

Advanced Emissions Solutions, Inc.

Form 3

June 22, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Hanson Ron

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/12/2016

3. Issuer Name and Ticker or Trading Symbol

Advanced Emissions Solutions, Inc. [ADES]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP of Operations of ADA-ES

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person9135 RIDGELINE BLVD,  
SUITE 200

(Street)

HIGHLANDS  
RANCH, CO 80129

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

21,934 (1) (2) (3)

D

H

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security  
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:  
Direct (D)6. Nature of Indirect Beneficial Ownership  
(Instr. 5)

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Date Exercisable	Expiration Date	Amount or Number of Shares	or Indirect (I) (Instr. 5)
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hanson Ron 9135 RIDGELINE BLVD, SUITE 200 HIGHLANDS RANCH, CO 80129	Â	Â	Â SVP of Operations of ADA-ES	Â

## Signatures

/s/ Ronald  
Hanson

06/22/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents 15,111 shares of Restricted Stock (not vested and subject to transfer restrictions and forfeiture or certain repurchase rights, as applicable) and 180 shares of common stock issued pursuant to the Advanced Emissions Solutions, Inc. Amended and Restated 2010 Non-Management Compensation and Incentive Plan, as amended (the "2010 Plan") and 5,000 shares of Restricted Stock (not vested and subject to forfeiture and transfer restrictions) and 978 shares of common stock issued pursuant to the Advanced Emissions Solutions, Inc. Amended and Restated 2007 Equity Incentive Plan, as amended (the "2007 Plan"). Of such amount, 15,000 and 5,000 shares will vest, if at all, on April 14, 2017 and June 12, 2017, respectively. The remaining shares of Restricted Stock will vest, if at all, on January 2, 2017.
- (1)
  - (2) Vesting of the Restricted Stock is subject to Mr. Hanson's continuous service with Advanced Emissions Solutions, Inc. or its related entities unless such shares vest sooner pursuant to Section 11 of the 2007 Plan or the 2010 Plan or Mr. Hanson's employment agreement.
  - (3) Of the amount shown, 665 shares are held in a qualified pension plan account of the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.