Edgar Filing: LUBYS INC - Form 4

LUBYS INC Form 4 July 05, 2017										
EODM	1							OMB AF	PROVAL	
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287		
Check this box if no longer subject to Section 16. Form 4 or				GES IN BENEFICIAL OWNERSHIP OF SECURITIES				Expires:January 31 2005Estimated average burden hours per response0.5		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940										
(Print or Type Responses)										
1. Name and Address of Reporting Person *2. Issuer *EMERSON ARTHUR RSymbolLUBYS *				d Ticker or 1 UB]	Fradin	g	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (N	(iddle) 3.	Date of Earliest T	ransaction			(Chec.	k all applicable)	
(Month/Da 13111 NORTHWEST 07/01/20 FREEWAY, SUITE 600				languetton			X_ Director10% Owner Officer (give titleOther (specify below)below)			
	(Street)	(Street) 4. If Amendma Filed(Month/Da					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
HOUSTON, TX 77040 HOUSTON, TX 77040										
(City)	(State)	(Zip)	Table I - Non-	Derivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Transaction Date 2A. Deemed			ispose	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Indirect Beneficial	
Restricted Stock Award	07/01/2017		Code A	V Amount 1,320 (1)	(D) A	Price \$ 2.84 (1)	(instr. 3 and 4) 63,666	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: LUBYS INC - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	⁷ (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

 Reporting Owner Name / Address

 Director
 10% Owner
 Officer
 Other

 EMERSON ARTHUR R 13111 NORTHWEST FREEWAY SUITE 600 HOUSTON, TX 77040
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X
 X

Reporting Person Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security fully vests at time granted and remains subject to a restriction on alienation until the earlier of 07/01/2020 or the date of the director's resignation or retirement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.