Hortonworks, Inc. Form 15-12B January 15, 2019

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 15

CERTIFICATION AND NOTICE OF TERMINATION OF REGISTRATION UNDER SECTION 12(g) OF THE SECURITIES EXCHANGE ACT OF 1934 OR SUSPENSION OF DUTY TO FILE REPORTS UNDER SECTIONS 13 AND 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934. Commission File Number: 001-36780

Hortonworks, Inc. (Exact name of registrant as specified in its charter)

5470 Great America Parkway
Santa Clara, California 95054
(408) 916-4121
(Address, including zip code, and telephone number, including area code, of registrant's principal executive offices)
Common Stock, \$0.0001 par value per share
(Title of each class of securities covered by this Form)
None
(Titles of all other classes of securities for which a duty to file reports under section 13(a) or 15(d) remains)

Please place an X in the box(es) to designate the appropriate rule provision(s) relied upon to terminate or suspend the duty to file reports:

Rule 12g-4(a)(1) ý Rule 12g-4(a)(2) Rule 12h-3(b)(1)(i) ý Rule 12h-3(b)(1)(ii) Rule 15d-6 Rule 15d-22(b) Approximate number of holders of record as of the certification or notice date: One

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, Hortonworks, Inc. has caused this certification/notice to be signed on its behalf by the undersigned duly authorized person.

Date: January 15, 2019 By: /s/ Jim Frankola Name: Jim Frankola Title: Chief Financial Officer