â
Stock Options (Right to buy)	Â ⁽⁴⁾	11/14/2022	Common Stock, \$0.01 Par Value	1,200	\$ 30.15	D	Â
Stock Options (Right to buy)	Â ⁽⁴⁾	11/18/2021	Common Stock, \$0.01 Par	1,500	\$ 18.72	D	Â

Stock Options (Right to buy)	Â (4)	11/16/2020	Value	1,000	\$ 15.47	D	Â
			Common Stock, \$0.01 Par Value				
Stock Options (Right to buy)	Â (4)	11/06/2019	Value	333	\$ 14.45	D	Â
			Common Stock, \$0.01 Par Value				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Schmitz Thomas David 505 HUNTMAR PARK DR SUITE 300 HERNDON, VA 20170	Â	Â	Â VP & Chief Accounting Officer	Â

Signatures

/s/ Thomas D. Schmitz 04/15/2019

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one for one basis.
- (2) The restricted stock units are scheduled to vest on the third anniversary of the grant date.
- (3) The stock options are scheduled to vest in three (3) equal annual installments, beginning on this date (the first anniversary of the grant date), and followed by the two subsequent anniversaries of this date.
- (4) The stock options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.