WAGNER HAROLD A

Form 4

April 11, 2003

SEC Form 4

| FORM 4 | UNITE | ON | MB APPROVAL | | | | | | | |
|--|---|--|--|-------------------------------|--|-----------------------|--|--|--|--|
| [] Check this box if no longer subject to Section 16. Form 4 | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | | | | | | | | | |
| or Form 5 obligations may continue. See Instruction 1(b). | | | | | | | | OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden | | |
| | Filed pursuant to | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the | | | | | | | | |
| | Holding Compa | any Act of 1935 | Public Utility or Section 30(f) of | | ent Company Act | t of 1940 | | | | |
| Name and Address of Reportin Wagner, Harold A. | | 1 | | 4. Statement for (Month/Year) | | | Relationship of Reporting Person(s) to | | | |
| (Last) (First) United Technologies Corporation One Financial Plaza | United Technologies Corporation UTX | | April 9, 2003 | | X Director Owner | | _10% | | | |
| (Street) Hartford, CT 06101 | 3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary) | | Date of Original (Month/Year) | | Officer Officer Other Officer/Other Description | | | | | |
| (City) (State) | (Zip) | | | | | 7. Individu Filing (0 | Individual or Joint/Group Filing (Check Applicable Line) Individual Filing Joint/Group Filing | | | |
| Table I - Non-Derivative Secu | • • | | 1 | | ı | 1 | | T | | |
| | nsaction Date onth/Day/Year) | 3. Transaction Code and Voluntary Code (Instr. 8) | 4. Securities Acqu Disposed (D) Of (Instr. 3, 4, and | 5) | 5. Amount of Securities Beneficially Owned at End ofMonth (Instr. 3 and 4 | or Ind |) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| Reminder: Report on a separate li | | Code V | Amount Price | A/D e | | | | | | |

directly or indirectly.

(over)

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Form 4 (continued)

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | |
|--|----------|-------------|-------------|------------------|--|-------------------------|------------------|--------------|----------------|---|
| Derivative Security | Exercise | Transaction | Transaction | of Derivative | 6. Date Exercisable(DE) and Expiration | Amount of Underlying | of Derivative | Securities | Owner- ship | 11. Nature of Indirect Beneficial |
| (Instr. 3) | Price of | | Code | Securities | Date(ED) | Securities | Security | Beneficially | Form of | Ownership |

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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| | Derivative Security | (Month/ Day/ Year) | Voluntary (V) Code (Instr.8) | Acquired (A) or Disposed (D) Of (Instr. 3,4 and 5) | | (Instr. 3 and 4) | (Instr.5) | at End of Month (Instr.4) | Derivative Security: Direct (D) or Indirect (I) | (Instr.4) |
|---|------------------------|--------------------------|------------------------------|--|-------------------------|--------------------------------|-----------|---------------------------------|---|-----------|
| | | | Code V | | | | | | | |
| Non-Qualified Stock Option (right to buy) | | 04/09/2003 | Al | (A) 4,000.000 | 04/09/2006 04/08/2013 | Common Stock - 4,000.000 | | 4,000.000 | D | |
| | | | | | | | | | | |

Explanation of Responses :

required to respond unless the form displays a

currently valid OMB number.

| ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). | By: By: Charles F. Hildebrand, Attorney-in-Fact |
|---|--|
| | ** Signature of Reporting Person |
| Note: File three copies of this Form, one of which must be manually signed. If space is | Date |
| insufficient, See Instruction 6 for procedure. | Power of Attorney |
| Potential persons who are to respond to the collection of information contained in this form | Page 2 SEC 1474 (3-99) |