

TYSON FOODS INC
Form 4
February 10, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stouffer Stephen R

(Last) (First) (Middle)

2200 DON TYSON PARKWAY

(Street)

SPRINGDALE, AR 72762

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
TYSON FOODS INC [TSN]

3. Date of Earliest Transaction
(Month/Day/Year)
12/17/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

President of Fresh Meats

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------------------------|---|------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Class A Common Stock | 12/17/2015 | | J ⁽¹⁾ | | 69.002 | A | \$ 0 | 32,814.2302 <u>(2)</u> | D | |
| Class A Common Stock | 01/28/2016 | | J ⁽³⁾ | | 50.6185 | A | \$ 0 | 2,518.2026 | I | Employee Stock Purchase Plan |
| Class A Common Stock | 02/08/2016 | | M | | 3,840 | A | \$ 16.19 | 36,654.2302 <u>(2)</u> | D | |
| | 02/08/2016 | | S | | 3,840 | D | | | D | |

Edgar Filing: TYSON FOODS INC - Form 4

| | | | | | | | |
|----------------------------|------------|--|---|--------|-----------------|--------------------|----------------------|
| Class A Common Stock | | | | | \$ 59.69 (4) | 32,814.2302 (2) | |
| Class A Common Stock | 02/08/2016 | | M | 3,840 | A | \$ 19.63 | 36,654.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | S | 3,840 | D | \$ 59.675 (5) | 32,814.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | M | 21,200 | A | \$ 19.36 | 54,014.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | S | 21,200 | D | \$ 59.59 (6) | 32,814.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | M | 49,667 | A | \$ 31.82 | 82,481.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | S | 49,667 | D | \$ 59.711 (7) | 32,814.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | M | 24,834 | A | \$ 42.26 | 57,648.2302 (2) D |
| Class A Common Stock | 02/08/2016 | | S | 24,834 | D | \$ 59.766 (8) | 32,814.2302 (2) D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|-----------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Am or Nun |

| | | | | | | | | |
|--|----------|------------|---|--------|------------|------------|----------------------|----|
| Non-Qualified Stock Options (Right to Buy) | \$ 16.19 | 02/08/2016 | M | 3,840 | 11/29/2011 | 11/29/2020 | Class A Common Stock | 3, |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.63 | 02/08/2016 | M | 3,840 | 11/28/2012 | 11/28/2021 | Class A Common Stock | 3, |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.36 | 02/08/2016 | M | 21,200 | 11/26/2013 | 11/26/2022 | Class A Common Stock | 21 |
| Non-Qualified Stock Options (Right to Buy) | \$ 31.82 | 02/08/2016 | M | 49,667 | 11/22/2014 | 11/22/2023 | Class A Common Stock | 49 |
| Non-Qualified Stock Options (Right to Buy) | \$ 42.26 | 02/08/2016 | M | 24,834 | 11/21/2015 | 11/21/2024 | Class A Common Stock | 24 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Stouffer Stephen R 2200 DON TYSON PARKWAY SPRINGDALE, AR 72762 | | | President of Fresh Meats | |

Signatures

Stephen R.
Stouffer 02/10/2016

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.

(2) Includes 9,304.9089 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2016 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; Includes 6,949.2333 shares of Class A Common Stock which vest on the fourth business day following the filing of the Issuer's Annual Report on Form 10-K for its 2017 fiscal year if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and, includes 8,456.0880 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.

(3) Represents shares of the Issuer's Class A Common Stock purchased for the Reporting Person's account under the Issuer's Employee Stock Purchase Plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16b-3.

(4)

Edgar Filing: TYSON FOODS INC - Form 4

This is a weighted average price. These shares were sold in multiple transactions on February 8, 2016 at prices ranging from \$59.69 to \$59.71, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(5) This is a weighted average price. These shares were sold in multiple transactions on February 8, 2016 at prices ranging from \$59.65 to \$59.77, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(6) This is a weighted average price. These shares were sold in multiple transactions on February 8, 2016 at prices ranging from \$59.52 to \$59.80, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(7) This is a weighted average price. These shares were sold in multiple transactions on February 8, 2016 at prices ranging from \$59.61 to \$59.82, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

(8) This is a weighted average price. These shares were sold in multiple transactions on February 8, 2016 at prices ranging from \$59.60 to \$59.96, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.