Peterson Amy C. Form 4 November 13, 2018

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

**OMB** 3235-0287 Number: January 31,

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Check this box if no longer subject to Section 16. Form 4 or

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

Ordinary

American Depositary

Shares (1)

Depositary

Shares

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \* Peterson Amy C.

> (First) (Middle)

C/O MOURANT OZANNES CORPORATE SERVICES., 94 **SOLARIS AVENUE** 

(Street)

(State)

11/08/2018

2. Issuer Name and Ticker or Trading Symbol

BeiGene, Ltd. [BGNE]

3. Date of Earliest Transaction (Month/Day/Year) 11/08/2018

Filed(Month/Day/Year)

4. If Amendment, Date Original

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

10% Owner Director Other (specify X\_ Officer (give title below) below)

CMO, Immuno-oncology

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

CAMANA BAY, GRAND CAYMAN, E9 KY1-1108

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. Code (Instr. 8)

4. Securities Acquired (A) or 5. Amount of TransactiorDisposed of (D) (Instr. 3, 4 and 5)

(A)

or

(D)

D

14,711 A

2,423

Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Ownership Form: Direct (D) or Indirect Transaction(s) (Instr. 4)

6.

of Indirect Beneficial Ownership (Instr. 4)

7. Nature

194,569 D

Price

\$ 29.1 (2)

(Instr. 3 and 4)

D

D

D

\$ American  $S^{(3)}$ **Depositary** D 11/08/2018 466

Shares (1) American 11/08/2018

 $S^{(3)}$ 

M

Code V Amount

122.8565 (4)

124,4759

14,245

14,711

11,822

1

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Shares (1)					(5)		
American Depositary Shares (1)	11/08/2018	S(3)	3,949	D	\$ 126.0773 <u>(6)</u>	7,873	D
American Depositary Shares (1)	11/08/2018	S(3)	7,673	D	\$ 126.7197 <u>(7)</u>	200	D
American Depositary Shares (1)	11/08/2018	S(3)	200	D	\$ 127.6075 (8)	0	D
American Depositary Shares (1)	11/09/2018	M	13,222	A	\$ 29.1 (2)	13,222	D
American Depositary Shares (1)	11/09/2018	S(3)	4,031	D	\$ 118.635 (9)	9,191	D
American Depositary Shares (1)	11/09/2018	S(3)	1,725	D	\$ 119.2694 (10)	7,466	D
American Depositary Shares (1)	11/09/2018	S(3)	2,100	D	\$ 120.631 (11)	5,366	D
American Depositary Shares (1)	11/09/2018	S(3)	2,898	D	\$ 121.7059 (12)	2,468	D
American Depositary Shares (1)	11/09/2018	S(3)	2,046	D	\$ 122.5478 (13)	422	D
American Depositary Shares (1)	11/09/2018	S(3)	322	D	\$ 123.7476 (14)	100	D
American Depositary Shares (1)	11/09/2018	S(3)	100	D	\$ 125.97 (15)	0	D
American Depositary Shares (1)	11/12/2018	M	12,067	A	\$ 29.1 (2)	12,067	D
American Depositary Shares (1)	11/12/2018	S(3)	2,958	D	\$ 111.1013 (16)	9,109	D
American Depositary Shares (1)	11/12/2018	S(3)	4,000	D	\$ 112.1801 (17)	5,109	D
	American Depositary Shares (1) American Depositary	American Depositary Shares (1)  American Depositary Shares (1)	American Depositary Shares (1)  American Depositary 11/08/2018 S(3)  American Depositary 11/08/2018 S(3)  American Depositary 11/08/2018 S(3)  American Depositary 11/09/2018 M  American Depositary 11/09/2018 S(3)  American Depositary 11/12/2018 S(3)	American Depositary Shares (1) American American Depositary Shares (1) American American Amer	American Depositary Shares (1)	American Depositary 11/08/2018 S(3) 3,949 D 126.0773 Shares (1) S (6) Shares (1) S (6) Shares (1) S	American Depositary 11/08/2018 S S S S S S S S S S S S S S S S S S S

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American Depositary Shares (1)	11/12/2018	S(3)	2,527	D	\$ 113.0322 (18)	2,582	D
American Depositary Shares (1)	11/12/2018	S(3)	1,173	D	\$ 113.9216 (19)	1,409	D
American Depositary Shares (1)	11/12/2018	S(3)	700	D	\$ 114.96 (20)	709	D
American Depositary Shares (1)	11/12/2018	S(3)	500	D	\$ 116.5623 (21)	209	D
American Depositary Shares (1)	11/12/2018	S(3)	109	D	\$ 117.6106 (22)	100	D
American Depositary Shares (1)	11/12/2018	S(3)	100	D	\$ 121.56 (23)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	orDeriv Secu Acqu Disp	Securities (Month/Day/Year) Acquired (A) or Disposed of (D) Instr. 3, 4, and		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Share Option (Right to Buy)	\$ 2.24 (24)	11/08/2018		M		191,243	(25)	08/21/2026	Ordinary Shares	191,243
Share Option (Right to Buy)	\$ 2.24 (24)	11/09/2018		M		171,886	(25)	08/21/2026	Ordinary Shares	171,886
Share	\$ 2.24 (24)	11/12/2018		M		156,871	(25)	08/21/2026	Ordinary	156,871

Option (Right to Buy)

Shares

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Peterson Amy C. C/O MOURANT OZANNES CORPORATE SERVICES, 94 SOLARIS AVENUE CAMANA BAY, GRAND CAYMAN, E9 KY1-1108

CMO, Immuno-oncology

## **Signatures**

/s/ Scott A. Samuels, as Attorney-in-Fact

11/12/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each American Depositary Share represents 13 Ordinary Shares.
- (2) The exercise price is represented in American Depositary Shares.
- (3) The sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$122.34 to \$123.3399, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$124.18 to \$125.1799, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$125.45 to \$126.4499, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$126.4616 to \$127.4615, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$127.50 to \$128.4999, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$118.01 to \$119.0099, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.

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- (10) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$119.01 to \$120.0099, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$120.14 to \$121.1399, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$121.18 to \$122.1799, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$122.21 to \$123.2099, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$123.50 to \$124.4999, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$125.97 to \$126.9699, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$110.50 to \$111.4999, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- (17) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$111.61 to \$112.6099, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depository Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$112.61 to \$113.6099, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$113.63 to \$114.6299, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- (20) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$114.63 to \$115.6299, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$115.82 to \$116.8199, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$116.84 to \$117.8399, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.
- (23) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$121.56 to \$122.5599, inclusive. The Reporting Person has provided to the Issuer, and undertakes to provide to the staff of the Securities

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and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares, sold at each separate price.

- (24) The number of securities underlying each option and the exercise price therefor are represented in ordinary shares.
- These securities vest over a four-year period as follows: 25% on the first anniversary of August 22, 2016 with the remaining shares vesting in 36 equal successive monthly installments thereafter, subject to continued service. All unvested shares subject to this option are subject to accelerated vesting upon certain termination events.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.