RUDOLPH SCOTT

Form 4

November 24, 2009

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, 2005

subject to Section 16. Form 4 or Form 5

**SECURITIES** 

Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

**OMB APPROVAL** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* RUDOLPH SCOTT

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

(Zip)

(First) (Last)

NBTY INC [NTY]

11/23/2009

(Check all applicable)

C/O NBTY, INC., 2100

3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

SMITHTOWN AVE.

Chairman and CEO

below)

(Street)

(State)

4. If Amendment, Date Original Filed(Month/Day/Year)

Code V

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

below)

Person

RONKONKOMA, NY 11779

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) (Instr. 3) Code (Instr. 3, 4 and 5) (Month/Day/Year) (Instr. 8) (A)

5. Amount of 7. Nature of Securities Ownership Indirect Beneficially Form: Beneficial Ownership Owned Direct (D) Following or Indirect (Instr. 4)

(Instr. 4)

Reported Transaction(s) Price

(Instr. 3 and 4)

Common Stock

(City)

11/23/2009 11/23/2009 M 1,000,000

Amount

or

(D)

5,314,586 (1) D 5.875

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	iorDer Sect Acq Disp	Jumber of ivative urities quired (A) or posed of (D) tr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amour Numbe Shares
Stock Options (Right to Buy)	\$ 5.875	11/23/2009	11/23/2009	M		1,000,000	08/09/2000	08/09/2010	Common Stock	1,000
Stock Options (Right to Buy)	\$ 5.4687						02/01/2001	02/01/2011	Common Stock	500,
Stock Options (Right to Buy)	\$ 25.5						<u>(2)</u>	02/01/2018	Common Stock	150,
Stock Options (Right to Buy)	\$ 15.98						<u>(3)</u>	04/08/2019	Common Stock	138,

## **Reporting Owners**

10% Owner	Off:	
	Officer	Other
	Chairman and CEO	
	10% Owner	

## **Signatures**

/s/ Irene B. Fisher, Esq., pursuant to a Power of
Attorney
11/24/2009

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Mr. Rudolph is also the beneficial owner of 63,582 shares of NBTY, Inc. common stock through the Employee Stock Ownership Plan.
- (2) 50,000 options become exercisable on 2/1/2010, 50,000 options become exercisable on 2/1/2011 and 50,000 options become exercisable on 2/1/2012.

(3)

Reporting Owners 2

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69,000 options become exercisable on 4/8/2010, 23,005 options become exercisable on 4/8/2011, 23,005 options become exercisable on 4/8/2012 and 22,990 options become exercisable on 4/8/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.