

SunOpta Inc.
Form 8-K
January 25, 2018

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549**

FORM 8-K

**CURRENT REPORT
PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported): January 19, 2018

SUNOPTA INC.

(Exact name of registrant as specified in its charter)

Canada

*(State or other jurisdiction of
incorporation)*

001-34198

(Commission File Number)

Not Applicable

*(IRS Employer Identification
No.)*

2233 Argentia Road, Suite 401

Mississauga, Ontario, L5N 2X7, Canada

(Address of Principal Executive Offices)

(905) 821-9669

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a -12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d -2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e -4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b -2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the

Exchange Act. []

ITEM 8.01 OTHER EVENTS.

On January 19, 2018, Mr. Colin Smith informed SunOpta Inc. ("SunOpta") that he would no longer be able to serve as Chief Operating Officer of the Consumer Products Segment of SunOpta, effective January 31, 2018, due to his acceptance of a new role at Oaktree Capital Management L.P. ("Oaktree"). Mr. Smith is currently a Managing Director and member of the Portfolio Transformation Team at Oaktree. Mr. Smith was appointed COO of SunOpta's Consumer Products Segment in February 2017, following Oaktree's investment in and strategic partnership with SunOpta in October 2016. Mr. Smith's position with the Consumer Products Segment will be eliminated following his departure. Mr. Smith did not serve as SunOpta's principal operating officer, and he was not a named executive officer of SunOpta.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SUNOPTA INC.

By: /s/ Jill Barnett
Jill Barnett
Vice President and General Counsel

Date: January 25, 2018
