## Edgar Filing: DAVID GEORGE AL - Form 4

Form 4	E AL										
January 05, 2006	UNITED	STATES	SECUI	RITIES A	AND EX	CHAN	GE C	COMMISSIO		PPROVAL	
Check this box			Wa	shington,	, D.C. 20	549			Number:	3235-0287	
if no longer subject to Section 16. Form 4 or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimated burden hoi	Expires:January 31Estimated averageburden hours perresponse0.5	
Form 5 obligations may continue. <i>See</i> Instruction 1(b).	-	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, ection 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type Respor	ises)										
1. Name and Address of Reporting Person <u>*</u> DAVID GEORGE AL			2. Issuer Name <b>and</b> Ticker or Trading Symbol UNITED TECHNOLOGIES CORP /DE/ [UTX]				RP	5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) ( UNITED TECHN CORPORATION FINANCIAL PL	NOLOGIES I, ONE	Middle)		f Earliest Ti Day/Year) 2006	ransaction			below)	ive title 0th below) nairman and CEC		
()	(Street) 4. If Amendment Filed(Month/Day/				ay/Year) Applicable Line) _X_ Form filed by C			y One Reporting P	oint/Group Filing(Check		
HARTFORD, CT	Г 06101							Form filed by Person	More than One R	eporting	
(City) (S	State)	(Zip)	Tab	le I - Non-I	Derivative	Securitie	es Acq	uired, Disposed	of, or Beneficia	lly Owned	
		Execution any	xecution Date, if Transac		onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A)		Sé B O F R T	Amount of ecurities geneficially whed collowing eported transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	(D) Pri	ice (1	Instr. 3 and 4)			
Reminder: Report on	a separate line	for each cla	uss of secu	urities benef	ficially ow	ned direct	tly or i	ndirectly.			

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	) Date Exercisable	Expiration Date	Title	Am Nur Sha
Stock Appreciation Right	\$ 56.53	01/03/2006		А	390,000	01/03/2009	01/02/2016	Common Stock	39

## **Reporting Owners**

Reporting Owner Name / Address				
r of the test of the	Director	10% Owner	Officer	Other
DAVID GEORGE AL UNITED TECHNOLOGIES CORPORATION ONE FINANCIAL PLAZA HARTFORD, CT 06101	Х		Chairman and CEO	
Signatures				
By: /s/ Charles F. Hildebrand as Attorney in Fact	01	/05/2006		
**Signature of Reporting Person		Date		
<b>Explanation of Responses:</b>	1			

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person was also awarded 120,000 performance share units (PSUs) under the UTC Long Term Incentive Plan. Each PSU
 (1) has a value equal to one share of UTC common stock. These PSUs vest solely upon achievement of pre-established performance targets for UTC's earnings per share and total shareholder return over a three year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.