Edgar Filing: Norrod Forrest Eugene - Form 4

Norrod Forre	est Eugene										
Form 4											
August 01, 2	018										
FORM					ND EV(• • • • •		OMMERION		PROVAL	
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMMISSION	OMB Number:	3235-0287	
Check th if no long subject to Section 1 Form 4 o Form 5 obligation	suant to Se	F CHANGES IN BENEFICIAL OWNERSHIP O SECURITIES Section 16(a) of the Securities Exchange Act of 1934						Expires: Estimated a burden hour response			
may cont See Instru 1(b).	inue. Section 17(a			•	ding Com Compan <u>y</u>	- ·		1935 or Sectior	I		
(Print or Type I	Responses)										
Norrod Forrest Eugene S							0	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (M					Director 10% Owner					
			(Month/Day/Year) 07/30/2018					_X_ Officer (give title Other (specify below)			
				Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
SANTA CL	ARA, CA 95054							_X_ Form filed by O Form filed by M Person			
(City)	(State)	(Zip)	Table	e I - Non-D	Derivative S	Securi	ties Acqu	iired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	ned3.4. Securities Acquiredn Date, ifTransaction(A) or Disposed of (D)Code(Instr. 3, 4 and 5)Day/Year)(Instr. 8)		of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	07/30/2018			М	37,500 (1)	А	\$ 1.84	643,772	D		
Common Stock	07/30/2018			S	62,500 (2)	D	\$ 19.49 (<u>3)</u>	581,272	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exer Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option Grant	\$ 1.84	07/30/2018		М	37,500	<u>(4)</u>	08/15/2022	Common Stock	37,500

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Norrod Forrest Eugene							
2485 AUGUSTINE DRIVE			SVP & GM DESG				
SANTA CLARA, CA 95054							
Signatures							

/s/Forrest Eugene	07/31/2018		
Norrod	07/51/2018		
**Signature of Reporting	Date		

Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 15, 2017.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 15,2017.

Transaction executed in multiple trades at prices ranging from \$19.34 to \$19.65 per share, inclusive. The price reported in column 4 above reflects the weighted average sale price per share. The Reporting Person hereby undertakes to provide the SEC staff, the Issuer or a

- (3) above reflects the weighted average sate pile per share. The reporting reison necesy undertakes to provide the size start, the issuer of a security holder of the Issuer, upon request, full information regarding the number of shares sold at each respective price within the range set forth in this footnote.
- (4) This option vested 33 1/3% on August 15, 2016 and vests 8 1/3% per quarter over the next eight following quarters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.