

MAXIMUS INC  
Form 4  
January 03, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
POND PETER

(Last) (First) (Middle)

MAXIMUS, INC. ATTN:  
TREASURY DEPT., 1891 METRO  
CENTER DRIVE

(Street)

RESTON, VA 20190

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
MAXIMUS INC [MMS]

3. Date of Earliest Transaction  
(Month/Day/Year)  
01/02/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price (A) or (D)		
Common Stock <sup>(1)</sup>	01/02/2014		M		\$ 522 8.552	522	D
Common Stock	01/02/2014		S		\$ 42.76 <sup>(2)</sup>	0	D
Common Stock <sup>(1)</sup>	01/02/2014		M		\$ 9,568 8.552	9,568	D
Common Stock	01/02/2014		S		\$ 42.87 <sup>(3)</sup>	0	D

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Common Stock <sup>(1)</sup>	01/02/2014	M	2,124	A	\$ 8,552	2,124	D
Common Stock	01/02/2014	S	2,124	D	\$ 42.86 <sup>(4)</sup>	0	D
Common Stock <sup>(1)</sup>	01/02/2014	M	532	A	\$ 8,552	532	D
Common Stock	01/02/2014	S	532	D	\$ 42.78 <sup>(5)</sup>	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 8.552	01/02/2014		M	12,746	03/18/2004	03/18/2014	Common Stock	12,746

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

POND PETER  
MAXIMUS, INC. ATTN: TREASURY DEPT.  
1891 METRO CENTER DRIVE  
RESTON, VA 20190

X

## Signatures

David R. Francis: As Attorney-In-Fact for: Peter Pond

01/03/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 7, 2013.  
Weighted average sales price for prices ranging from \$42.60 to \$43.43. The reporting person will provide full information regarding the
- (2) number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.  
Weighted average sales price for prices ranging from \$42.49 to \$43.67. The reporting person will provide full information regarding the
- (3) number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.  
Weighted average sales price for prices ranging from \$42.59 to \$43.66. The reporting person will provide full information regarding the
- (4) number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.  
Weighted average sales price for prices ranging from \$42.63 to \$43.43. The reporting person will provide full information regarding the
- (5) number of shares purchased or sold at each separate price upon request by the Commission staff, the issuer, or a security holder of the issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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