

HUMANA INC
Form 144
March 02, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 144

OMB APPROVAL
OMB
Number: 3235-0101
Expires: February 28,
2014
Estimated average burden
hours per
response. 1.00

NOTICE OF PROPOSED SALE OF SECURITIES
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

ATTENTION: Transmit for filing 3 copies of this form concurrently with either
placing an order with a broker to execute sale or
executing a sale directly with a market maker.

SEC USE ONLY
DOCUMENT SEQUENCE
NO.
CUSIP NUMBER

1 (a) NAME OF ISSUER (Please type or print)
Humana Inc.

(b) IRS IDENT. NO. (c) S.E.C. WORK LOCATION
FILE
61-0647538 NO.
1-5975

1 (d) ADDRESS OF ISSUER

(e) TELEPHONE
AREA NUMBER
CODE 580-1000
502

STREET CITY
STATE ZIP CODE

500 West Main
Street

Louisville KY 40202

2 (a) NAME OF PERSON FOR WHOSE
ACCOUNT THE SECURITIES ARE TO
BE SOLD

(b) RELATIONSHIP TO ISSUER
ADDRESS STREET
CITY STATE ZIP CODE

W. Roy Dunbar

Director 500 W. Main
Street Louisville KY 40202

INSTRUCTION: The person filing this notice should contact the issuer to obtain the I.R.S. identification Number
and the S.E.C. File Number

3 (a)	(b)	SEC USE ONLY (c)	(d)	(e)	(f)	(g)	
Title of the Class of Securities To Be Sold	Name and Address of Each Broker Through Whom the Securities Are To Be Offered or Each Market Maker who is Acquiring the Securities	Broker-Dealer File Number	Number of Aggregate Shares or Other Units To Be Sold (See Instr. 3 (c))	Market Value (See Instr. 3 (d))	Number of Shares or Other Units Outstanding (See Instr. 3(e))	Approximate Date of Sale (See Instr. 3(f)) (MO. DAY YR.)	Name of Each Securities Exchange (See instr. 3 g))
Common	Fidelity Investments 2 Destiny Way Westlake, TX 76262		3,000	\$629,790 based on FMV on 02/28/2017 of	98,498,407 as of 1/31/2017	03/02/2017	NYSE

Edgar Filing: HUMANA INC - Form 144

\$209.93

INSTRUCTIONS:

1. (a) Name of Issuer
(b) Issuer's I.R.S. Identification Number
(c) Issuer's S.E.C. file number, if any
(d) Issuer's address, including zip code
(e) Issuer's telephone number, including area code
2. (a) Name of person for whose account the securities are to be sold
(b) Such person's relationship to the issuer (e.g., officer, director, 10% stockholder, or member of immediate family of any of the foregoing)
(c) Such person's address, including zip code
3. (a) Title of the class of securities to be sold
(b) Name and Address of each broker through whom the securities are intended
(c) Number of shares or other units to be sold (if debt securities, give the aggregate market value of the securities to be sold as of a specified date with the most recent report or statement published by the issuer)
(d) Aggregate market value of the securities to be sold as of a specified date with the most recent report or statement published by the issuer
(e) Number of shares or other units of the class outstanding, or if debt securities, the principal amount of the securities to be sold
(f) Approximate date on which the securities are to be sold
(g) Name of each securities exchange, if any, on which the securities are intended to be sold

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1147 (08-07)

TABLE I - SECURITIES TO BE SOLD

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor.

Title of the Class	Date you Acquired	Nature of Acquisition Transaction	Name of the Person from Whom Acquired (if gift, also give date donor acquired)	Amount of Securities Acquired	Date of Payment	Nature of Payment
Common	12/31/2014	Restricted Stock	Issuer	1,358	N/A	N/A
Common	12/31/2015	Award	Issuer	1,077	N/A	N/A
Common	12/31/2016	Restricted Stock Award Restricted Stock Award	Issuer	565	N/A	N/A

INSTRUCTIONS: If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

TABLE II - SECURITIES SOLD DURING THE PAST 3 MONTHS (1)

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
----------------------------	--------------------------	--------------	---------------------------	----------------

None

REMARKS:

Form 144 filed on August 15, 2013 expired, unused.

INSTRUCTIONS:**ATTENTION:**

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold hereby represents by signing this notice but also as to all other persons included in that information in regard to the current and prospective definition. In addition, information shall be given as to operations of the issuer of the securities to be sold which has sales by all persons whose sales are required by not been publicly disclosed. If each person has adopted a paragraph (e) of Rule 144 to be aggregated with sales written trading plan or given trading instructions to satisfy for the account of the person filing this notice.

Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

March 02,
2017

DATE OF NOTICE

/s/ W/ Roy

Dunbar

(SIGNATURE)

DATE OF PLAN OR GIVING OF INSTRUCTION,
IF RELYING ON RULE 10B5-1

This notice shall be signed by the person for whose account the securities are to be sold. At least one copy of the notice shall be manually signed.

Any copies not manually signed shall bear typed or printed signatures.

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)

SEC 1147 (02-08)