**BROD FRANK H** Form 4/A

December 06, 2017

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

3235-0287 Number:

January 31, Expires: 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **BROD FRANK H** 

(First)

(Street)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Chief Accounting Officer

Issuer

below)

(Middle)

MICROSOFT CORP [MSFT] 3. Date of Earliest Transaction

Director

10% Owner X\_ Officer (give title Other (specify

C/O MICROSOFT CORPORATION, ONE MICROSOFT WAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

08/31/2017

(Month/Day/Year)

08/30/2017

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

REDMOND, WA 98052-6399

140				ic 1 Tron Berryadive Securities required, Disposed 61, 61 Beneficianly 6 whea							
	1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
	Security	(Month/Day/Year)	Execution Date, if	Transactio	on(A) or Di	ispose	d of (D)	Securities	Form: Direct	Indirect	
	(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial	
			(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
								Following	(Instr. 4)	(Instr. 4)	
								Reported			
						(A)		Transaction(s)			
						or		(Instr. 3 and 4)			
				Code V	Amount	(D)	Price	()			
	Common	00/20/2017		E	2.507	Ъ	\$	102 206	Ъ		
	Stock	08/30/2017		F	2,507	D	73.05	102,296	D		
	20011						, , , , ,				
	Common	00/01/0017		_	C C17	_	\$	02.004	ъ		
	Stock	08/31/2017		F	6,617	D	74 01	83,884	D		
	Stoom						,				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Edgar Filing: BROD FRANK H - Form 4/A

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. onNumber	6. Date Exerc Expiration D		7. Title Amount		8. Price of Derivative	9. Nu Deriv
Security (Instr. 3)	or Exercise Price of Derivative Security	(Monan Day) Teal)	(Month/Day/Year)	Code (Instr. 8)	of	(Month/Day/Year) ve es d		Underly Securitie	erlying	Security (Instr. 5)	Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title N	Number		

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BROD FRANK H C/O MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052-6399

Chief Accounting Officer

# **Signatures**

John A. Seethoff, Attorney-in-fact for Frank H. Brod

12/06/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

#### **Remarks:**

a currently valid OMB number.

This filing is solely to correct an administrative error in the number of shares reported as canceled in payment of taxes on the solen Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

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