

HONEYWELL INTERNATIONAL INC

Form 3

July 28, 2016

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Â Hahn Terrence  
(Last) (First) (Middle)

115 TABOR ROAD

(Street)

MORRIS PLAINS,Â NJÂ 07950

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)  
07/22/2016

3. Issuer Name and Ticker or Trading Symbol

HONEYWELL INTERNATIONAL INC [HON]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_X\_ Officer \_\_\_\_ Other  
(give title below) (specify below)  
President and CEO, HBT

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

13,399

D

Â

Common Stock

3,746

I

Held in 401(k) plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

5. Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

# Edgar Filing: HONEYWELL INTERNATIONAL INC - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/25/2018	Common Stock	7,500	\$ 58.48	D	Â
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/23/2019	Common Stock	4,375	\$ 28.35	D	Â
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/25/2020	Common Stock	6,500	\$ 40.17	D	Â
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/24/2021	Common Stock	17,000	\$ 57.05	D	Â
Employee Stock Options (right to buy)	Â <u>(1)</u>	02/28/2022	Common Stock	12,000	\$ 59.87	D	Â
Employee Stock Options (right to buy)	Â <u>(2)</u>	02/26/2023	Common Stock	13,000	\$ 69.77	D	Â
Employee Stock Options (right to buy)	Â <u>(3)</u>	04/08/2023	Common Stock	37,000	\$ 73.45	D	Â
Employee Stock Options (right to buy)	Â <u>(4)</u>	02/26/2024	Common Stock	50,000	\$ 93.97	D	Â
Employee Stock Options (right to buy)	Â <u>(5)</u>	02/25/2025	Common Stock	60,000	\$ 103.9	D	Â
Employee Stock Options (right to buy)	Â <u>(6)</u>	02/24/2026	Common Stock	70,000	\$ 103.65	D	Â
Restricted Stock Units	Â <u>(7)</u>	Â <u>(7)</u>	Common Stock	2,210	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(9)</u>	Â <u>(9)</u>	Common Stock	680	\$ <u>(8)</u>	D	Â
Restricted Stock Units	Â <u>(10)</u>	Â <u>(10)</u>	Common Stock	12,000	\$ <u>(8)</u>	D	Â
Supplemental Savings Plan Interests	Â <u>(11)</u>	Â <u>(11)</u>	Common Stock	1,242	\$ <u>(11)</u>	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Hahn Terrence 115 TABOR ROAD MORRIS PLAINS, NJ 07950	Â	Â	Â President and CEO, HBT	Â

## Signatures

Jeffrey N. Neuman for Terrence Hahn  
07/28/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Employee Stock Options were granted under the Corporation's 2006 Stock Incentive Plan and are fully vested.
  - (2) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 9,750 options fully vested and 3,250 options vesting on February 27, 2017.
  - (3) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 27,750 options fully vested and 9,250 options vesting on April 9, 2017.
  - (4) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 25,000 options fully vested and 12,500 options vesting on each of February 27, 2017 and February 27, 2018.
  - (5) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 15,000 options fully vested and 15,000 options vesting on each of February 26, 2017, February 26, 2018 and February 26, 2019.
  - (6) The Employee Stock Options were granted under the Corporation's 2011 Stock Incentive Plan with 17,500 options vesting on each of February 25, 2017, February 25, 2018, February 25, 2019 and February 25, 2020.
  - (7) The Restricted Stock Units were granted under the Corporation's 2006 Stock Incentive Plan with all units vesting on February 26, 2017.
  - (8) Instrument converts to common stock on a one-for-one basis.
  - (9) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with all units vesting on each of July 30, 2017.
  - (10) The Restricted Stock Units were granted under the Corporation's 2011 Stock Incentive Plan with 3,960 units vesting on each of July 25, 2017 and July 25, 2019 and 4,080 units vesting on July 25, 2021.
  - (11) Instrument converts to common stock on a one-for-one basis and reflects phantom shares of common stock represented by Company contributions to my account under the Executive Supplemental Savings Plan under rule 16b-3 on July 22, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.