

Korman Alan S  
Form 4  
February 26, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Korman Alan S

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
205 CROSSPOINT PARKWAY  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/22/2019

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP, Gen'l Counsel & Secretary

GETZVILLE, NY 14068

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					15,710.5695	D	
Common Stock	02/22/2019		M	59 A	\$ 18.63 15,769.5695	D	
Common Stock	02/22/2019		S	46 D	\$ 37.8 15,723.5695	D	
Common Stock	02/25/2019		M	3,217 A	\$ 18.63 18,940.5695	D	
Common Stock	02/25/2019		S	2,594 D	\$ 37.9 16,346.5695	D	

Edgar Filing: Korman Alan S - Form 4

Common Stock	02/25/2019	M	3,230	A	\$ 19.5	19,576.5695	D
Common Stock	02/25/2019	S	2,528	D	\$ 37.9	17,048.5695 <u>(1)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63	02/22/2019		M	59	01/24/2014 01/23/2021	Common Stock	59	
Non-Qualified Stock Options (Right to Buy)	\$ 18.63	02/25/2019		M	3,217	01/24/2014 01/23/2021	Common Stock	3,217	
Non-Qualified Stock Options (Right to Buy)	\$ 19.5	02/25/2019		M	3,230	05/23/2012 05/22/2021	Common Stock	3,230	

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Korman Alan S 205 CROSSPOINT PARKWAY GETZVILLE, NY 14068			VP, Gen'l Counsel & Secretary	

## Signatures

Alan S. Korman

02/26/2019

  Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 11,739.5695 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 499.5600 shares become fully vested and non-forfeitable on 5/18/2019; 2,948.4890 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2019; 2,783.6016 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/22/2019; 2,818.4444 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/22/2019, and the remaining 2,689.4745 shares become fully vested and non-forfeitable 50% per year for two years beginning 11/15/2019, if reporting person remains an employee of issuer.

(2) All exercisable, subject to IRS limitations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.