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HARKIN RUTH R

Form 4

February 18, 2003

SEC Form 4

OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE **COMMISSION** Washington, D.C. 20549 [] Check this box if no longer subject to Section 16. Form 4 or Form OMB Number: 3235-0287 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP 5 obligations may continue. Expires: January 31, 2005 See Instruction 1(b). Estimated average burden hours per response. . . . 0.5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the **Public Utility** Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 1. Name and Address of Reporting Person* Issuer Name and Ticker 4. Statement for 6. Relationship of Reporting Person(s) to Harkin, Ruth R. Issuer or Trading Symbol (Month/Year) (Check all applicable) **United Technologies** February 14, 2003 (Last) (First) (Middle) Corporation UTX _____ 10% United Technologies Corporation Director __ Owner One Financial Plaza Officer 3. I.R.S. Identification 5. If Amendment, Number of Reporting Date of Original (Street) Officer/Other Person, if an entity (Month/Year) Hartford, CT 06101 Description Senior Vice President, (voluntary) **International Affairs and Government** Relations (City) (State) (Zip) 7. Individual or Joint/Group Filing (Check Applicable Line) X Individual Filing Joint/Group Filing Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3. Transaction 4. Securities Acquired (A) or 5. Amount of 6. Owner-7. Nature of 1. Title of Security 2. Transaction Date (Instr. 3) (Month/Day/Year) Disposed (D) Of Securities Indirect Code ship and Beneficially Form: Beneficial (Instr. 3, 4, and 5) Voluntary Owned at Direct(D) Ownership Code End of Month Indirect (I) (Instr. 4) (Instr. 8) (Instr. 3 and 4) (Instr. 4) Amount A/D Code | V Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(over)

SEC 1474 (3-99)

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Form 4 (continued)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of	2. Conver-	3.	4.	5. Number	6. Date	7. Title and	8. Price	9. Number of	10.	11. Nature of
Derivative Security	sion or Exercise	Transaction Date	Transaction	of Derivative	Exercisable(DE) and Expiration	Amount of Underlying	of Derivative		Owner- ship	Indirect Beneficial

st If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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(Instr. 3)	Price of Deri- vative Security	(Month/ Day/ Year)	and Voluntary (V) Code (Instr.8)	Securities Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5)	Date(ED) (Month/Day/Year)	Securities (Instr. 3 and 4)	Security (Instr.5)	at End of Month (Instr.4)	Form of Deriv- ative Security: Direct (D) or Indirect (I)	Ownership (Instr.4)
					(DE) (ED)					
			Code V							
Phantom Stock Unit	\$0.0000	02/14/2003	A	(A) 10.760 (1)		Common Stock - 10.760		5,017.918	D	

Explanation of Responses:

** Intentional misstatements or omissions of facts	s By: By: Charles F. Hildebrand, Attorney-in-Fact			
constitute Federal Criminal Violations.				
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).				
	** Signature of Reporting Person			
Note: File three copies of this Form, one of which must be manually signed. If space is	Date			
insufficient,	Power of Attorney			
See Instruction 6 for procedure.				
	Page 2			
Potential persons who are to respond to the collection of information contained in this form	SEC 1474 (3-99)			
are not				
required to respond unless the form displays a currently valid OMB number.				

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Form 4 (continued)

FOOTNOTE Descriptions for United Technologies Corporation UTX

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Ruth R. Harkin United Technologies Corporation One Financial Plaza Hartford, CT 06101

Explanation of responses:

(1) Deferred phantom stock units acquired at the election of the executive under the United Technologies Corporation Deferred Compensation Program, in a transaction exempt under Rule 16-3.

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