

FACTSET RESEARCH SYSTEMS INC  
Form 3  
November 09, 2001

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549**

**FORM 3**

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person:

**Frankenfield, Michael D.  
FactSet Research Systems Inc.  
One Greenwich Plaza  
Greenwich, CT 06830**

2. Date of Event Requiring State (Month/Day/Year)

**09/01/01**

3. IRS or Social Security Number of Reporting Person (voluntary)

**N/A**

4. Issuer Name and Ticker or Trading Symbol

**FactSet Research Systems Inc. (FDS)**

5. Relationship of Reporting Person to Issuer (Check all applicable)

Director                       10% Owner  
 Officer (\* give title below)     Other (specify below)

**\* Senior Vice President and Director of Sales and Marketing**

6. If Amendment, Date of Original (Month/Year)

**N/A**

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

**TABLE I - NON-DERIVATIVE SECURITIES BENEFICIALLY OWNED**

| <b>1. Title of Security<br/>(Instr. 4)</b>       | <b>2. Amount of Securities<br/>Beneficially<br/>Owned<br/>(Instr. 4)</b> | <b>3. Ownership Form:<br/>Direct (D) or<br/>Indirect (I)<br/>(Instr. 5)</b> | <b>4. Nature of Indirect<br/>Beneficial<br/>Ownership<br/>(Instr. 5)</b> |
|--|--|---|--|
| FactSet Research Systems<br>Inc.<br>Common Stock | 121,428  | D   | N/A  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**TABLE II - DERIVATIVE SECURITIES BENEFICIALLY OWNED  
(e.g., puts, calls, warrants, options, convertible securities)**

| <b>1. Title of<br/>Derivative<br/>Security</b> | <b>2. Date Exercisable and<br/>Expiration Date<br/>(Month/Day/Year)</b>                          |                                  | <b>3. Title and Amount<br/>of Securities<br/>Underlying<br/>Derivative<br/>Security</b> | <b>4. Conversion<br/>or<br/>Exercise<br/>Price of<br/>Derivative<br/>Security</b> | <b>5. Ownership<br/>Form of<br/>Derivative<br/>Security:<br/>Direct (D)<br/>or<br/>Indirect (I)<br/>(Instr. 5)</b> | <b>6. Nature of<br/>Indirect<br/>Beneficial<br/>Owner-<br/>ship<br/>(Instr. 5)</b> |
|--|--|----------------------------------|---|---|--|--|
|  | <b>Date<br/>Exercisable</b>  | <b>Expira-<br/>tion<br/>Date</b> | <b>Title</b>  | <b>Amount or<br/>Number of<br/>Shares</b>   |  |  |
| Stock Option<br>(Right to Buy)                 | 02/21/02 (6,000)   | 02/01/07                         | Common<br>Stock<br>.01 par<br>value per<br>share  | 6,000   | 6.25   | D  |
| Stock Option<br>(Right to Buy)                 | 02/09/02 (4,500)<br>02/09/03 (4,500)   | 02/09/08                         | Common<br>Stock,<br>.01 par<br>value per<br>share                                       | 9,000   | 10.00  | D  |
| Stock Option<br>(Right to Buy)                 | 03/29/00 (4,000)<br>03/29/01 (4,000)<br>03/29/02 (4,000)<br>03/29/03 (4,000)<br>03/29/04 (4,000) | 03/29/09                         | Common<br>Stock,<br>.01 par<br>value per<br>share                                       | 20,000  | 19.4063  | D  |
| Stock Option                                   | 03/13/01 (3,000)   | 03/13/10                         | Common  | 15,000  | 33.125   | D  |

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|                |                  |          |           |        |       |   |
|----------------|------------------|----------|-----------|--------|-------|---|
| (Right to Buy) | 03/13/02 (3,000) |          | Stock,    |        |       |   |
|                | 03/13/03 (3,000) |          | .01 par   |        |       |   |
|                | 03/13/04 (3,000) |          | value per |        |       |   |
|                | 03/13/05 (3,000) |          | share     |        |       |   |
| Stock Option   | 11/13/01 (5,000) | 11/13/10 | Common    | 25,000 | 34.25 | D |
| (Right to Buy) | 11/13/02 (5,000) |          | Stock,    |        |       |   |
|                | 11/13/03 (5,000) |          | .01 par   |        |       |   |
|                | 11/13/04 (5,000) |          | value per |        |       |   |
|                | 11/13/05 (5,000) |          | share     |        |       |   |

Explanation of Response:

These options were granted under the 1994 and 1996 FactSet Research Systems Inc. Stock Option Plans. Each stock option grant vests at a rate of 20% per year, beginning one year after the grant date of the options.

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Signature of Reporting Person

Date: November 9, 2001

/s/ Michael D. Frankenfield

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Michael D. Frankenfield