Edgar Filing: DOUCETTE JOHN J - Form 4

OUCETTE IOINI

| Form 4 | 2 JOHN J | | | | | | | | | |
|---|--|--|---|---|---|--|---|--|--|--|
| January 05, 2 | 2005 | | | | | | | | | |
| FORM | 14 | | | | | antinar | | | PPROVAL | |
| | UNITE |) STATES | | RITIES A shington, | | | COMMISSION | OMB Number: | 3235-0287 | |
| Check thi if no long subject to Section 1 Form 4 o | ger STATE 6. r | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5 | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | ns Section 1' | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | on | | |
| (Print or Type F | Responses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> DOUCETTE JOHN J | | | 2. Issuer Name and Ticker or Trading Symbol UNITED TECHNOLOGIES CORP | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | /DE/ [UTX] | | | | (Check all applicable) | | | |
| (Last) UNITED TH CORPORA FINANCIA | | (Middle) | | of Earliest Tr Day/Year) 2005 | ransaction | | Director X Officer (giv below) Vice | | % Owner ler (specify O | |
| | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| HARTFOR | D, CT 06101 | | | | | | Person | wore than one K | epotting | |
| (City) | (State) | (Zip) | Tab | le I - Non-I | Derivative | Securities A | cquired, Disposed o | of, or Beneficia | lly Owned | |
| | 2. Transaction Dat (Month/Day/Year) | | Date, if | 3. Transaction Code (Instr. 8) | 4. Securit nAcquired Disposed (Instr. 3, - | (A) or of (D) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code V | Amount | (D) Price | (msu. 5 and 4) | | | |
| Reminder: Rep | ort on a separate li | ne for each cl | ass of sec | urities benef | ficially ow | ned directly | or indirectly. | | | |

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. 5. Number of Transactio-Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou Underlying Secur (Instr. 3 and 4) | |
|---|---|---|---|--|---------|--|--------------------|---|------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Am or Nu of S |
| Non-Qualified Stock Option (right to buy) | \$ 103 | 01/03/2005 | | А | 25,500 | 01/03/2008 | 01/02/2015 | Common Stock | 25 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|----------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| DOUCETTE JOHN J UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101 | | | Vice President & CIO | | | |
| Signatures | | | | | | |
| By: /s/ Charles F. Hildebrand, Attorney-in-Fact | 01/05/20 | 05 | | | | |
| <u></u> Signature of Reporting Person | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.