

COLUMBUS MCKINNON CORP
Form 4
July 02, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TEVENS TIMOTHY T

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
140 JOHN JAMES AUDUBON
PARKWAY

3. Date of Earliest Transaction
(Month/Day/Year)
07/01/2013

Director 10% Owner
 Officer (give title below) Other (specify below)
President & CEO

(Street)
AMHERST, NY 14228-1197

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock					267,252 ⁽¹⁾	D	
Common Stock	07/01/2013		M		1,944 A \$ 5.46	269,196 ⁽¹⁾	D
Common Stock	07/01/2013		M		5,276 A \$ 5.46	274,472 ⁽¹⁾	D
Common Stock	07/01/2013		S		3,800 D \$ 22.0013	270,672 ⁽¹⁾	D
Common Stock						6,038.6504 ⁽²⁾	D

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Common Stock 7,000 I By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 5.46	07/01/2013		M	1,944	05/17/2005 05/16/2014		Common Stock	1,944
Non-Qualified Stock Options (Right to Buy)	\$ 5.46	07/01/2013		M	5,276	05/17/2005 05/16/2014		Common Stock	5,276
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009 05/18/2018		Common Stock	8,750
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010 05/17/2019		Common Stock	45,000
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011 05/16/2020		Common Stock	33,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012 05/22/2021		Common Stock	31,900
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013 05/20/2022		Common Stock	53,400
Non-Qualified Stock Options	\$ 18.95					05/20/2014 05/20/2023		Common Stock	44,000

(Right to Buy)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	X		President & CEO	

Signatures

Mary C. O'Connor, Power of Attorney for Timothy T. Tevens

07/02/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) Includes 84,443 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 6,868 shares become fully vested and non-forfeitable on 5/17/2014; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 20,032 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/21/2014, 21,183 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 27,911 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
 - (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
 - (3) The reporting person exercised the remaining 1,944 options on 7/1/13.
 - (4) The reporting person exercised the remaining 5,276 options on 7/1/13.
 - (5) All exercisable, pursuant to IRS limitations.
 - (6) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
 - (7) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
 - (8) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
 - (9) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
 - (10) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.