# Edgar Filing: BUILD A BEAR WORKSHOP INC - Form 4

#### BUILD A BEAR WORKSHOP INC

Form 4

September 06, 2006

| September of   |   |                                    |   |  |                  |        |                        |  |  |                        |  |
|--|---|------------------------------------|---|--|------------------|--------|------------------------|--|--|------------------------|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |   |                                    |   |  |                  |        |                        | . <del>T</del>   | OMB APPROVAL   |                        |  |
|  |   |                                    |   |  |                  |        |                        | OMB<br>Number:   | 3235-0287  |                        |  |
| Check thi if no long   |   |                                    |   |  |                  |        |                        |  | Expires:   | January 31,            |  |
| subject to<br>Section 1<br>Form 4 or   | IENT OF C   |                                    | GES IN I<br>SECURI                          |  | CIA              | L OV   | VNERSHIP OF            | Estimated burden ho  | Estimated average burden hours per response 0.5                      |                        |  |
| Form 5 obligation may continue <i>See</i> Instruction 1(b).                    | ns Section 17(  |                                    | olic Uti                                    | lity Hold  | ing Com          | pany   | Act                    | ge Act of 1934,<br>of 1935 or Section<br>1940  |  |                        |  |
| (Print or Type R   | Responses)  |                                    |   |  |                  |        |                        |  |  |                        |  |
| 1. Name and Address of Reporting Person ** Klocke Tina                         |   |                                    | 2. Issuer Name and Ticker or Trading Symbol |  |                  |        |                        | 5. Relationship of Reporting Person(s) to Issuer   |  |                        |  |
|  |   | BUILD A BEAR WORKSHOP INC<br>[BBW] |   |  |                  |        | (Check all applicable) |  |  |                        |  |
| (Last)   | sst) (First) (Middle) 3. Date of (Month/D                 |                                    |   |  | insaction        |        |                        | Director 10% Owner X Officer (give title Other (specify below)   |  |                        |  |
|  | -A-BEAR<br>PP, INC., 1954<br>T BUSINESS CI                |                                    | 0/01/20                                     | 06   |                  |        |                        | below)  CFBea  | r, Treasurer &   | Secy                   |  |
|  | (Street) 4. If Amer<br>Filed(Mont                         |                                    |   |  | e Original       |        |                        | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person                  |  |                        |  |
| ST. LOUIS,   | MO 63114  |                                    |   |  |                  |        |                        | Form filed by Person   | More than One I  | Reporting              |  |
| (City)   | (State)   | (Zip)                              | Table                                       | I - Non-Do   | erivative S      | Securi | ities A                | equired, Disposed  | of, or Beneficia   | ally Owned             |  |
| 1.Title of<br>Security<br>(Instr. 3)   | decurity (Month/Day/Year) Execution Date<br>Instr. 3) any |                                    |   | 3. 4. Securitic TransactionAcquired (Code Disposed of (Instr. 8) (Instr. 3, 4) |                  |        | ))                     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Indirect<br>Beneficial |  |
| Common   | 09/01/2006  |                                    |   | Code V A   | Amount 4,941 (1) | (D)    | Price (2)              | 46,758 (3)   | D  |                        |  |
| Stock Common Stock   |   |                                    |   |  | <u>(1)</u>       |        |                        | 100  | I  | Trust-Son<br>James     |  |
| Common<br>Stock  |   |                                    |   |  |                  |        |                        | 100  | I  | Trust-Son<br>Michael   |  |
| Common<br>Stock  |   |                                    |   |  |                  |        |                        | 300  | I  | Spouse                 |  |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.                           | 6. Date Exerc       | isable and         | 7. Title | and      | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|------------------------------|---------------------|--------------------|----------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | ctionNumber                  | Expiration Da       | ate                | Amour    | nt of    | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of                           | (Month/Day/         | Year)              | Underl   | ying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | <ol><li>Derivative</li></ol> | e                   |                    | Securit  | ties     | (Instr. 5)  |
|             | Derivative  |                     |                    |           | Securities                   |                     |                    | (Instr.  | 3 and 4) |             |
|             | Security    |                     |                    |           | Acquired                     |                     |                    |          |          |             |
|             |             |                     |                    |           | (A) or                       |                     |                    |          |          |             |
|             |             |                     |                    |           | Disposed                     |                     |                    |          |          |             |
|             |             |                     |                    |           | of (D)                       |                     |                    |          |          |             |
|             |             |                     |                    |           | (Instr. 3,                   |                     |                    |          |          |             |
|             |             |                     |                    |           | 4, and 5)                    |                     |                    |          |          |             |
|             |             |                     |                    |           |                              |                     |                    |          |          |             |
|             |             |                     |                    |           |                              |                     |                    |          | Amount   |             |
|             |             |                     |                    |           |                              | Date<br>Exercisable | Expiration<br>Date | Title    | or       |             |
|             |             |                     |                    |           |                              |                     |                    |          | Number   |             |
|             |             |                     |                    |           |                              |                     |                    |          | of       |             |
|             |             |                     |                    | Code      | V (A) (D)                    |                     |                    |          | Shares   |             |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Klocke Tina C/O BUILD-A-BEAR WORKSHOP, INC. 1954 INNERBELT BUSINESS CENTRE DRIVE ST. LOUIS, MO 63114

CFBear, Treasurer & Secy

## **Signatures**

/s/ Tina Klocke 09/06/2006

\*\*Signature of Pate Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to the reporting person of 4,941 shares of restricted stock. The shares vest one year from the date of grant based on the reporting person's continued employment.
- (2) Price is not applicable to grants of restricted stock.
  - After giving effect to the transactions reported in this Form 4, Ms. Klocke directly owns 12,889 shares of common stock (including 544 shares and 545 shares acquired pursuant to the issuer's 2004 Associate Stock Purchase Plan on March 31, 2006 and June 30, 2006,
- (3) respectively) and 33,869 shares of restricted stock of Build-A-Bear Workshop, Inc. In addition, Ms. Klocke holds vested options to purchase 154,500 shares of Build-A-Bear Workshop, Inc.'s common stock. In addition, Ms. Klocke indirectly beneficially owns 200 shares through trusts for the benefit of two sons and 300 shares through her spouse.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.