## Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

COLUMBU Form 4 May 21, 201	S MCKINNO	N CORP									
FORM									OMB AF	PROVAL	
	UNIII	ED STATE					NGE C	OMMISSION	OMB Number:	3235-0287	
Check th if no lon subject to Section 1 Form 5 obligation may con <i>See</i> Instr 1(b).	ger o 16. or Filed ns tinue.	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	Responses)										
TEVENS TIMOTHY T Symbol COLU			Symbol	JMBUS MCKINNON CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Month/			Date of Earliest Transaction onth/Day/Year) /19/2014				X Director 10% Owner X Officer (give title Other (specify below) below) President & CEO				
AMHERST	(Street) 7, NY 14228-1	197		endment, Da nth/Day/Year	-			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	one Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	le I - Non-D	Derivative S	Securi	ties Acqu	uired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)			
Common Stock								270,672	D		
Common Stock	05/19/2014			F	4,329 (1)	D	\$ 27.12	266,343	D		
Common Stock	05/19/2014			А	15,366 (2)	А	\$0	281,709	D		
Common Stock	05/19/2014			А	32,536 (3)	А	\$0	314,245 <u>(4)</u>	D		
Common Stock								6,167.8748 (5)	D		

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Common Stock					7,000	0 I	By s	spouse		
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.										
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)		
				Code V	7 (A) (D)	Date Exercisable )	Expiration Date	Title	Am or Nu of S	
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	8,	
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	45	
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	33	
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	2 05/22/2021	Common Stock	31	
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	53	
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/20/2023	Common Stock	44	
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2014		А	38,938	05/19/2014	05/19/2024	Common Stcok	38	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
TEVENS TIMOTHY T 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197	Х		President & CEO			
Signatures						
Mary C. O'Connor, Power of Attorney for Time Tevens	othy T.	05/21/2014				
**Signature of Reporting Person		D	ate			
<b>Explanation of Responses</b>	:					

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligations upon vesting of 6,868 restricted stock units on 5/19/2014.
- Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan
   (2) dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan
  (3) dated as of July 26, 2010. 19,826 units units become fully vested and non-forfeitable on 5/23/2014 and the remaining 12,710 units become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.

Includes 113,504 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 8,449 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 13,355 shares become fully vested and non-forfeitable 50%

- (4) per year for two years beginning 5/21/2015, 15,887 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 27,911 shares become fully vested and non-forfeitable on 5/21/2015 and the remaining 15,366 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015, if the reporting person remains an employee of issuer. Also includes 32,536 shares which become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employoee Stock Ownership Plan, as amended (the "ESOP").
- (6) All exercisable, pursuant to IRS limitations.
- (7) All exercisable, pursuant to IRS limitations.
- (8) All exercisable, pursuant to IRS limitations.
- (9) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years, beginning 5/21/2013, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term

(12) Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.