Porcelli Christopher Form 4 March 04, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

January 31, Expires: 2005

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Porcelli Christopher

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

PACIFIC PREMIER BANCORP INC [PPBI]

(Check all applicable)

EVP Head of Real Estate & SBA

(Last) (First) (Middle) 3. Date of Earliest Transaction

(Month/Day/Year) 02/28/2019

Director 10% Owner X\_ Officer (give title Other (specify below)

17901 VON KARMAN AVE,, SUITE 1200

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**IRVINE, CA 92614** 

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

Reported (A) Transaction(s)

(Instr. 3 and 4) Price Code V Amount (D)

**PPBI** 

(Instr. 3)

Common 02/28/2019 Stock

5,000 A (1)

\$0  $16,000^{(2)}$ 

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

### Edgar Filing: Porcelli Christopher - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Deriva Securi (Instr.
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options on PPBI Common Stock	\$ 10.44					(3)	01/02/2023	PPBI Common Stock	4,000	
Options on PPBI Common Stock	\$ 15.68					<u>(4)</u>	01/02/2024	PPBI Common Stock	5,000	
Options on PPBI Common Stock	\$ 15.16					<u>(5)</u>	01/28/2025	PPBI Common Stock	7,500	
Options on PPBI Common Stock	\$ 20.42					<u>(6)</u>	01/05/2026	PPBI Common Stock	10,000	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		

Director 10% Owner Officer

Porcelli Christopher 17901 VON KARMAN AVE, SUITE 1200 IRVINE, CA 92614

EVP Head of Real Estate & SBA

## **Signatures**

Chris Porcelli 03/04/2019

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock which vests in full on the third anniversary of the date of grant.
- (2) Includes 15,000 shares of restricted stock subject to a vesting schedule set forth in the restricted stock grant and subject to forfeiture upon the occurrence of certain events specified in the restricted stock grant.
- (3) The option vests in three equal annual installments beginning on 1/02/2014.
- (4) The option vests in three equal annual installments beginning on 1/02/2015.
- (5) The option vests in three equal annual installments beginning on 1/28/2016.
- (6) The option vests in three equal annual installments beginning on 1/05/2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.