

48th Parallel LLC
Form 4
December 04, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Partech U.S. Partners IV, L.L.C.

2. Issuer Name and Ticker or Trading Symbol
Five9, Inc. [FIVN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
200 CALIFORNIA ST., STE 500
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/02/2015

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

SAN FRANCISCO, CA 94111

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock					3,510,983	D	
Common Stock	12/02/2015		J		678,128	D	\$ 0 0
Common Stock	12/02/2015		J		1,117,124	D	\$ 0 0

By Partech International Growth Capital I L.L.C. (1) (2) (3)
By Partech International Growth Capital II

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							L.L.C. <u>(1)</u> <u>(2)</u> <u>(3)</u>
Common Stock	12/02/2015	J	678,130	D	\$ 0 0	I	By Partech International Growth Capital III L.L.C. <u>(1)</u> <u>(2)</u> <u>(3)</u>
Common Stock	12/02/2015	J	276,103	D	\$ 0 0	I	By AXA Growth Capital II L.P. <u>(1)</u> <u>(2)</u> <u>(3)</u>
Common Stock					24,580	I	By 45th Parallel L.L.C. <u>(1)</u> <u>(2)</u> <u>(3)</u>
Common Stock					24,580	I	By Par SF II, L.L.C. <u>(1)</u> <u>(2)</u> <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address

Relationships

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	Director	10% Owner	Officer	Other
Partech U.S. Partners IV, L.L.C. 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
Partech International Growth Capital I LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
Partech International Growth Capital II LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
Partech International Growth Capital III LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
AXA Growth Capital II LP 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
45th Parallel LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
PAR SF II, L.L.C. 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
46th Parallel LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
47th Parallel LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		
48th Parallel LLC 200 CALIFORNIA ST., STE 500 SAN FRANCISCO, CA 94111		X		

Signatures

/s/ Vincent R. Worms, managing member of 47th Parallel, L.L.C., the managing member of the Reporting Person	12/04/2015
__Signature of Reporting Person	Date
/s/ Vincent R. Worms, managing member of 45th Parallel, L.L.C., the managing member of 46th Parallel, L.L.C., the managing member of the Reporting Owner	12/04/2015
__Signature of Reporting Person	Date
/s/ Vincent R. Worms, managing member of 45th Parallel, L.L.C., the managing member of 46th Parallel, L.L.C., the managing member of the Reporting Owner	12/04/2015
__Signature of Reporting Person	Date
/s/ Vincent R. Worms, managing member of 45th Parallel, L.L.C., the managing member of 46th Parallel, L.L.C., the managing member of the Reporting Owner	12/04/2015

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<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, managing member of 48th Parallel, L.L.C., the Investment General Partner of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, managing member of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, sole member of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, managing member of 45th Parallel, L.L.C., the managing member of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, managing member of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date
/s/ Vincent R. Worms, managing member of the Reporting Owner	12/04/2015
<u>Signature of Reporting Person</u>	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Vincent R. Worms is (A) the sole member of Par SF II L.L.C. ("Par SF"), (B) the managing member of 47th Parallel, L.L.C. ("47th Parallel"), which is the managing member of Partech U.S. Partners IV, L.L.C. ("Partech US"), (C) the managing member of 45th Parallel L.L.C. ("45th Parallel"), which is the managing member of 46th Parallel L.L.C. ("46th Parallel"), which is the managing member of Partech International Growth Capital I L.L.C. ("Partech I"), Partech International Growth Capital II L.L.C. ("Partech II") and Partech International Growth Capital III L.L.C. ("Partech III")
 - (2) (Continued from footnote1) and (D) the managing member of 48th Parallel L.L.C. ("48th Parallel"), which is the investment general partner of AXA Growth Capital II L.P. ("AXA").
 - (3) Vincent R. Worms may be deemed to have voting control and investment power over the securities held by Par SF, Partech US, 45th Parallel, Partech I, Partech II, Partech III and AXA, but disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein.

Remarks:

Form 1 of 2

Five9, Inc. shares were distributed out to various partners by Partech I, Partech II, Partech III and AXA.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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