

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

SWISS HELVETIA FUND INC

Form NSAR-B

February 25, 2004

PAGE 1
000 B000000 12/31/2003
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-05128
001 C000000 8887947700
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 FORUM ADMINISTRATIVE SERVICES, LLC
010 C010001 PORTLAND
010 C020001 ME
010 C030001 04101
012 A000001 AMERICAN STOCK TRANSFER AND TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 DELOITTE & TOUCHE, LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
PAGE 2
014 A000001 HOTTINGER AND CIE
014 B000001 8-062959
015 A000001 CREDIT SUISSE FIRST BOSTON
015 B000001 S
015 C010001 ZURICH
015 D010001 SWITZERLAND

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

015 D020001 8070
 015 E040001 X
 015 A000002 SWISS AMERICAN SECURITIES, INC.
 015 B000002 C
 015 C010002 NEW YORK
 015 C020002 NY
 015 C030002 10017
 015 E020002 X
 018 000000 Y
 019 A000000 N
 019 B000000 0
 020 A000001 G-TRADE CAPITAL CORP.
 020 C000001 195
 020 A000002 HOTTINGER & CIE
 020 C000002 154
 020 A000003 PICTET OVERSEAS, INC.
 020 C000003 148
 020 A000004 LOMBARD ODIER SECURITIES, INC.
 020 C000004 141
 020 A000005 SALOMON SMITH BARNEY, INC.
 020 B000005 11-2418191
 020 C000005 86
 020 A000006 JULIUS BAER SECURITIES, INC.
 020 B000006 13-6171048
 020 C000006 84
 020 A000007 OPPENHEIMER & CO.
 020 B000007 13-3211335
 020 C000007 64
 020 A000008 UBS PAINWEBBER, INC.
 020 B000008 13-2638166
 020 C000008 59
 020 A000009 UBS WARBURG, LLC
 020 B000009 13-3873456
 020 C000009 45
 020 A000010 MORGAN STANLEY DEAN WITTER, INC.
 020 B000010 13-2655998
 020 C000010 36
 021 000000 1019
 022 C000001 0
 022 D000001 0
 022 C000002 0
 022 D000002 0
 022 C000003 0
 022 D000003 0
 022 C000004 0
 PAGE 3
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 022 C000009 0
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 0
 023 D000000 0
 024 000000 Y

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

025 A000001 UBS PAINWEBBER, INC.
 025 B000001 13-2638166
 025 C000001 E
 025 D000001 29791
 025 D000002 0
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0

026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 Y
 026 G010000 N
 026 G020000 N
 026 H000000 Y
 027 000000 N

028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0
 028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0

PAGE 4

028 D030000 0
 028 D040000 0
 028 E010000 0
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0
 028 F040000 0
 028 G010000 0
 028 G020000 0
 028 G030000 0
 028 G040000 0
 028 H000000 0

030 A000000 0
 030 B000000 0.00
 030 C000000 0.00
 031 A000000 0
 031 B000000 0
 032 000000 0
 033 000000 0
 035 000000 0
 036 B000000 0
 038 000000 0
 042 A000000 0

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

042 B000000 0
 042 C000000 0
 042 D000000 0
 042 E000000 0
 042 F000000 0
 042 G000000 0
 042 H000000 0
 043 000000 0
 044 000000 0
 045 000000 Y
 046 000000 N
 047 000000 Y
 048 000000 0.000
 048 A010000 60000
 048 A020000 1.000
 048 B010000 40000
 048 B020000 0.900
 048 C010000 100000
 048 C020000 0.800
 048 D010000 100000
 048 D020000 0.700
 048 E010000 100000
 048 E020000 0.650
 048 F010000 100000
 048 F020000 0.600
 PAGE 5
 048 G010000 100000
 048 G020000 0.550
 048 H010000 0
 048 H020000 0.000
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 600000
 048 K020000 0.500
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 N
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0

PAGE 6

062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y
 066 D000000 N
 066 E000000 N
 066 F000000 N
 066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 Y
 069 000000 N
 070 A010000 N
 070 A020000 N
 070 B010000 N
 070 B020000 N
 070 C010000 N
 070 C020000 N
 070 D010000 N
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 N
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 N
 070 J020000 N
 070 K010000 N
 070 K020000 N
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 Y
 070 N010000 Y

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

070	N020000	Y	
070	O010000	N	
070	O020000	N	
070	P010000	N	
070	P020000	N	
	PAGE	7	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		268863
071	B000000		243428
071	C000000		273363
071	D000000		89
072	A000000	12	
072	B000000		66
072	C000000		3648
072	D000000		0
072	E000000		36
072	F000000		2509
072	G000000		238
072	H000000		0
072	I000000		53
072	J000000		105
072	K000000		0
072	L000000		69
072	M000000		151
072	N000000		0
072	O000000		52
072	P000000		0
072	Q000000		91
072	R000000		55
072	S000000		391
072	T000000		0
072	U000000		0
072	V000000		154
072	W000000		106
072	X000000		3974
072	Y000000		0
072	Z000000		-224
072AA	000000		21708
072BB	000000		0
072CC	010000		80618
072CC	020000		0
072DD	010000		3470
072DD	020000		0
072EE	000000		13579
073	A010000		0.1440
073	A020000		0.0000
073	B000000		0.5630
073	C000000		0.0000
074	A000000		7681
074	B000000		0
074	C000000		0
074	D000000		0
074	E000000		0
074	F000000		361299
	PAGE	8	
074	G000000		0
074	H000000		0
074	I000000		0
074	J000000		0

Edgar Filing: SWISS HELVETIA FUND INC - Form NSAR-B

074	K000000	0
074	L000000	4958
074	M000000	3
074	N000000	373941
074	O000000	0
074	P000000	242
074	Q000000	0
074	R010000	0
074	R020000	0
074	R030000	0
074	R040000	4713
074	S000000	0
074	T000000	368986
074	U010000	24098
074	U020000	0
074	V010000	15.31
074	V020000	0.00
074	W000000	0.0000
074	X000000	964
074	Y000000	0
075	A000000	0
075	B000000	306563
076	000000	12.92
077	A000000	Y
077	B000000	Y
078	000000	N
080	A000000	ICI MUTUAL INSURANCE COMPANY
080	C000000	750
081	A000000	N
081	B000000	0
082	A000000	N
082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	Y
086	A010000	597
086	A020000	5962
086	B010000	172
086	B020000	1827
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
	PAGE	9
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	SWISS HELVETIA FUND, INC.
087	A020000	870875101
087	A030000	SWZ
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	TROY STATCZAR	
TITLE	REPORTING MANAGER	